

# 1 The Silicon Vertex Detector of the Belle II Experiment

2 Y. Uematsu<sup>a</sup>, K. Adamczyk<sup>t</sup>, L. Aggarwal<sup>l</sup>, H. Aihara<sup>q</sup>, T. Aziz<sup>j</sup>, S. Bacher<sup>t</sup>,  
3 S. Bahinipati<sup>f</sup>, G. Batignani<sup>k,l</sup>, J. Baudot<sup>e</sup>, P. K. Behera<sup>g</sup>, S. Bettarini<sup>k,l</sup>,  
4 T. Bilka<sup>c</sup>, A. Bozek<sup>t</sup>, F. Buchsteiner<sup>b</sup>, G. Casarosa<sup>k,l</sup>, L. Corona<sup>k,l</sup>, T. Czank<sup>p</sup>,  
5 S. B. Das<sup>h</sup>, G. Dujany<sup>e</sup>, F. Forti<sup>k,l</sup>, M. Friedl<sup>b</sup>, A. Gabrielli<sup>m,n</sup>, E. Ganiev<sup>m,n</sup>,  
6 B. Gobbo<sup>n</sup>, S. Halder<sup>j</sup>, K. Hara<sup>r,o</sup>, S. Hazra<sup>j</sup>, T. Higuchi<sup>p</sup>, C. Irmiler<sup>b</sup>,  
7 A. Ishikawa<sup>r,o</sup>, H. B. Jeon<sup>s</sup>, Y. Jin<sup>m,n</sup>, C. Joo<sup>p</sup>, M. Kaleta<sup>t</sup>, A. B. Kaliyar<sup>j</sup>,  
8 J. Kandra<sup>c</sup>, K. H. Kang<sup>s</sup>, P. Kapusta<sup>t</sup>, P. Kodyš<sup>c</sup>, T. Kohriki<sup>r</sup>, M. Kumar<sup>h</sup>,  
9 R. Kumar<sup>i</sup>, C. La Licata<sup>p</sup>, K. Lalwani<sup>h</sup>, S. C. Lee<sup>s</sup>, J. Libby<sup>g</sup>, S. N. Mayekar<sup>j</sup>,  
10 G. B. Mohanty<sup>j</sup>, T. Morii<sup>p</sup>, K. R. Nakamura<sup>r,o</sup>, Z. Natkaniec<sup>t</sup>, Y. Onuki<sup>q</sup>,  
11 W. Ostrowicz<sup>t</sup>, A. Paladino<sup>k,l</sup>, E. Paoloni<sup>k,l</sup>, H. Park<sup>s</sup>, G. Polat<sup>d</sup>, K. K. Rao<sup>j</sup>,  
12 I. Ripp-Baudot<sup>e</sup>, G. Rizzo<sup>k,l</sup>, D. Sahoo<sup>j</sup>, C. Schwanda<sup>b</sup>, J. Serrano<sup>d</sup>,  
13 J. Suzuki<sup>r</sup>, S. Tanaka<sup>r,o</sup>, H. Tanigawa<sup>q</sup>, R. Thalmeier<sup>b</sup>, R. Tiwari<sup>j</sup>,  
14 T. Tsuboyama<sup>r,o</sup>, O. Verbycka<sup>t</sup>, L. Vitale<sup>m,n</sup>, K. Wan<sup>q</sup>, Z. Wang<sup>q</sup>, J. Webb<sup>a</sup>,  
15 J. Wiechczynski<sup>l</sup>, H. Yin<sup>b</sup>, L. Zani<sup>d</sup>,

16 (Belle-II SVD Collaboration)

17 <sup>a</sup>*School of Physics, University of Melbourne, Melbourne, Victoria 3010, Australia*

18 <sup>b</sup>*Institute of High Energy Physics, Austrian Academy of Sciences, 1050 Vienna, Austria*

19 <sup>c</sup>*Faculty of Mathematics and Physics, Charles University, 121 16 Prague, Czech Republic*

20 <sup>d</sup>*Aix Marseille Université, CNRS/IN2P3, CPPM, 13288 Marseille, France*

21 <sup>e</sup>*IPHC, UMR 7178, Université de Strasbourg, CNRS, 67037 Strasbourg, France*

22 <sup>f</sup>*Indian Institute of Technology Bhubaneswar, Satya Nagar, India*

23 <sup>g</sup>*Indian Institute of Technology Madras, Chennai 600036, India*

24 <sup>h</sup>*Malaviya National Institute of Technology Jaipur, Jaipur 302017, India*

25 <sup>i</sup>*Punjab Agricultural University, Ludhiana 141004, India*

26 <sup>j</sup>*Tata Institute of Fundamental Research, Mumbai 400005, India*

27 <sup>k</sup>*Dipartimento di Fisica, Università di Pisa, I-56127 Pisa, Italy*

28 <sup>l</sup>*INFN Sezione di Pisa, I-56127 Pisa, Italy*

29 <sup>m</sup>*Dipartimento di Fisica, Università di Trieste, I-34127 Trieste, Italy*

30 <sup>n</sup>*INFN Sezione di Trieste, I-34127 Trieste, Italy*

31 <sup>o</sup>*The Graduate University for Advanced Studies (SOKENDAI), Hayama 240-0193, Japan*

32 <sup>p</sup>*Kavli Institute for the Physics and Mathematics of the Universe (WPI), University of  
33 Tokyo, Kashiwa 277-8583, Japan*

34 <sup>q</sup>*Department of Physics, University of Tokyo, Tokyo 113-0033, Japan*

35 <sup>r</sup>*High Energy Accelerator Research Organization (KEK), Tsukuba 305-0801, Japan*

36 <sup>s</sup>*Department of Physics, Kyungpook National University, Daegu 41566, Korea*

37 <sup>t</sup>*H. Niewodniczanski Institute of Nuclear Physics, Krakow 31-342, Poland*

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## 38 Abstract

39 The Silicon Vertex Detector (SVD) is a part of the vertex detector in the  
40 Belle II experiment at the SuperKEKB collider (KEK, Japan). Since the start  
41 of data taking in spring 2019, the SVD has been operating stably and reliably

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*Email address: uematsu@hep.phys.s.u-tokyo.ac.jp (Y. Uematsu)*

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42 with a high signal-to-noise ratio and hit efficiency, achieving good spatial resolu-  
43 tion and high track reconstruction efficiency. The hit occupancy, which mostly  
44 comes from the beam-related background, is currently about 0.5% in the in-  
45 nermost layer, causing no impact on the SVD performance. In anticipation of  
46 the operation at higher luminosity in the next years, two strategies to sustain  
47 the tracking performance in future high beam background conditions have been  
48 developed and tested on data. One is to reduce the number of signal waveform  
49 samples to decrease dead time, data size, and occupancy. The other is to utilize  
50 the good hit-time resolution to reject the beam background hits. We also mea-  
51 sured the radiation effects on the sensor current, strip noise, and full depletion  
52 voltage caused during the first two and a half years of operation. The results  
53 show no detrimental effect on the SVD performance.

54 *Keywords:* Silicon strip detector, Vertex detector, Tracking detector, Belle II

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## 55 1. Introduction

56 The Belle II experiment [1] aims to probe new physics beyond the Stan-  
57 dard Model in high-luminosity  $e^+e^-$  collision at the SuperKEKB collider (KEK,  
58 Japan) [2]. The SuperKEKB consists of injector LINAC, positron dumping ring,  
59 and main storage ring with the electron and positron beamlines. The Belle II  
60 detector is located at the interaction point (IP) of the two beamlines. The main  
61 collision energy in the center-of-mass system is 10.58 GeV on the  $\Upsilon(4S)$  mass-  
62 resonance, which enables various physics programs based on the high statistics of  
63 B-mesons,  $\tau$ -leptons, and D-mesons. Also, the asymmetric energy of the 7-GeV  
64 electron beam and 4-GeV positron beam is adopted for the time-dependent  $CP$   
65 violation measurement. The target of SuperKEKB is to accumulate integrated  
66 luminosity of  $50 \text{ ab}^{-1}$  with peak luminosity of about  $6 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$ . In  
67 June 2021, SuperKEKB recorded the world's highest instantaneous luminosity  
68 of  $3.1 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ . The data accumulated before July 2021 is  $213 \text{ fb}^{-1}$ .

69 The Vertex Detector (VXD) is the innermost detector in the Belle II detector  
70 system. The VXD has six layers: the inner two layers (layers-1 and 2) are the

71 Pixel Detector (PXD), and the outer four layers (layers-3 to 6) are the Silicon  
 72 Vertex Detector (SVD). The schematic cross-sectional view of the VXD is shown  
 73 in Fig. 1. The PXD consists of DEPFET pixel sensors, and its innermost radius  
 74 is 1.4 cm from the IP. Detailed descriptions of the SVD appear in Sec. 2.

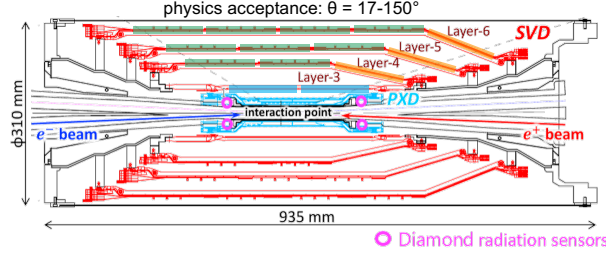


Figure 1: Schematic cross-sectional view of the VXD. The SVD is in red, the PXD in light-blue, and the IP beam pipe diamonds in pink circles. The locations of the three types of DSSDs are indicated by boxes in three colors: blue for small sensors, green for large sensors, and orange for trapezoidal sensors as described in Tab. 1.

75 Besides the VXD, diamond sensors [3] are mounted on the IP beam pipe and  
 76 the bellows pipes outside of the VXD. The pink circles in Fig. 1 indicate the  
 77 locations of the diamond sensors on the IP beam pipe. They measure the dose  
 78 rates in these locations. The measured doses are used to estimate the dose in  
 79 the SVD. They also send beam abort requests to SuperKEKB if the radiation  
 80 level gets too high to avoid severe damage to the detector.

## 81 2. Belle II Silicon Vertex Detector

82 The SVD is crucial for extrapolating the tracks to the PXD. This task is  
 83 essential for measuring the decay vertices with the PXD and pointing at a region-  
 84 of-interest limiting the PXD readout volume. Also, the SVD plays a critical role  
 85 in the decay vertex measurement in case long-lived particles like  $K_S$  decay inside  
 86 the SVD volume. Other roles of the SVD are the standalone track reconstruction  
 87 of low-momentum charged particles and their particle identification using energy  
 88 deposit  $dE/dx$ .

89 The SVD [4] consists of four layers of Double-sided Silicon Strip Detectors  
 90 (DSSDs). The material budget of the SVD is about 0.7%  $X_0$  per layer. The  
 91 readout Aluminum strips are AC-coupled to every other n/p-side strips (elec-  
 92 trodes) on the n-type substrate over the silicon oxide layer. On each DSSD  
 93 plane, a local coordinate is defined with  $u$  and  $v$ :  $u$ -axis along n-side strips and  
 94  $v$ -axis perpendicular to  $u$ -axis. In other words, p-side strips and n-side strips  
 95 provide  $u$  and  $v$  information, respectively. In the cylindrical coordinate,  $u$  cor-  
 96 responds to  $r$ - $\varphi$  information and  $v$  corresponds to  $z$  information. The SVD  
 97 consists of three types of sensors: “small” sensors in layer-3, “large” sensors in  
 98 layer-456 barrel region, and “trapezoidal” sensors in layer-456 forward/slanted  
 99 region. They are indicated in blue, green, and orange boxes in Fig. 1. The  
 100 dimensions for these three types of sensors are summarized in Tab. 1. The  
 101 sensors are manufactured by two companies: the small and large sensors by  
 102 Hamamatsu and trapezoidal sensors by Micron. The full depletion voltage is 60  
 103 V for Hamamatsu sensors, 20 V for Micron sensors, and both types of sensors  
 104 are operated at 100 V. In total, 172 sensors are assembled, corresponding to a  
 105 total sensor area of 1.2 m<sup>2</sup> and 224,000 readout strips.

	Small	Large	Trapezoidal
No. of u/p-strips	768	768	768
u/p-strip pitch	50 $\mu\text{m}$	75 $\mu\text{m}$	50–75 $\mu\text{m}$
No. of v/n-strips	768	512	512
v/n-strip pitch	160 $\mu\text{m}$	240 $\mu\text{m}$	240 $\mu\text{m}$
Thickness	320 $\mu\text{m}$	300 $\mu\text{m}$	300 $\mu\text{m}$
Manufacturer	Hamamatsu		Micron

Table 1: Table of dimensions for three types of sensors. Only readout strips are taking into account for number of strips and strip pitch.

106 The front-end ASIC used in the SVD is APV25 [5], which was originally  
 107 developed for CMS silicon tracker. APV25 is radiation hard for over 100 Mrad  
 108 radiation. It has 128 channel inputs and shapers for each channel with a shaping

109 time of about 50 ns. For the SVD, APV25 is operated in “multi-peak” mode.  
110 The mechanism of the data sampling in the multi-peak mode is explained in  
111 Fig. 2. The chip samples the height of the signal waveform with the 32 MHz  
112 clock and stores each sampled information in the analog ring buffer. Since  
113 the bunch-crossing frequency is eight times faster than the sampling clock, the  
114 stored samples are not synchronous to the beam collision in contrast to CMS,  
115 which motivates to operate in the multi-peak mode. In the present readout  
116 configuration (the six-samples mode), at every reception of the Belle II global  
117 Level-1 trigger, the chip reads out successive six samples of the signal waveform  
118 stored in the buffers. The six-samples mode offers enough time window ( $6 \div$   
119  $32 \text{ MHz} = 187 \text{ ns}$ ) which accepts large timing shifts of the trigger. In preparation  
120 for operation with higher luminosity, where background occupancy, trigger dead-  
121 time, and the data size increase, we developed the three/six-mixed acquisition  
122 mode (mixed-mode). The mixed-mode is a new method to read out the signal  
123 samples from APV25, in which the number of the samples changes between three  
124 and six in each event, depending on the timing precision of each Level-1 trigger  
125 signal in that event. For triggers with good timing precision, three-samples data  
126 are read out and the data have half time window and half data size compared  
127 to ones of six-samples data, resulting in the reduction of the effect due to higher  
128 luminosity. This functionality was already implemented in the running system  
129 and confirmed by a few hours of smooth physics data-taking. Before we start  
130 to use the mixed-mode, the effect on the performance due to the change of  
131 the acquisition mode is to be assessed. As the first step, the effect in the hit  
132 efficiency was evaluated as described in Sec. 3.

133 The APV25 chips are mounted on each middle sensor (chip-on-sensor con-  
134 cept) with thermal isolation foam in between. The merit of this concept is  
135 shorter signal propagation length, leading to the smaller capacitance of the sig-  
136 nal line and hence the smaller noise level. To reduce the material budget the  
137 APV25 chips on the sensor are thinned down to  $100 \mu\text{m}$ . APV25s are mounted  
138 on the single side of the sensor and read out the signals from the other side via  
139 wrapped flexible printed circuits. The power consumption of the APV25 chip

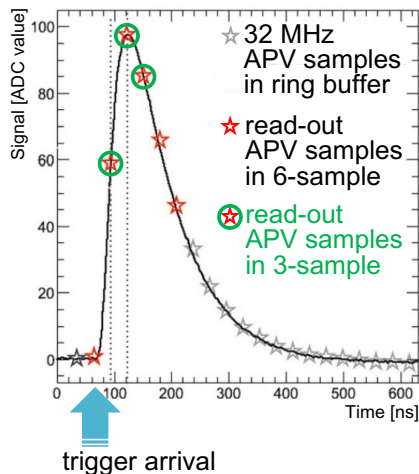


Figure 2: The plot explains the sampling in the “multi-peak” mode of APV25. The black line shows the signal waveform after the CR-RC shaper circuit. The stars show the sampled signal height recorded in the analog ring buffer according to the 32 MHz sampling clock. The red stars indicate the six successive samples read out at the trigger reception in the six-samples mode. The red stars with a green circle indicate the samples read out in the three-samples acquisition.

140 is 0.4 W/chip and in total 700W in the entire SVD. The chips are chilled by  
 141 bi-phase  $-20^{\circ}\text{C}$   $\text{CO}_2$ .

### 142 3. Performance

143 The SVD was combined with the PXD to complete the VXD assembly in  
 144 October 2018, and the VXD was installed to the Belle II detector system in  
 145 November 2018. Since March 2019, the SVD has been operating reliably and  
 146 smoothly for two and a half years, without any major problems. The total  
 147 fraction of masked strips is about 1%. There was only one issue where one  
 148 APV25 chip (out of 1,748 chips) was disabled during the spring of 2019, which  
 149 was gone after cable reconnection in the summer of 2019.

150 The SVD has also demonstrated stable and excellent performance [6]. The  
 151 hit efficiency is stably over 99% in most of the sensors. The cluster charge dis-

152 tributions are also reasonable. On the u/p-side, the most probable values agree  
 153 with the calculated charge amount induced by MIPs within the uncertainty in  
 154 calibration. On the v/n-side, 10–30% of the collected charge losses compared to  
 155 MIP due to the smaller inter-strip capacitance of the floating strips with larger  
 156 strip pitches than the u/p-side. The most probable values of the cluster SNR  
 157 distributions range from 13 to 30.

158 We measured the cluster position resolution by analyzing the  $e^+e^- \rightarrow \mu^+\mu^-$   
 159 data [7]. The cluster position resolution is estimated from the residual between  
 160 the cluster position and the track position not biased by the target cluster after  
 161 subtracting the effect of the track extrapolation error. The cluster position  
 162 resolutions for different incident angles are shown in Fig. 3. For normal incident  
 163 tracks, it well agrees with the expectations from the strip pitch including floating  
 164 strips. For tracks with an incident angle, it is expected to get a better resolution,  
 165 which is indeed the case in the v/n-side results. However, this effect is not  
 166 observed on the u/p-side, and the study is still ongoing to improve the cluster  
 167 position estimation.

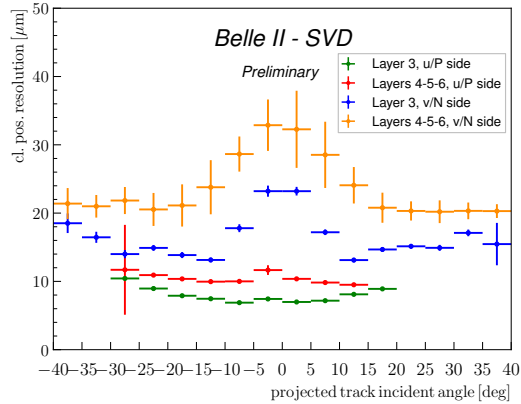


Figure 3: The SVD cluster position resolution depending on the projected track incident angle. The green(blue) plot shows the resolution in the u/p(n/v)-side of Layer 3 sensors, and the red(yellow) one shows the u/p(n/v)-side of Layers 4–6 sensors.

168 The cluster hit-time resolution was also evaluated using the reference event  
 169 time estimated by the Central Drift Chamber (CDC) outside of SVD. The error

170 on the event time, about 0.7 ns, was subtracted to evaluate the intrinsic SVD  
 171 hit-time resolution. The resulting resolution is 2.9 ns on the u/p-side and 2.4 ns  
 172 on the v/n-side. With such precise hit-time information, it is possible to reject  
 173 off-time background hits efficiently. The hit-time distributions for signal and  
 174 off-time background are shown in Fig. 4. The signal distribution has a narrow  
 175 peak, while the background hit-time distribution is broad and almost flat in the  
 176 signal peak region. The separation power of the hit-time is high, as expected.  
 177 For example, if we reject hits with the hit-time less than  $-38$  ns in this plot,  
 178 we can reject 46% of the background hits while keeping 99% of the signal hits.  
 179 The background rejection based on the hit-time is essential to sustain the good  
 180 tracking performance in the future high beam background condition.

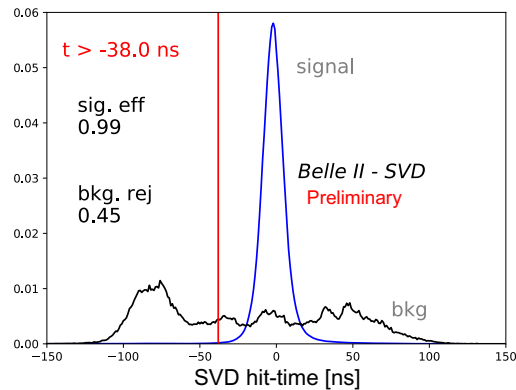


Figure 4: The plot shows an example of the background hit rejection using hit-time. The blue distribution shows the signal, and the black distribution shows the off-time background. Assuming the hit-time cut at  $-38$  ns, the signal hit efficiency of 99% and the background hit rejection of 46% are achieved.

181 The performance in three-samples data was compared with that in six-  
 182 samples data to evaluate the performance in the mixed-mode. If the trigger  
 183 timing has no deviation, the three-samples data will show comparable perfor-  
 184 mance to the six-samples data because the relevant part of the signal waveform  
 185 to evaluate the necessary signal properties, which are the signal height and the  
 186 signal timing, can be accommodated in the three-samples time window. How-



187 ever, when the trigger has a jitter and the timing shift happens, some part  
 188 of the signal waveform can be out of the three-samples time window, and the  
 189 reconstruction performance deteriorates. We examined the effect on the hit ef-  
 190 ficiency as a function of the trigger timing shift. The effect is evaluated by the  
 191 relative hit efficiency, which is defined as the ratio of the hit efficiency in the  
 192 three-samples data to the one in the six-samples data. For this study, the three-  
 193 samples data are emulated in the offline analysis from the six-samples data by  
 194 selecting consecutive three samples at fixed positions in the six samples. The  
 195 trigger timing shift is evaluated by the CDC event time. The resulting relative  
 196 efficiencies as a function of the trigger timing shift are shown in Fig. 5. The  
 197 decreasing trend is observed for the shift of the trigger timing, as expected. As  
 198 a result, the relative efficiency is over 99.9% for the trigger timing shift within  
 199  $\pm 30$  ns.

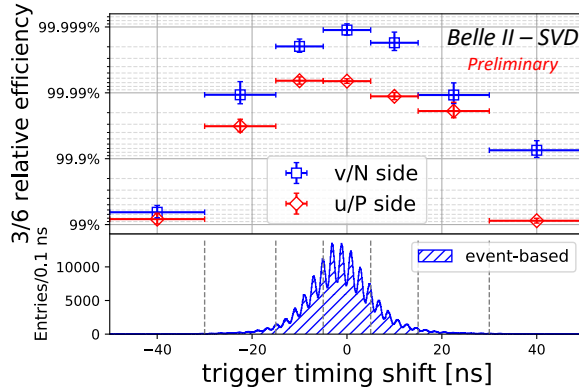


Figure 5: The relative hit efficiencies as a function of the trigger timing shift for v/n-side (blue square) and u/p-side (red diamond). The positive (negative) trigger timing shift corresponds to early (late) trigger timing.

#### 200 4. Beam-related background effects on SVD

201 The beam-related background increases the hit occupancy of the SVD, which  
 202 in turn degrades the tracking performance. Considering this performance degra-  
 203 dation, we set the occupancy limit in layer-3 sensors to be about 3%, which will

204 be loosened roughly by a factor of two after we apply the hit-time rejection  
 205 described in Sec. 3. With the current luminosity, the average hit occupancy in  
 206 layer-3 sensors is less than 0.5%. However, the projection of the hit occupancy  
 207 at the luminosity of  $8 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$  is about 3% in layer-3 sensors. The pro-  
 208 jected occupancy comes from the Monte Carlo (MC) simulation scaled by the  
 209 data/MC ratio determined from the beam background data of the current beam  
 210 optics. The corresponding dose is about 0.2 Mrad/smy, and the equivalent 1-  
 211 MeV neutron fluence is about  $5 \times 10^{11} \text{ n}_{\text{eq}}/\text{cm}^2/\text{smy}$  (smy: Snowmass Year =  
 212  $10^7$  sec). The long-term extrapolation of the beam background is affected by  
 213 large uncertainties from the optimization of collimator settings in MC and the  
 214 future evolution of the beam injection background, which is not simulated. This  
 215 uncertainty motivates the VXD upgrade which improves the tolerance of the hit  
 216 rates and the radiation damages, and the technology assessment is ongoing for  
 217 multiple sensor options.

218 From the measured dose on diamond sensors, the integrated radiation dose  
 219 in the layer-3 mid-plane sensors, which are the most exposed in the SVD,  
 220 is estimated to be 70 krad. The estimation is based on the correlation be-  
 221 tween the SVD occupancy and the diamonds dose. The estimated dose in-  
 222 cludes uncertainties of about 30% due to the unavailability of the appropri-  
 223 ate trigger before December 2020. Assuming the dose/ $\text{n}_{\text{eq}}$  fluence ratio of  
 224  $2.3 \times 10^9 \text{ n}_{\text{eq}}/\text{cm}^2/\text{krad}$  from MC, 1-MeV equivalent neutron fluence is eval-  
 225 uated to be about  $1.6 \times 10^{11} \text{ n}_{\text{eq}}/\text{cm}^2$  in the first two and a half years.

226 The effect of the integrated dose on the sensor leakage current is measured,  
 227 and the results show a clear linear correlation as in the upper plot of Fig. 6.  
 228 The slopes for all the sensors are summarized in the lower plot of Fig. 6. They  
 229 are around 2–5  $\mu\text{A}/\text{cm}^2/\text{Mrad}$ . The large variations can be explained by tem-  
 230 perature effects and the deviation of sensor-by-sensor dose from the average in  
 231 each layer used in the estimation. The slopes are in the same order of magni-  
 232 tude as previously measured in the BaBar experiment [8], 1  $\mu\text{A}/\text{cm}^2/\text{Mrad}$  at  
 233 20°C. While the leakage current is increasing, the impact on the strip noise is  
 234 suppressed by the short shaping time (50 ns) in APV25. It is expected to be

235 comparable to the strip-capacitive noise only after 10 Mrad irradiation and not  
 236 problematic for ten years where the integrated dose is estimated to be 2 Mrad.

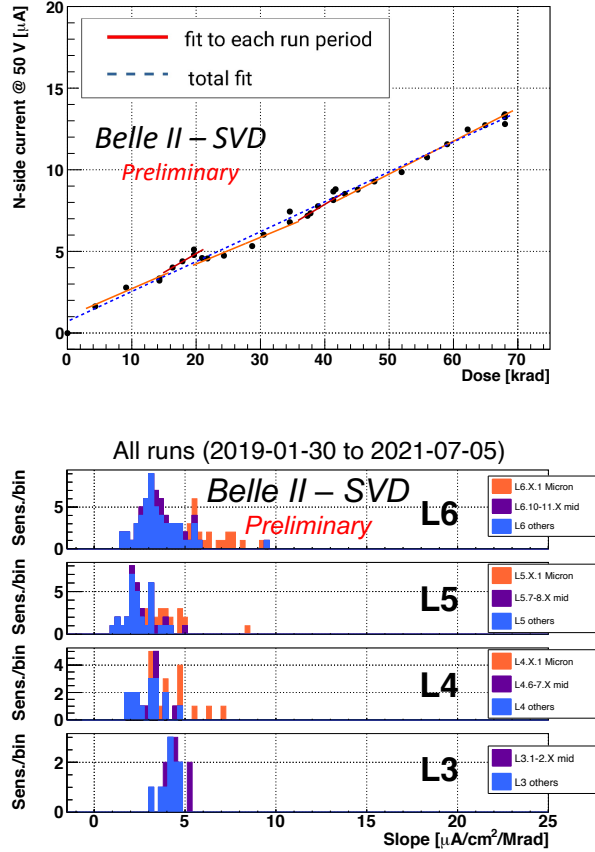


Figure 6: (upper) The effect of the integrated dose on the leakage current in the n/v-side of one layer-3 sensor. The slope is fitted for each run period (solid red line) and for all the runs (dashed blue line). Both fit results agree well with each other and are consistent with the linear increase. (lower) The fit results of all the sensors for all runs. The sensors are classified as trapezoidal sensors in the forward region, sensors around the midplane, and the others.

237 The relation between the noise and the integrated dose is shown in Fig. 7.  
 238 The noise increase of 20–25% is observed in layer-3, but this does not affect the  
 239 performance of SVD. This noise increase is likely due to the radiation effects on  
 240 the sensor surface. Fixed oxide charges on sensor surface increase non-linearly,  
 241 enlarging inter-strip capacitance. The noise saturation is observed on the v/n-

242 side and also starts to be seen on the u/p-side. This behavior agrees with the  
 243 increase of fixed oxide charges.

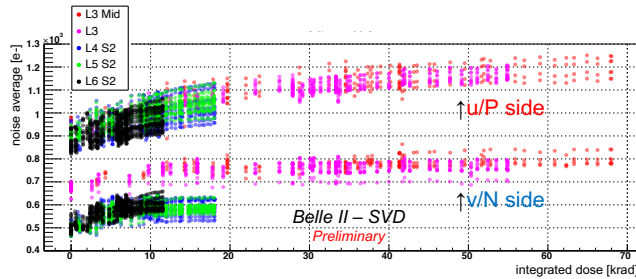


Figure 7: The effect of the integrated dose on the noise average in electron The clear increase is observed and saturated (or start to be saturated) for layer-3 sensors.

244 The full depletion voltage of the sensor is also a key property that can be  
 245 affected by the radiation damage. It can be measured from the v/n-side strip  
 246 noise, which suddenly decreases at the full depletion voltage because the sensor  
 247 substrate is n-type and thus the v/n-side strips can be fully isolated at the full  
 248 depletion. From this measurement, reasonable full depletion voltages, which are  
 249 consistent with the values mentioned in Sec. 2, were confirmed, and so far no  
 250 change in full depletion voltage is observed in the first two and a half years of  
 251 operation, which is consistent with the expectation from low integrated neutron  
 252 fluence of  $1.6 \times 10^{11} \text{ n}_{\text{eq}}/\text{cm}^2$ .

## 253 5. Conclusions

254 SVD has been taking data in Belle II since March 2019 smoothly and reliably.  
 255 The detector performance is excellent and agrees with expectations. We are  
 256 ready to cope with the increased background in higher luminosity by rejecting  
 257 the off-time background hits using hit-time and operating in the three/six-mixed  
 258 acquisition mode. In the recent study, the efficiency loss in the three-samples  
 259 data is confirmed to be less than 0.1% for the trigger timing shift within  $\pm 30\text{ns}$ .  
 260 The observed first effects of radiation damage are also within expectation and  
 261 do not affect the detector performance.

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