

# Latest results on semileptonic and electroweak penguin decays at Belle II

56th Rencontres de Moriond 2022

---

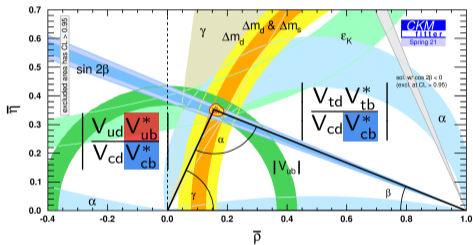
Maximilian Welsch  
on behalf of the Belle II collaboration

March 22, 2022

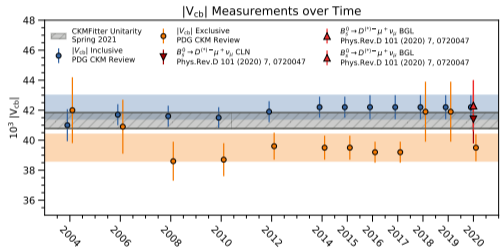
Physikalisches Institut  
University of Bonn



# Semileptonic B Decays at Belle II

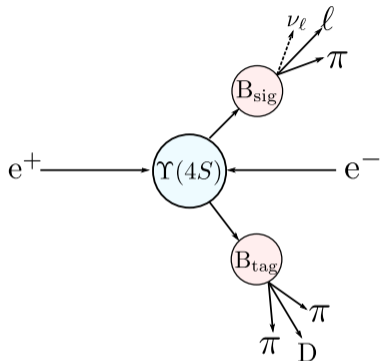


Precision CKM tests



tension between excl. and incl.  $V_{xb}$

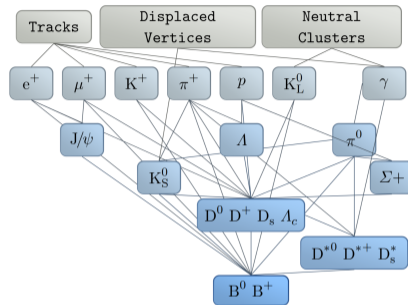
# Tag-Side Reconstruction using the Full Event Interpretation



- flavor constraint:  $B_{tag}^+ \rightarrow B_{sig}^-$

- kinematic constraint:

$$p_\nu = p_{e^+e^-} - p_{B_{tag}} - p_{X_c} - p_\ell$$

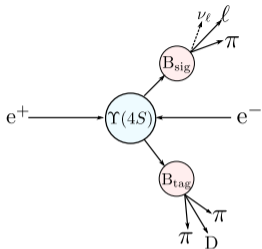


(Comp. Softw. Big Sci. (2019) 3: 6)

- MVA based tagging algorithm reconstruction  $B$  decays using a hierarchical approach
- reconstruction of  $\mathcal{O}(10,000)$  distinct  $D$  decay chains
- up to 30-50% improved efficiency at same purity compared to Belle Full Reconstruction

$$B \rightarrow \pi e^+ \nu_e$$

---

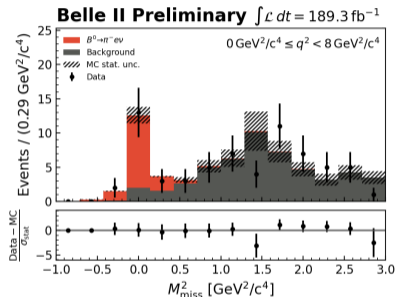


- reconstruct  $B \rightarrow \pi e^+ \nu_e$  ( $\pi = \pi^+ / \pi^0$ )
- main challenges: sample size,  $\pi^0$  reconstruction
- likelihood fit of  $m_{\text{miss}}^2$  in three  $q^2 = (p_e + p_{\nu_e})^2$  bins
- observed significance  $3.8\sigma - 5.4\sigma$

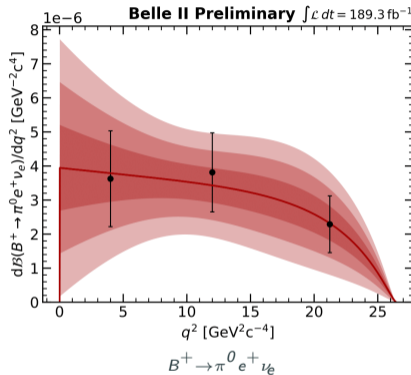
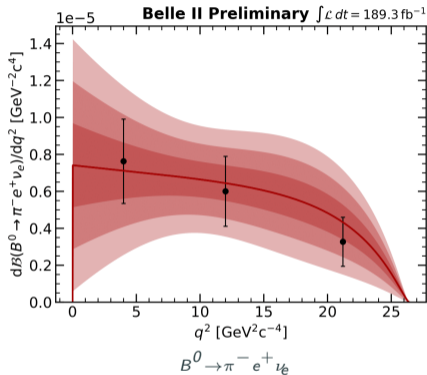
$$\mathcal{B}(B^0 \rightarrow \pi^- e^+ \nu_e) = (1.43 \pm 0.27 \text{ (stat.)} \pm 0.07 \text{ (sys.)}) \times 10^{-4} \quad (\text{PDG: } (1.50 \pm 0.06) \times 10^{-4})$$

$$\mathcal{B}(B^+ \rightarrow \pi^0 e^+ \nu_e) = (8.33 \pm 1.67 \text{ (stat.)} \pm 0.55 \text{ (sys.)}) \times 10^{-5} \quad (\text{PDG: } (7.80 \pm 0.27) \times 10^{-5})$$

$$B^0 \rightarrow \pi^- e^+ \nu_e$$



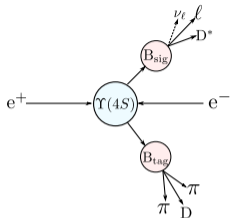
$$m_{\text{miss}}^2 = (p_{e^+ e^-} - p_{B_{\text{tag}}} - p_{\ell} - p_{\pi})^2$$



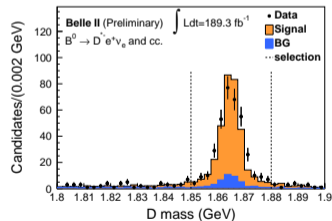
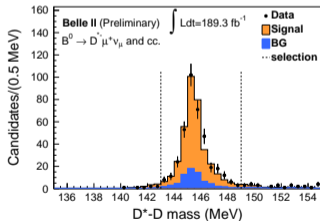
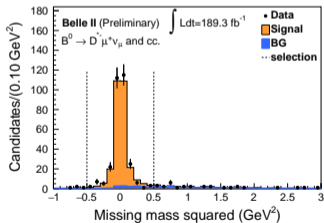
- unfolded  $q^2$  spectrum translated into differential branching fraction  $d\mathcal{B}/dq^2$
- $\chi^2$  fit of  $d\mathcal{B}/dq^2 \propto |V_{ub}|^2 f_+^2(q^2)$  using BCL form factor parameterization and lattice QCD constraints (Fermilab/MILC) ([arXiv:1503.07839](https://arxiv.org/abs/1503.07839))
- combined fit  $\rightarrow |V_{ub}| = (3.88 \pm 0.45) \times 10^{-3}$  (PDG:  $(3.67 \pm 0.15) \times 10^{-3}$ )

$$B^0 \rightarrow D^{*-} \ell^+ \nu_\ell$$

---

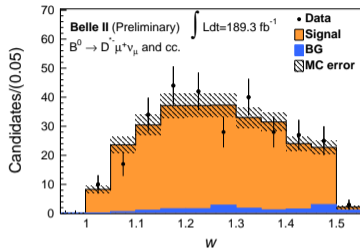


- reconstruct decay chain  $B^0 \rightarrow D^{*-} (\rightarrow \bar{D}^0 (\rightarrow K^+ \pi^-) \pi^-) \ell^+$
- event selection based on:
  - $m_D$
  - $\Delta m = m_{D^*} - m_D$
  - $m_{\text{miss}}^2 = (p_{e^+e^-} - p_{B_{\text{tag}}} - p_\ell - p_{D^*})^2$
- main challenges: low momentum  $\pi$  efficiency

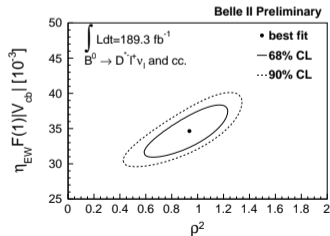
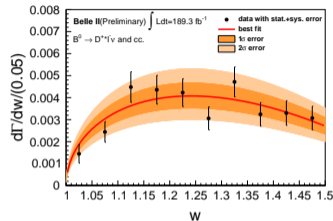


$$\rightarrow \mathcal{B}(B^0 \rightarrow D^{*-} \ell^+ \nu_\ell) = (5.27 \pm 0.22 \text{ (stat.)} \pm 0.38 \text{ (sys.)}) \% \quad (\text{PDG: } (5.66 \pm 0.22)\%)$$





$$w = (m_B^2 + m_{D^*}^2 - q^2) / (2m_B m_{D^*})$$



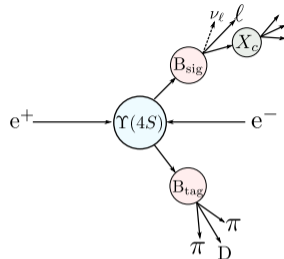
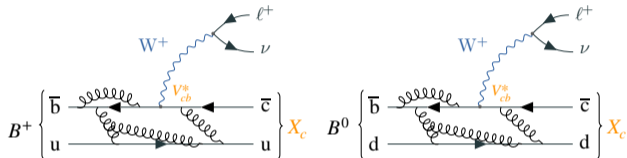
- fit  $\frac{d\Gamma}{dw} \propto \mathcal{F}^2(w) |V_{cb}|^2 \eta_{EW}^2$  using CLN form factor parameterization,  $R_1(1)$  &  $R_2(1)$  constrained to HFLAV averages (Nucl. Phys. B530, 153 (1998))

- $\eta_{EW} F(1) |V_{cb}| = (35.3 \pm 0.4) \times 10^{-3}$
- $\rho^2 = 0.94 \pm 0.21$

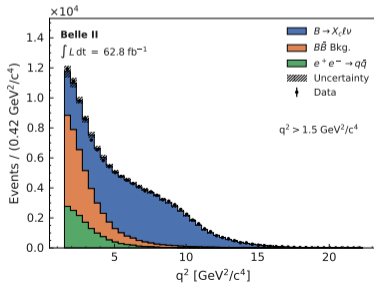
$$\rightarrow |V_{cb}| = (37.9 \pm 2.7) \times 10^{-3} \quad (\text{PDG: } (39.5 \pm 0.9) \times 10^{-3})$$

$$\mathbf{B} \rightarrow \mathbf{X}_c \nu_e$$

---



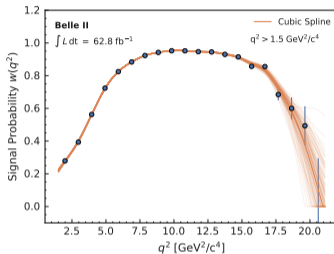
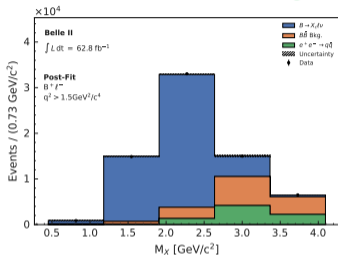
- HQE:  $\Gamma$ ,  $\langle M_X^n \rangle$ ,  $\langle E_\ell^{*n} \rangle$ , ...
- global fit:  $|V_{cb}|$  and HQE parameters at  $\mathcal{O}(1/m_b^3)$  (model-independent)
- reparameterization invariance:  $13 \rightarrow 8$  HQE parameters for  $\Gamma$  at  $\mathcal{O}(1/m_b^4)$  (arxiv:1812.07472)
- parameter reduction also valid for  $q^2$  moments



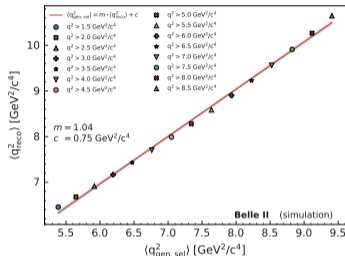
$$q^2 = (p_\ell + p_{\nu_\ell})^2$$

# $q^2$ Moments from $B \rightarrow X_c \ell \nu_\ell$

## Bkg. Subtraction

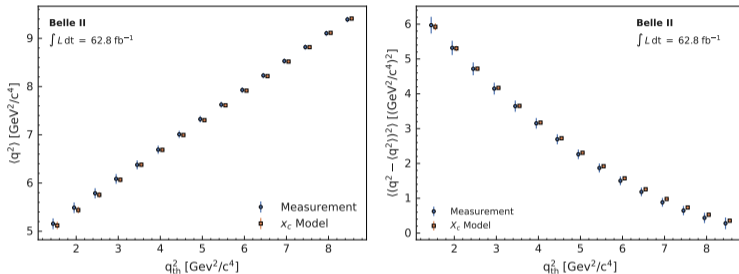


## $q^2$ Calibration



$$\langle q^{2n} \rangle = \frac{\sum_i w_i(q^2) q_{\text{calib},i}^{2n}}{\sum_i w_i(q^2)} \times C_{\text{calib}} \times C_{\text{gen}}$$

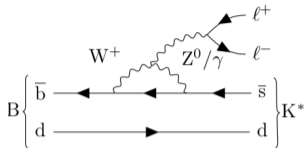
- likelihood fits to  $M_X$  distributions to determine background normalization
- bkg. subtraction via event-wise weights
- event-wise calibration removing resolution & selection effects
- main challenges: background modeling at low  $q^2$ , impact of  $B \rightarrow X_c \ell \nu_\ell$  modeling on calibration



- $q^2$  moments as functions of lower  $q^2$  thresholds
- first measurements for lower  $q^2$  thresholds in the region  $1.5 - 2.5 \text{ GeV}^2$  (covering up to 77% of available phase space)
- to be submitted to PRD soon, expect new inclusive  $|V_{cb}|$  fit in the near future

$$\mathbf{B} \rightarrow \mathbf{K}^* \ell \ell$$

---



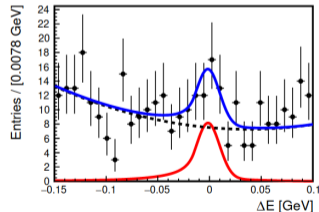
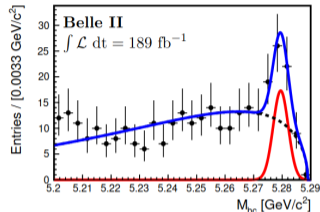
- reconstruct  $B \rightarrow K^* (\rightarrow K^+ \pi^-, K^+ \pi^0, K_S^0 \pi^+) \ell^+ \ell^-$
- 2D likelihood fit to  $M_{bc} = (s/4 - p_B^{*2})^{1/2}$  and  $\Delta E = E_B^* - \sqrt{s}/2$
- BF measurement over entire  $q^2$  range excluding  $J/\psi$  &  $\psi(2S)$  resonances
- observed signal significance  $3.6\sigma - 5.9\sigma$

$$\mathcal{B}(B \rightarrow K^* \mu^+ \mu^-) = (1.28 \pm 0.29_{-0.07}^{+0.08}) \times 10^{-6} \quad (\text{PDG: } (1.06 \pm 0.09) \times 10^{-6})$$

$$\mathcal{B}(B \rightarrow K^* e^+ e^-) = (1.04 \pm 0.48_{-0.09}^{+0.09}) \times 10^{-6} \quad (\text{PDG: } (1.19 \pm 0.20) \times 10^{-6})$$

$$\mathcal{B}(B \rightarrow K^* \ell^+ \ell^-) = (1.22 \pm 0.28_{-0.07}^{+0.08}) \times 10^{-6} \quad (\text{PDG: } (1.06 \pm 0.10) \times 10^{-6})$$

→ independent check of  $R(K^{(*)})$  anomalies with a few  $1 \text{ ab}^{-1}$



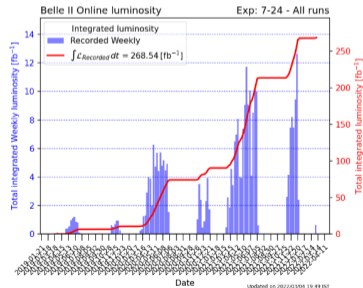
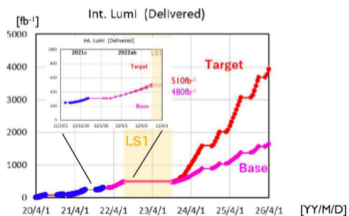
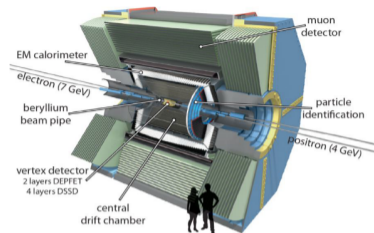
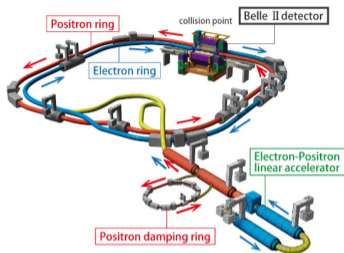
$B \rightarrow K^* \ell^+ \ell^-$

- precision measurements of  $|V_{cb}|$  and  $|V_{ub}|$  import aspect of semileptonic physics program at Belle II
- LFU violation in  $b \rightarrow s \ell \ell$  transitions one focus of the EW penguin program
- first results (all new for Moriond):
  - $|V_{ub}|$  from  $B \rightarrow \pi e^+ \nu_e$
  - $|V_{cb}|$  from  $B^0 \rightarrow D^{*-} \ell^+ \nu_\ell$
  - $q^2$  moments of  $B \rightarrow X_c \ell \nu_\ell$
  - BF measurements for  $B \rightarrow K^* \ell^+ \ell^-$



# BACKUP

# SuperKEKB and Belle II Detector



# $B \rightarrow \pi e^+ \nu_e$ Branching Fractions

$q^2$ bin	Signal efficiency	Unfolded signal yield	$\Delta \mathcal{B}$
$B^0 \rightarrow \pi^- e^+ \nu_e$			
$0 \text{ GeV}^2 \leq q^2 < 8 \text{ GeV}^2$	$(0.189 \pm 0.002)\%$	$15.5 \pm 4.6$	$(0.61 \pm 0.18(\text{stat}) \pm 0.03(\text{syst})) \times 10^{-4}$
$8 \text{ GeV}^2 \leq q^2 < 16 \text{ GeV}^2$	$(0.239 \pm 0.003)\%$	$15.3 \pm 4.8$	$(0.48 \pm 0.15(\text{stat}) \pm 0.02(\text{syst})) \times 10^{-4}$
$16 \text{ GeV}^2 \leq q^2 \leq 26.4 \text{ GeV}^2$	$(0.229 \pm 0.003)\%$	$10.3 \pm 4.2$	$(0.34 \pm 0.14(\text{stat}) \pm 0.02(\text{syst})) \times 10^{-4}$
Sum	–	$41.1 \pm 7.8$	$(1.43 \pm 0.27(\text{stat}) \pm 0.07(\text{syst})) \times 10^{-4}$
Fit over full $q^2$ range	$(0.217 \pm 0.002)\%$	$42.0 \pm 7.9$	$(1.45 \pm 0.27(\text{stat}) \pm 0.07(\text{syst})) \times 10^{-4}$
World average	–	–	$(1.50 \pm 0.06) \times 10^{-4}$

$q^2$ bin	Signal efficiency	Unfolded signal yield	$\Delta \mathcal{B}$
$B^+ \rightarrow \pi^0 e^+ \nu_e$			
$0 \text{ GeV}^2 \leq q^2 < 8 \text{ GeV}^2$	$(0.329 \pm 0.004)\%$	$12.9 \pm 4.7$	$(2.90 \pm 1.12(\text{stat}) \pm 0.19(\text{syst})) \times 10^{-5}$
$8 \text{ GeV}^2 \leq q^2 < 16 \text{ GeV}^2$	$(0.439 \pm 0.005)\%$	$18.1 \pm 5.1$	$(3.05 \pm 0.91(\text{stat}) \pm 0.20(\text{syst})) \times 10^{-5}$
$16 \text{ GeV}^2 \leq q^2 \leq 26.4 \text{ GeV}^2$	$(0.451 \pm 0.006)\%$	$14.5 \pm 4.9$	$(2.38 \pm 0.85(\text{stat}) \pm 0.16(\text{syst})) \times 10^{-5}$
Sum	–	$45.5 \pm 8.5$	$(8.33 \pm 1.67(\text{stat}) \pm 0.55(\text{syst})) \times 10^{-5}$
Fit over full $q^2$ range	$(0.402 \pm 0.003)\%$	$43.9 \pm 8.3$	$(8.06 \pm 1.62(\text{stat}) \pm 0.53(\text{syst})) \times 10^{-5}$
World average	–	–	$(7.80 \pm 0.27) \times 10^{-5}$

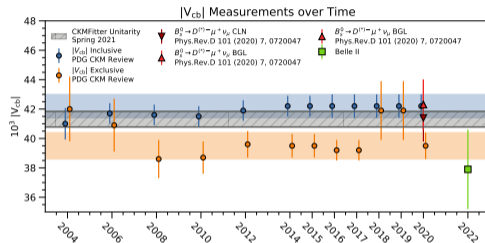
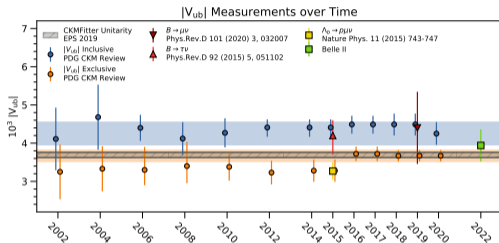
# $B \rightarrow \pi e^+ \nu_e$ Systematic Uncertainties

Source	% of $\mathcal{B}(B^0 \rightarrow \pi^- e^+ \nu_e)$			% of $\mathcal{B}(B^+ \rightarrow \pi^0 e^+ \nu_e)$			
	$q^2$ bin index	1	2	3	1	2	3
$N_{B\bar{B}}$					2.9		
$f_{+0}$					1.2		
FEI calibration		3.2				3.1	
Tracking		0.6				0.3	
$\pi^0$ efficiency		–				4.8	
Signal efficiency $\epsilon$	1.3	1.2	1.4	1.3	1.2	1.3	
Electron ID	1.0	0.4	0.4	1.0	0.5	0.5	
Pion ID	0.4	0.4	0.4		–		
Total	4.8	4.7	4.8	6.7	6.7	6.7	

# $B^0 \rightarrow D^{*-} \ell^+ \nu_\ell$ Systematic Uncertainties

Systematic sources	Relative uncertainty (%)
FEI efficiency	3.9
Low momentum $\pi$ efficiency	4.1
Tracking efficiency	0.9
Lepton particle identification	2.0
Background	1.2
$N_{B\bar{B}}$	2.9
$f_{+0}$	1.2
$\mathcal{B}(D^{*-} \rightarrow \pi^- \bar{D}^0)$	0.7
$\mathcal{B}(\bar{D}^0 \rightarrow K^+ \pi^-)$	0.8
ECL energy	1.0
Form factor	0.1
MC statistics	1.8
Total	7.3

# $|V_{cb}|$ and $|V_{ub}|$ at Belle II



(Credit: Markus Prim)

- measured  $|V_{xb}|$  values compatible with WA
- precision limited data sample size
- future improvements with untagged analyses of SL decays ( $\epsilon_{\text{tagged}} < 1\%$  vs.  $\epsilon_{\text{untagged}} \approx (20 - 30)\%$ )

# $q^2$ Moments from $B \rightarrow X_c \ell \nu_\ell$

Decay	$\mathcal{B}(B^+)$	$\mathcal{B}(B^0)$
$B \rightarrow D \ell \nu_\ell$	$(2.4 \pm 0.1) \times 10^{-2}$	$(2.2 \pm 0.1) \times 10^{-2}$
$B \rightarrow D^* \ell \nu_\ell$	$(5.5 \pm 0.1) \times 10^{-2}$	$(5.1 \pm 0.1) \times 10^{-2}$
$B \rightarrow D_1 \ell \nu_\ell$	$(6.6 \pm 1.1) \times 10^{-3}$	$(6.2 \pm 1.0) \times 10^{-3}$
$B \rightarrow D_2^* \ell \nu_\ell$	$(2.9 \pm 0.3) \times 10^{-3}$	$(2.7 \pm 0.3) \times 10^{-3}$
$B \rightarrow D_0^* \ell \nu_\ell$	$(4.2 \pm 0.8) \times 10^{-3}$	$(3.9 \pm 0.7) \times 10^{-3}$
$B \rightarrow D_1' \ell \nu_\ell$	$(4.2 \pm 0.9) \times 10^{-3}$	$(3.9 \pm 0.8) \times 10^{-3}$
$B \rightarrow D \pi \pi \ell \nu_\ell$	$(0.6 \pm 0.9) \times 10^{-3}$	$(0.6 \pm 0.9) \times 10^{-3}$
$B \rightarrow D^* \pi \pi \ell \nu_\ell$	$(2.2 \pm 1.0) \times 10^{-3}$	$(2.0 \pm 1.0) \times 10^{-3}$
$B \rightarrow D \eta \ell \nu_\ell$	$(4.0 \pm 4.0) \times 10^{-3}$	$(4.0 \pm 4.0) \times 10^{-3}$
$B \rightarrow D^* \eta \ell \nu_\ell$	$(4.0 \pm 4.0) \times 10^{-3}$	$(4.0 \pm 4.0) \times 10^{-3}$
$B \rightarrow X_c \ell \nu_\ell$	$(10.8 \pm 0.4) \times 10^{-2}$	$(10.1 \pm 0.4) \times 10^{-2}$

# $q^2$ Moments from $B \rightarrow X_c \ell \nu_\ell$

	$q_{\text{th}}^2$ [ $\text{GeV}^2/c^4$ ]	1.5	2.0	2.5	3.0	3.5	4.0	4.5	5.0	5.5	6.0	6.5	7.0	7.5	8.0	8.5
	$\langle q^2 \rangle$ [ $\text{GeV}^2/c^4$ ]	5.16	5.49	5.79	6.09	6.38	6.69	7.01	7.32	7.62	7.93	8.23	8.53	8.82	9.10	9.39
Calibration (MC Statistics)	Calib. Curve (Stat. Unc.)	0.63	0.56	0.49	0.43	0.38	0.33	0.29	0.26	0.25	0.26	0.28	0.30	0.33	0.37	0.40
	Bias Corr. (Stat. Unc.)	0.10	0.09	0.09	0.08	0.08	0.08	0.07	0.07	0.07	0.07	0.06	0.06	0.06	0.06	0.06
Calibration ( $X_c$ Model)	$B(B \rightarrow D\ell\nu)$	0.10	0.09	0.08	0.07	0.06	0.05	0.04	0.04	0.03	0.02	0.02	0.01	0.01	0.00	0.00
	$B(B \rightarrow D^*\ell\nu)$	0.33	0.29	0.24	0.21	0.17	0.14	0.11	0.09	0.07	0.05	0.04	0.03	0.02	0.01	0.00
	$B(B \rightarrow D^{**}\ell\nu)$	0.71	0.63	0.55	0.48	0.40	0.34	0.28	0.23	0.18	0.13	0.10	0.07	0.05	0.03	0.02
	Non-Res. $X_c$ Dropped	0.31	0.63	0.75	0.76	0.69	0.60	0.48	0.39	0.32	0.25	0.18	0.14	0.11	0.08	0.06
	Non-Res. $X_c$ Repl. w/ $D_1', D_0^*$	0.34	0.49	0.51	0.45	0.37	0.29	0.18	0.10	0.04	0.02	0.00	0.03	0.03	0.03	0.01
	$B \rightarrow D\ell\nu$ Form Factor	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.01	0.00	0.00	0.00	0.00	0.00	0.00
	$B \rightarrow D^*\ell\nu$ Form Factor	0.08	0.07	0.07	0.07	0.06	0.06	0.06	0.05	0.05	0.05	0.04	0.04	0.04	0.04	0.03
Calibration (Reconstruction)	PID Uncertainty	0.14	0.12	0.11	0.09	0.08	0.07	0.05	0.04	0.03	0.02	0.02	0.01	0.01	0.01	0.01
	$N_\gamma$ Reweighted	0.30	0.27	0.24	0.22	0.20	0.18	0.16	0.14	0.14	0.13	0.13	0.12	0.11	0.10	0.10
	$N_{\text{tracks}}$ Reweighted	1.09	1.00	0.92	0.85	0.78	0.72	0.65	0.60	0.55	0.51	0.47	0.44	0.41	0.38	0.35
	$E_{\text{miss}} - p_{\text{miss}}$ Reweighted	0.26	0.22	0.21	0.19	0.18	0.17	0.15	0.15	0.14	0.14	0.13	0.12	0.12	0.11	0.09
	Tracking Efficiency	0.13	0.12	0.11	0.10	0.09	0.09	0.08	0.07	0.06	0.06	0.05	0.05	0.05	0.04	0.04
Background Subtraction	Spline Smooth. Factor	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Bkg. Yield & Shape	1.39	1.15	0.90	0.77	0.63	0.47	0.33	0.23	0.16	0.10	0.06	0.03	0.02	0.05	0.06
Other	Non-Closure Bias	0.18	0.21	0.16	0.11	0.06	0.05	0.02	0.02	0.01	0.02	0.02	0.02	0.01	0.01	0.02
	Stat. Uncertainty	0.27	0.24	0.21	0.20	0.18	0.16	0.16	0.15	0.14	0.14	0.13	0.13	0.13	0.13	0.13
	Syst. Uncertainty	2.14	1.99	1.80	1.64	1.44	1.23	1.02	0.88	0.77	0.69	0.62	0.59	0.57	0.56	0.57
	Total Uncertainty	2.16	2.00	1.81	1.65	1.45	1.24	1.03	0.89	0.78	0.70	0.64	0.61	0.59	0.58	0.58



# $B \rightarrow K^* \ell^+ \ell^-$ Systematic Uncertainties

Source	Systematic (%)
signal shape	$\sim 1.0$
$\mu$ identification	+1.9 -0.8
$e$ identification	+0.9 -0.5
$K^+$ identification	0.4
$\pi^+$ identification	2.5
$K_S^0$ identification	2.0
$\pi^0$ identification	3.4
FastBDT	1.3 – 1.7
limited MC statistics	$< 0.5$
signal cross feed	$\sim 1\%$
tracking	1.2 – 1.5
$f^{+- (00)}$	1.2
number of $B\bar{B}$ pairs	2.9
Total	+6.7 -6.0

# Expected $\mathcal{R}(D^{(*)})$ Precision

