The Silicon Vertex Detector of the Belle II Experiment

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39 Abstract

The Silicon Vertex Detector (SVD) is a part of the vertex detector in the Belle II experiment at the SuperKEKB collider (KEK, Japan). Since the start of data taking in spring 2019, the SVD has been operating stably and reliably

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with a high signal-to-noise ratio and hit efficiency, achieving good spatial resolu-43 tion and high track reconstruction efficiency. The hit occupancy, which mostly 44 comes from the beam-related background, is currently about 0.5% in the in-45 nermost layer, causing no impact on the SVD performance. In anticipation of 46 the operation at higher luminosity in the next years, two strategies to sustain 47 the tracking performance in future high beam background conditions have been 48 developed and tested on data. One is to reduce the number of signal waveform 49 samples to decrease dead time, data size, and occupancy. The other is to utilize 50 the good hit-time resolution to reject the beam background hits. We also mea-51 sured the radiation effects on the sensor current, strip noise, and full depletion 52 voltage caused during the first two and a half years of operation. The results 53 show no detrimental effect on the SVD performance. 54

55 Keywords: Silicon strip detector, Vertex detector, Tracking detector, Belle II

56 1. Introduction

The Belle II experiment [1] aims to probe new physics beyond the Standard 57 Model in high-luminosity e⁺e⁻ collisions at the SuperKEKB collider (KEK, 58 Japan) [2]. SuperKEKB consists of the following components: injector LINAC, 59 positron damping ring, and main storage ring with the electron and positron 60 beamlines. The Belle II detector is located at the interaction point (IP) of 61 the two beamlines. The main collision energy in the center-of-mass system is 62 10.58 GeV on the $\Upsilon(4S)$ resonance, which enables various physics programs 63 based on the large samples of B mesons, τ leptons, and D mesons. Also, the 64 asymmetric energy of the 7 GeV electron beam and 4 GeV positron beam is 65 adopted for time-dependent CP violation measurements. The target of Su-66 perKEKB is to accumulate an integrated luminosity of 50 ab^{-1} with peak lu-67 minosity of about 6×10^{35} cm⁻²s⁻¹. In June 2021, SuperKEKB recorded the 68 wolrd's highest instantaneous luminosity of 3.1×10^{34} cm⁻²s⁻¹. The data accu-69 mulated before July 2021 corresponds to an integrated luminosity of 213 fb^{-1} . 70 The Vertex Detector (VXD) is the innermost detector in the Belle II detector 71

system. The VXD has six layers: the inner two layers (layers 1 and 2) are the
Pixel Detector (PXD), and the outer four layers (layers 3 to 6) are the Silicon
Vertex Detector (SVD). The schematic cross-sectional view of the VXD is shown
in Fig. 1. The PXD consists of DEPFET pixel sensors, and its innermost radius
is 1.4 cm from the IP. A detailed description of the SVD appears in Sec. 2.



Figure 1: Schematic cross-sectional view of the VXD. The SVD is in red, the PXD in lightblue, and the IP beam pipe diamonds in pink circles. The locations of the three types of DSSDs are indicated by boxes in three colors: purple for small sensors, green for large sensors, and orange for trapezoidal sensors as described in Tab. 1.

Besides the VXD, diamond sensors [3] are mounted on the IP beam pipe and the bellows pipes outside of the VXD. The pink circles in Fig. 1 indicate the locations of the diamond sensors on the IP beam pipe. They measure the dose rates in these locations. The measured doses are used to estimate the dose in the SVD. They also send beam abort requests to SuperKEKB if the radiation level gets too high to avoid severe damage to the detector.

2. Belle II Silicon Vertex Detector

The SVD is crucial for extrapolating the tracks to the PXD. This task is essential for measuring the decay vertices with the PXD and pointing at a regionof-interest limiting the PXD readout volume. Other roles of the SVD are the standalone track reconstruction of low-momentum charged particles and their particle identification using ionization energy deposits. The SVD also plays a critical role in the decay vertex measurement in the case of long-lived particles like K_S mesons, which decay inside the SVD volume.

The SVD [4] consists of four layers of double-sided silicon strip detectors 91 (DSSDs). The material budget of the SVD is about 0.7% of a radiation length 92 per layer. The aluminum readout strips are AC-coupled to every other n/p-93 side strips (electrodes) on the n-type substrate over the silicon oxide layer. On 94 each DSSD plane, a local coordinate is defined with u and v: u-axis along n-side 95 strips and v-axis perpendicular to u-axis. In other words, p-side strips and n-side 96 strips provide u and v information, respectively. In the cylindrical coordinate, u97 corresponds to $r-\varphi$ information and v corresponds to z information. The SVD 98 consists of three types of sensors: "small" sensors in layer 3, "large" sensors in 99 the barrel region of layers 4, 5, and 6, and "trapezoidal" sensors in the forward 100 region of layers 4, 5, and 6, which is slanted. They are indicated in blue, green, 101 and orange boxes in Fig. 1. The dimensions for these three types of sensors 102 are summarized in Tab. 1. The sensors are manufactured by two companies: 103 the small and large sensors by Hamamatsu and trapezoidal sensors by Micron. 104 The full depletion voltage is 60 V for Hamamatsu sensors and 20 V for Micron 105 sensors; both types of sensors are operated at 100 V. In total, 172 sensors are 106 assembled, corresponding to a total sensor area of 1.2 m^2 and approximately 107 224,000 readout strips. 108

	Small	Large	Trapezoidal
No. of u/p-strips	768	768	768
u/p-strip pitch	$50 \ \mu m$	$75~\mu{ m m}$	50–75 μm
No. of v/n-strips	768	512	512
v/n-strip pitch	160 µm	$240~\mu\mathrm{m}$	$240~\mu\mathrm{m}$
Thickness	320 µm	$300~\mu{\rm m}$	$300~\mu{\rm m}$
Manufacturer	Hamamatsu		Micron

Table 1: Table of the dimensions for the three types of sensors. Only readout strips are taken into account for number of strips and strip pitch.

The front-end ASIC used in the SVD is APV25 [5], which was originally developed for the CMS silicon tracker. The APV25 is radiation hard for a dose

up to 100 Mrad radiation. It has 128 channel inputs and shapers for each channel 111 with a shaping time of about 50 ns. For the SVD, the APV25 is operated in 112 "multi-peak" mode. The mechanism of the data sampling in the multi-peak 113 mode is explained in Fig. 2. The chip samples the height of the signal waveform 114 with the 32 MHz clock (31 ns period) and stores each sample's information in an 115 analog ring buffer. Since the bunch-crossing frequency is eight times faster than 116 the sampling clock, the stored samples are not synchronous to the beam collision, 117 in contrast to CMS, which motivates operation in the multi-peak mode. In the 118 present readout configuration (the six-samples mode), at every reception of the 119 Belle II global Level-1 trigger, the chip reads out six successive samples of the 120 signal waveform stored in the buffers. The six-samples mode offers enough time 121 window $(6 \times 31 \text{ ns} = 187 \text{ ns})$ to accommodate large timing shifts of the trigger. In 122 preparation for operation with higher luminosity, where background occupancy, 123 trigger dead-time, and the data size increase, we developed the three/six-mixed 124 acquisition mode (mixed-mode). The mixed-mode is a new method to read out 125 the signal samples from the APV25, in which the number of the samples changes 126 between three and six in each event, depending on the timing precision of each 127 Level-1 trigger signal in that event. For triggers with good timing precision, 128 three-samples data are read out and the data have half time window and half 129 data size compared to ones of six-samples data, resulting in the reduction of the 130 effect due to higher luminosity. This functionality was already implemented in 131 the running system and confirmed by a few hours of smooth physics data-taking. 132 Before we start to use the mixed-mode, the effect on the performance due to 133 the change of the acquisition mode is to be assessed. As the first step, the effect 134 in the hit efficiency was evaluated as described in Sec. 3. 135

The APV25 chips are mounted on each middle sensor (chip-on-sensor concept) with thermal isolation foam in between. The merit of this concept is shorter signal propagation length, leading to smaller capacitance of the signal line and hence reduced noise level. To minimize the material budget the APV25 chips on the sensor are thinned down to 100 μ m. APV25s are mounted on a single side of the sensor and readout of the signals is from the other side via



Figure 2: Example of sampling in the "multi-peak" mode of APV25. The black line shows the signal waveform after the CR-RC shaper circuit. The stars show the sampled signal height recorded in the analog ring buffer according to the 32 MHz sampling clock. The red stars indicate the six successive samples read out at the trigger reception in the six-samples mode. The red stars with a green circle indicate the samples read out in the three-samples acquisition.

wrapped flexible printed circuits. The power consumption of the APV25 chip is 0.4 W/chip and in total 700 W in the entire SVD. The chips are chilled by bi-phase -20°C CO_2 .

¹⁴⁵ **3. Performance**

The SVD was combined with the PXD to complete the VXD assembly in October 2018, and the VXD was installed to the Belle II detector system in November 2018. Since March 2019, the SVD has been operating reliably and smoothly for two and a half years. The total fraction of masked strips is about 1%. There was only one issue where one APV25 chip (out of 1,748 chips) was disabled during the spring of 2019, which was remediated by reconnecting a cable in the summer of 2019.

The SVD has also demonstrated stable and excellent performance [6]. The hit efficiency is continuously over 99% in most of the sensors. The cluster charge distributions are also reasonable. On the u/p-side, the most probable values agree with the calculated charge amount induced by MIPs within the uncertainty in calibration. On the v/n-side, 10–30% of the collected charge is lost compared to MIP due to the smaller inter-strip capacitance of the floating strips with larger strip pitches than the u/p-side. The most probable values of the cluster signal-to-noise ratio distributions range from 13 to 30.

We measured the cluster position resolution by analyzing the $e^+e^- \rightarrow \mu^+\mu^-$ 161 data [7]. The cluster position resolution is estimated from the residual between 162 the cluster position and the track position not biased by the target cluster after 163 subtracting the effect of the track extrapolation error. The cluster position 164 resolutions for different incident angles are shown in Fig. 3. For normal incident 165 tracks, it agrees with the expectations from the strip pitch including floating 166 strips. For tracks with an incident angle, it is expected to get a better resolution, 167 which is indeed the case in the v/n-side results. However, this effect is not 168 observed on the u/p-side, and the study is still ongoing to improve the cluster 169 position estimation. 170



Figure 3: The SVD cluster position resolution depending on the projected track incident angle. The green (blue) plot shows the resolution in the u/p-side (n/v-side) of layer-3 sensors, and the red (yellow) one shows the u/p-side (n/v-side) of layer-4, 5, and 6 sensors.

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The cluster hit-time resolution was also evaluated in candidate hadronic

events¹ using the reference event time estimated by the Central Drift Chamber 172 (CDC) outside of the SVD. The error on the event time, about 0.7 ns, was 173 subtracted to evaluate the intrinsic SVD hit-time resolution. The resulting 174 resolution is 2.9 ns on the u/p-side and 2.4 ns on the v/n-side. With such 175 precise hit-time information, it is possible to reject off-time background hits 176 efficiently. The hit-time distributions for signal² and background³ are shown 177 in Fig. 4. The signal distribution has a narrow peak, while the background 178 hit-time distribution is broad and almost flat in the signal peak region. The 179 separation power of the hit-time is high, as expected. For example, if we reject 180 hits with the hit-time less than -38 ns in this plot, we can reject 45% of the 181 background hits while keeping 99% of the signal hits. The background rejection 182 based on the hit-time is essential to sustain the good tracking performance in 183 the future high beam background condition. 184



Figure 4: Example of the background hit rejection using hit-time. The blue distribution shows the signal, and the black distribution shows the background. Assuming the hit-time cut at -38 ns, the signal hit efficiency of 99% and the background hit rejection of 45% are achieved.

185 186 The performance in three-samples data was compared with that in sixsamples data to evaluate the performance in the mixed-mode. If the trigger

¹The events with more than three good tracks and not like Bhabha scattering.

 $^{^{2}}$ The clusters found to be used in the tracks in the hadronic events.

³The clusters in events triggered by delayed-Bhabha pseudo-random trigger.

timing has no deviation, the three-samples data will show comparable perfor-187 mance to the six-samples data because the relevant part of the signal waveform 188 to evaluate the necessary signal properties, which are the signal height and the 189 signal timing, can be accommodated in the three-sample's time window. How-190 ever, when the trigger has a jitter and the timing shift happens, some part of 191 the signal waveform can be out of the three-sample's time window, and the 192 reconstruction performance deteriorates. We examined the effect on the hit ef-193 ficiency as a function of the trigger timing shift. The effect is evaluated by the 194 relative hit efficiency, which is defined as the ratio of the hit efficiency in the 195 three-samples data to the one in the six-samples data. For this study, the three-196 samples data are emulated in the offline analysis from the six-samples data by 197 selecting consecutive three samples at a fixed latency with respect to the L1 198 trigger signal. The trigger timing shift is evaluated by the CDC event time. 199 The resulting relative efficiencies as a function of the trigger timing shift in the 200 hadronic events are shown in Fig. 5. The decreasing trend is observed for the 201 shift of the trigger timing, as expected. As a result, the relative efficiency is 202 over 99.9% for the trigger timing shift within ± 30 ns, which is almost all the 203 events. 204



Figure 5: The relative hit efficiencies (the ratios of the hit efficiency in the three-samples data to the one in the six-samples data) as a function of the trigger timing shift for v/n-side (blue square) and u/p-side (red diamond). The positive (negative) trigger timing shift corresponds to early (late) trigger timing.

²⁰⁵ 4. Beam-related background effects on SVD

The beam-related background increases the hit occupancy of the SVD, which 206 in turn degrades the tracking performance. Considering this performance degra-207 dation, we set the occupancy limit in layer-3 sensors to be about 3%, which will 208 be loosened roughly by a factor of two after we apply the hit-time rejection 209 described in Sec. 3. With the current luminosity, the average hit occupancy in 210 layer-3 sensors is less than 0.5%. However, the projection of the hit occupancy 211 at the luminosity of 8×10^{35} cm⁻²s⁻¹ is about 3% in layer-3 sensors. The pro-212 jected occupancy comes from the Monte Carlo (MC) simulation scaled by the 213 data/MC ratio determined from the beam background data of the current beam 214 optics. The corresponding dose is about 0.2 Mrad/smy, and the equivalent 1-215 MeV neutron fluence is about $5 \times 10^{11} n_{eq}/cm^2/smy$ (smy: Snowmass Year = 216 10^7 sec). The long-term extrapolation of the beam background is affected by 217 large uncertainties from the optimization of collimator settings in MC and the 218 future evolution of the beam injection background, which is not simulated. This 219 uncertainty motivates the VXD upgrade which improves the tolerance of the hit 220 rates and the radiation damage, and the technology assessment is ongoing for 221 multiple sensor options. 222

From the measured dose on diamond sensors, the integrated radiation dose 223 in the layer-3 mid-plane sensors, which are the most exposed in the SVD, 224 is estimated to be 70 krad. The estimation is based on the correlation be-225 tween the SVD occupancy and the diamonds dose. The estimated dose in-226 cludes uncertainties of about 30% due to the unavailability of the appropri-227 ate trigger before December 2020. Assuming the $dose/n_{eq}$ fluence ratio of 228 $2.3 \times 10^9 n_{eq}/cm^2/krad$ from MC, 1-MeV equivalent neutron fluence is eval-229 uated to be about $1.6 \times 10^{11} n_{eq}/cm^2$ in the first two and a half years. 230

The effect of the integrated dose on the sensor leakage current is measured, and the results show a clear linear correlation as in the upper plot of Fig. 6. The slopes for all the sensors are summarized in the lower plot of Fig. 6. They are around 2–5 μ A/cm²/Mrad. The large variations can be explained by tem-

perature effects and the deviation of sensor-by-sensor dose from the average in 235 each layer used in the estimation. The slopes are in the same order of magni-236 tude as previously measured in the BaBar experiment [8], 1 μ A/cm²/Mrad at 237 20°C. While the leakage current is increasing, the impact on the strip noise is 238 suppressed by the short shaping time (50 ns) in APV25. It is expected to be 239 comparable to the strip-capacitive noise only after 10 Mrad irradiation and not 240 problematic for ten years where the integrated dose is estimated to be 2 Mrad. 241 The relation between the noise and the integrated dose is shown in Fig. 7. 242 The noise increase of 20-25% is observed in layer 3, but this does not affect the 243 SVD performance. This noise increase is likely due to the radiation effects on 244 the sensor surface. Fixed oxide charges on sensor surface increase non-linearly, 245 enlarging inter-strip capacitance. The noise saturation is observed on the v/n-246 side and also starts to be seen on the u/p-side. This behavior agrees with the 247 increase of fixed oxide charges. 248

The full depletion voltage of the sensor is also a key property that can be 249 affected by the radiation damage. It can be measured from the v/n-side strip 250 noise, which suddenly decreases at the full depletion voltage because the sensor 251 substrate is n-type and thus the v/n-side strips can be fully isolated at the full 252 depletion. From this measurement, reasonable full depletion voltages, which are 253 consistent with the values mentioned in Sec. 2, were confirmed, and so far no 254 change in full depletion voltage is observed in the first two and a half years of 255 operation, which is consistent with the expectation from low integrated neutron 256 fluence of $1.6 \times 10^{11} \text{ n}_{eq}/\text{cm}^2$. 257

258 5. Conclusions

The SVD has been taking data in Belle II since March 2019 smoothly and reliably. The detector performance is excellent and agrees with expectations. We are ready to cope with the increased background during higher luminosity running by rejecting the off-time background hits using hit-time and operating in the three/six-mixed acquisition mode. In the recent study, the efficiency $_{264}$ loss in the three-samples data is confirmed to be less than 0.1% for the trigger $_{265}$ timing shift within ± 30 ns. The observed first effects of radiation damage are $_{266}$ also within expectation and do not affect the detector performance.

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Figure 6: (upper) Effect of the integrated dose on the leakage current in the n/v-side of one layer-3 sensor. The slope is fitted for each run period (solid red line) and for all the runs (dashed blue line). Both fit results agree with each other and are consistent with the linear increase. (lower) The fit results of all the sensors for all runs. The sensors are classified as trapezoidal sensors in the forward region (Micron), sensors around the midplane, and the others.



Figure 7: Effect of the integrated dose on the noise average in electron. The clear increase is observed and saturated (or start to be saturated) for layer-3 sensors.