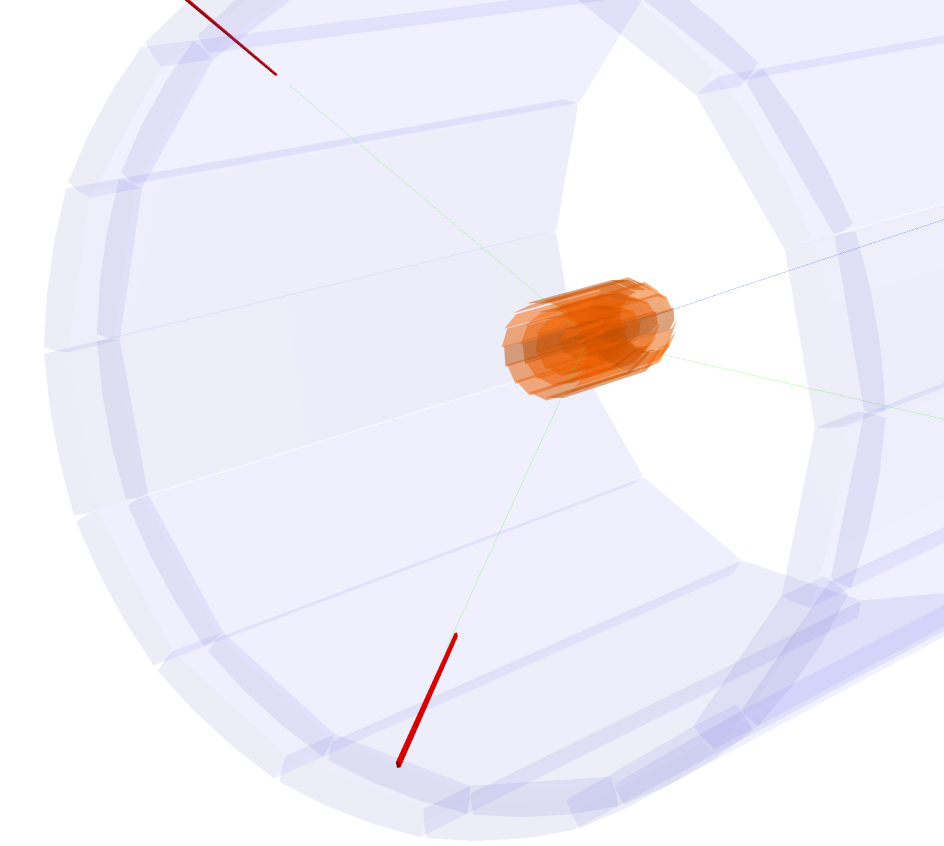


# Search for Axion-Like Particles produced in $e^+e^-$ collisions at the Belle II experiment.



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XXVII Cracow EIPHANY Conference on Future of particle physics

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**HELMHOLTZ** RESEARCH FOR  
GRAND CHALLENGES

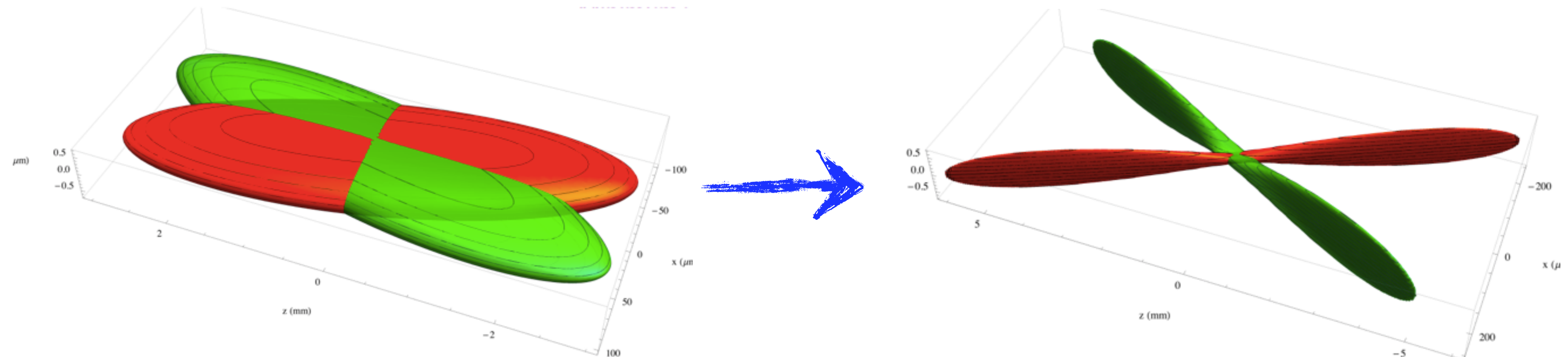
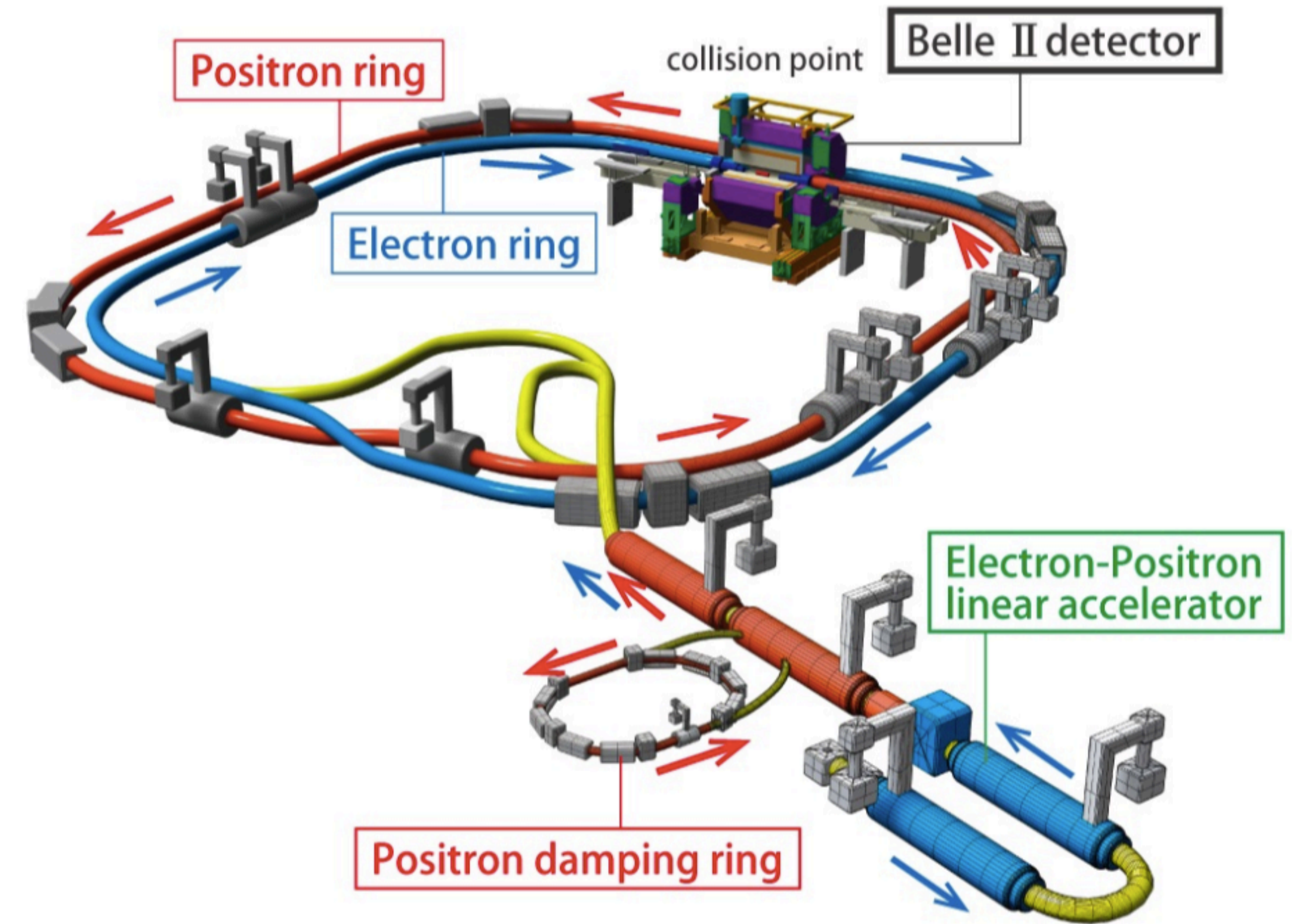
**UH**  
Universität Hamburg  
DER FORSCHUNG | DER LEHRE | DER BILDUNG



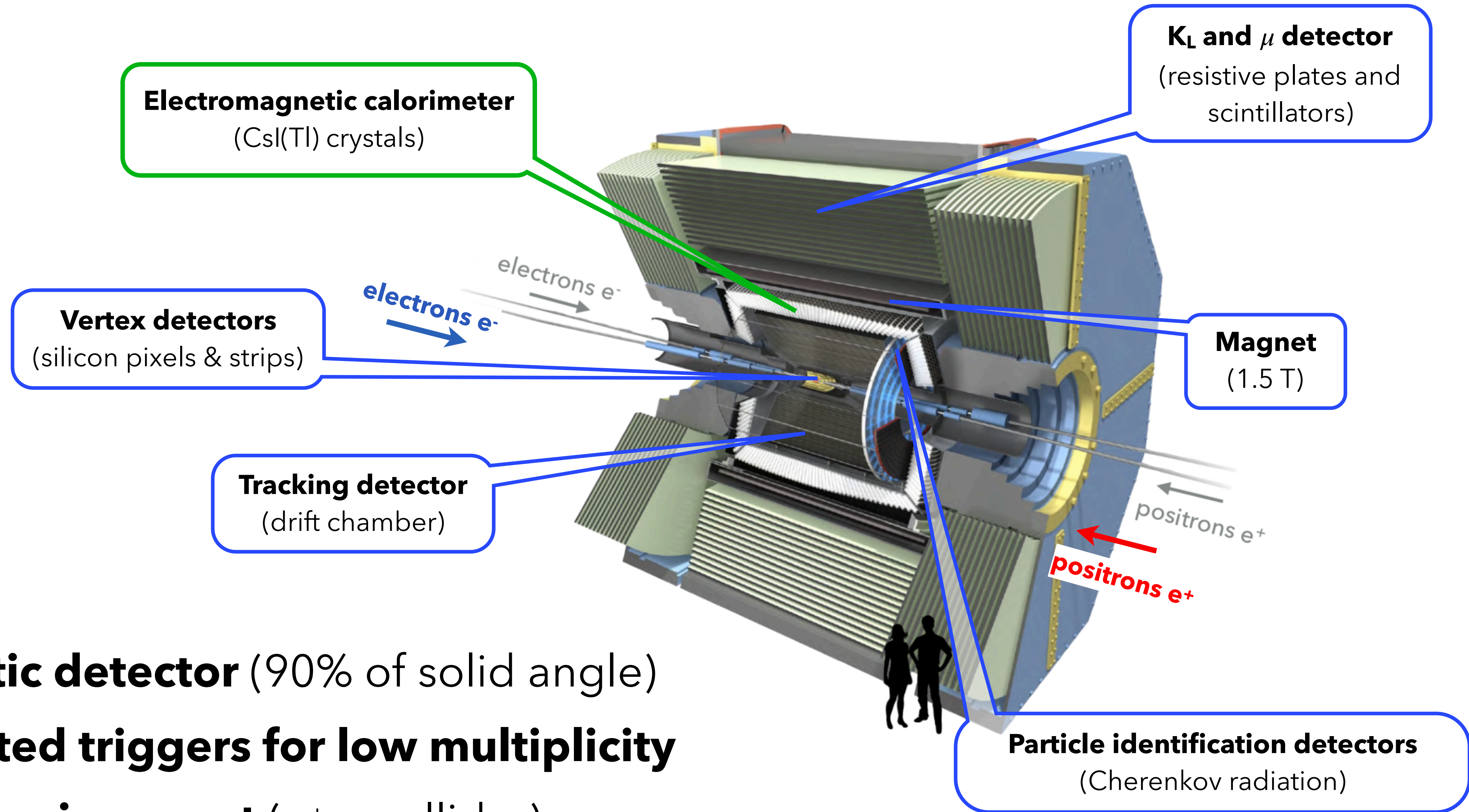
# SuperKEKB and Belle II.

# SuperKEKB

- **Asymmetric  $e^+e^-$  collider**  
@  $\Upsilon(4S)$  energy = 10.58 GeV
- **Second-generation B factory**  
(optimized to produce a lot of B mesons)
- **50 times** increase in luminosity with respect to predecessor KEKB:  **$\sim 50 \text{ ab}^{-1}$** 
  - Up to now:  $\sim 90 \text{ fb}^{-1} = \sim 0.09 \text{ ab}^{-1}$
  - For this analysis:  **$445 \text{ pb}^{-1} = 0.000445 \text{ ab}^{-1}$**  (early 2018 data only)



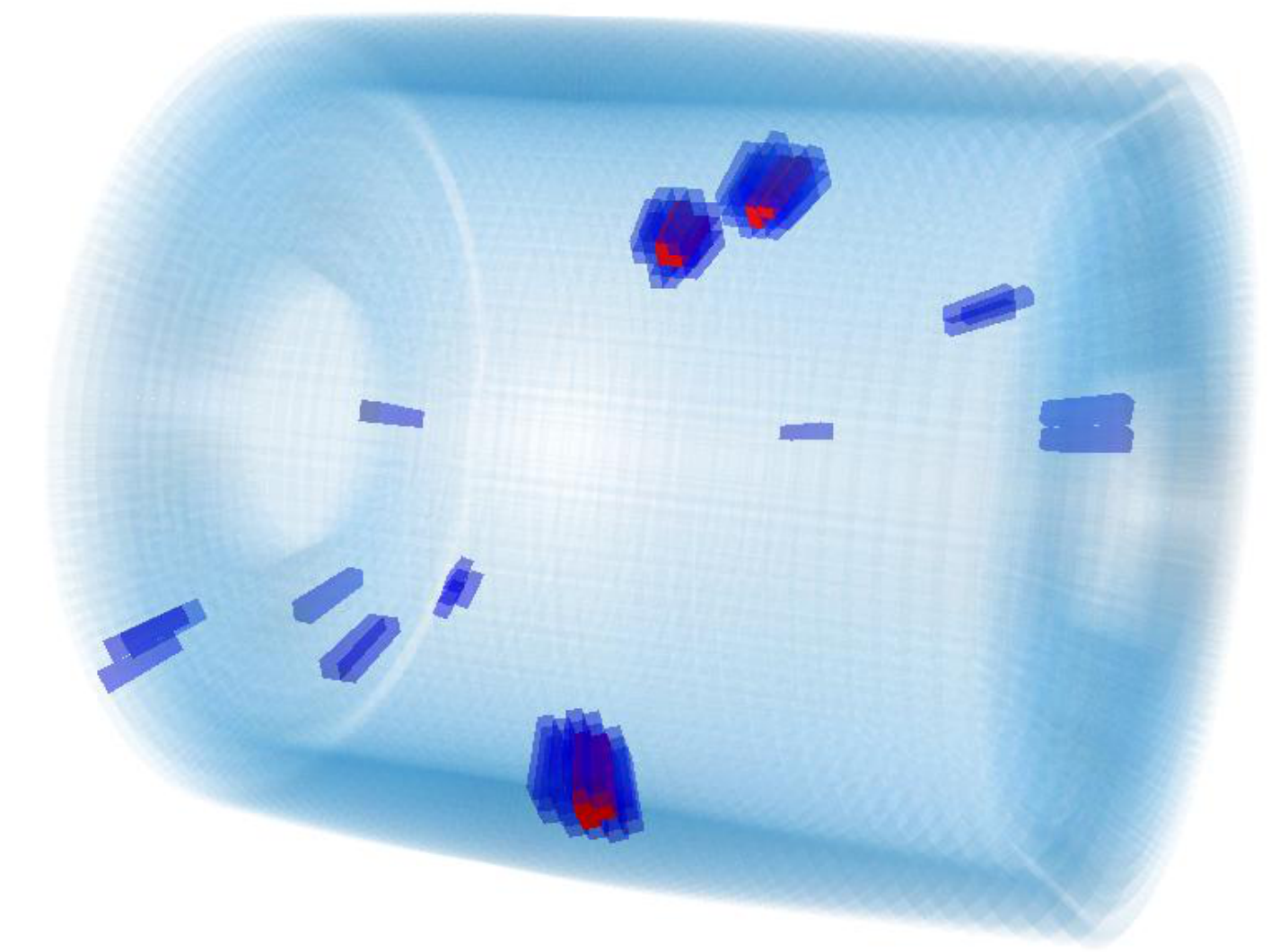
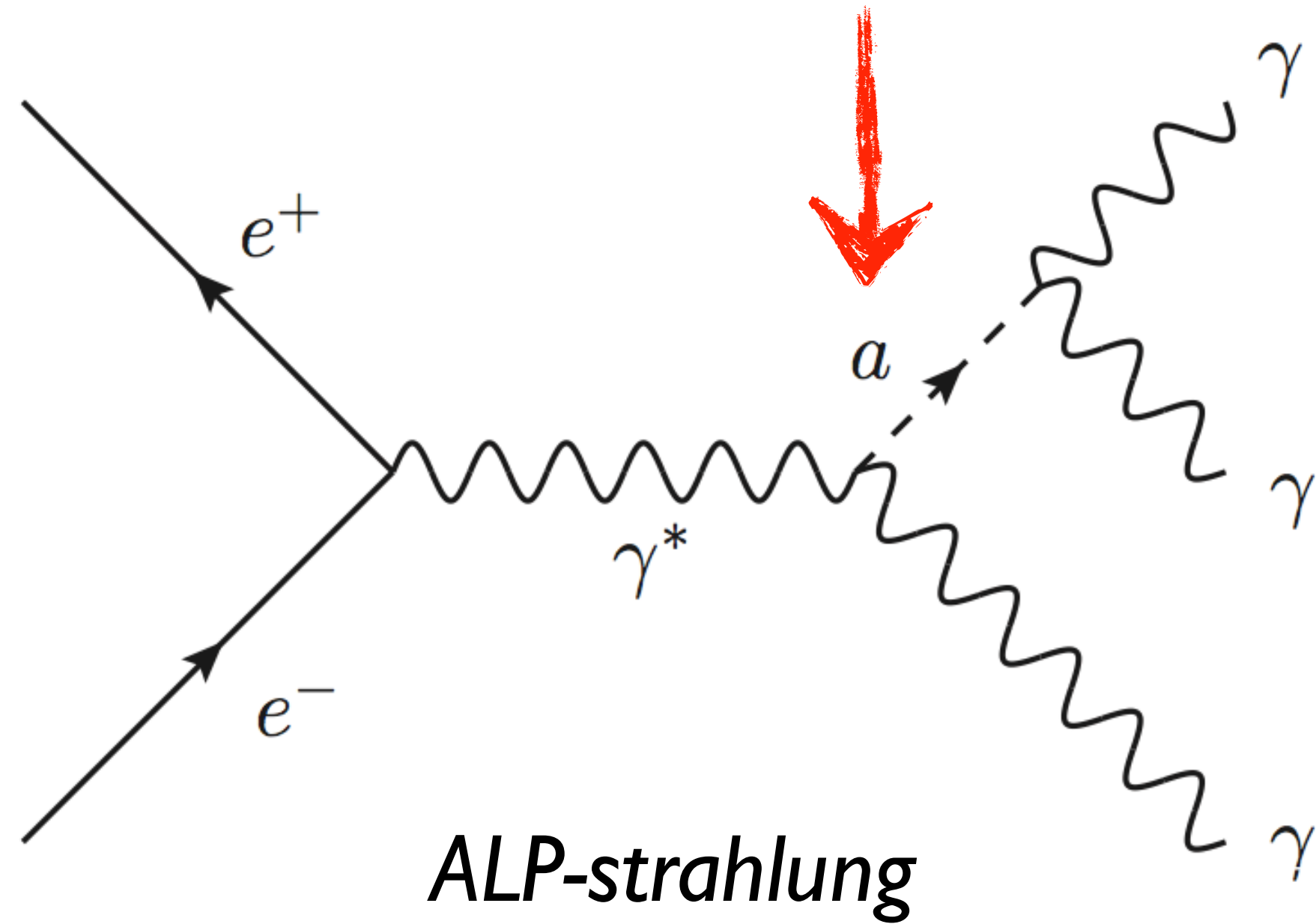
# Belle II



- **Hermetic detector** (90% of solid angle)
- **Dedicated triggers for low multiplicity**
- **Clean environment** ( $e^+e^-$  collider)

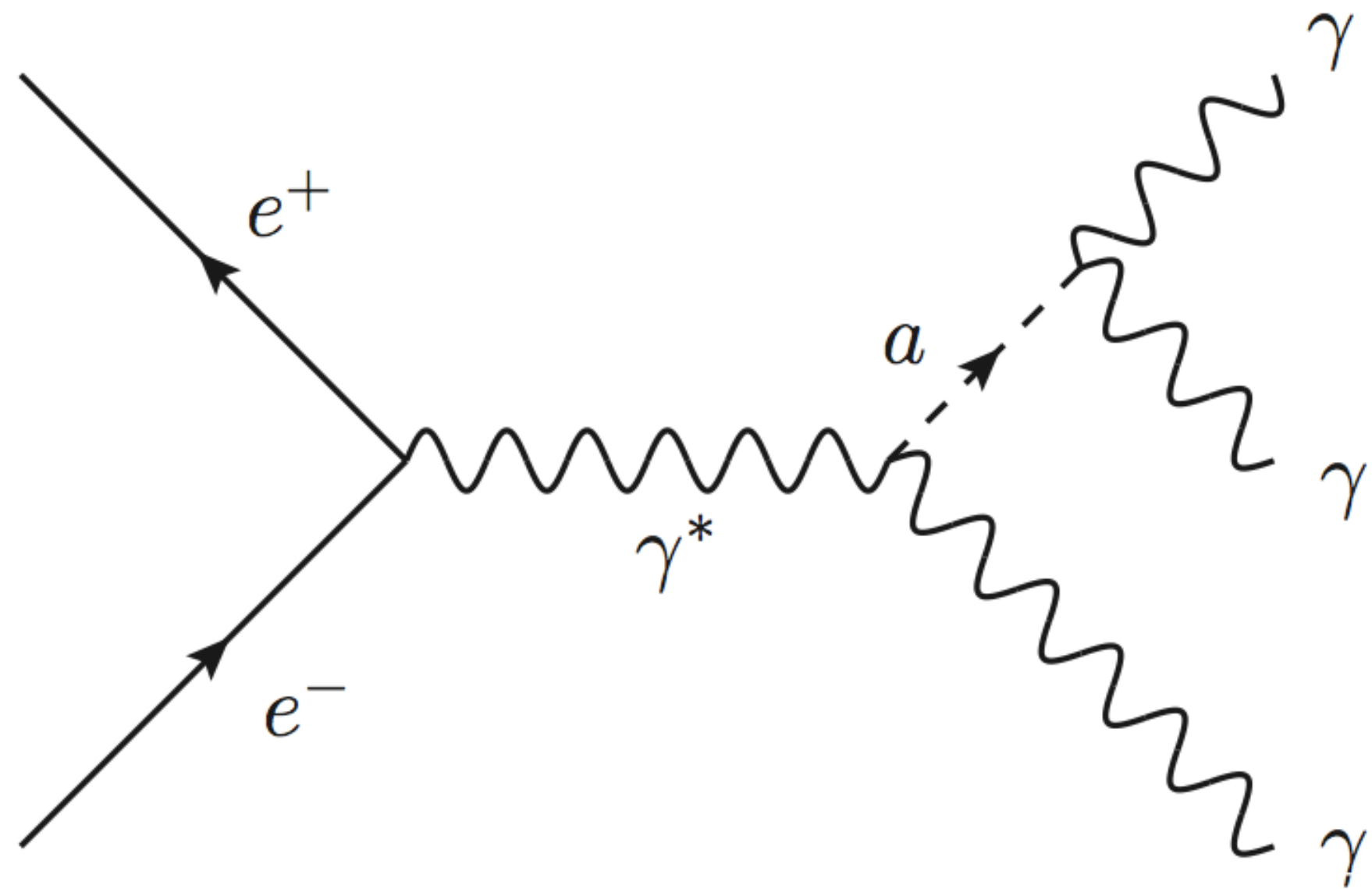
# Axion-Like Particles.

# Physical process



- Axions:  
proposal to solve strong CP problem
- Axion-like particles ( $a$ , **ALPs**):  
~axions, but no mass-coupling constraint  
Massive, neutral, pseudoscalar
- Possible portals to **Dark Sector**

# Physical process



**Signal:**  $e^+e^- \rightarrow \gamma a, a \rightarrow \gamma\gamma$

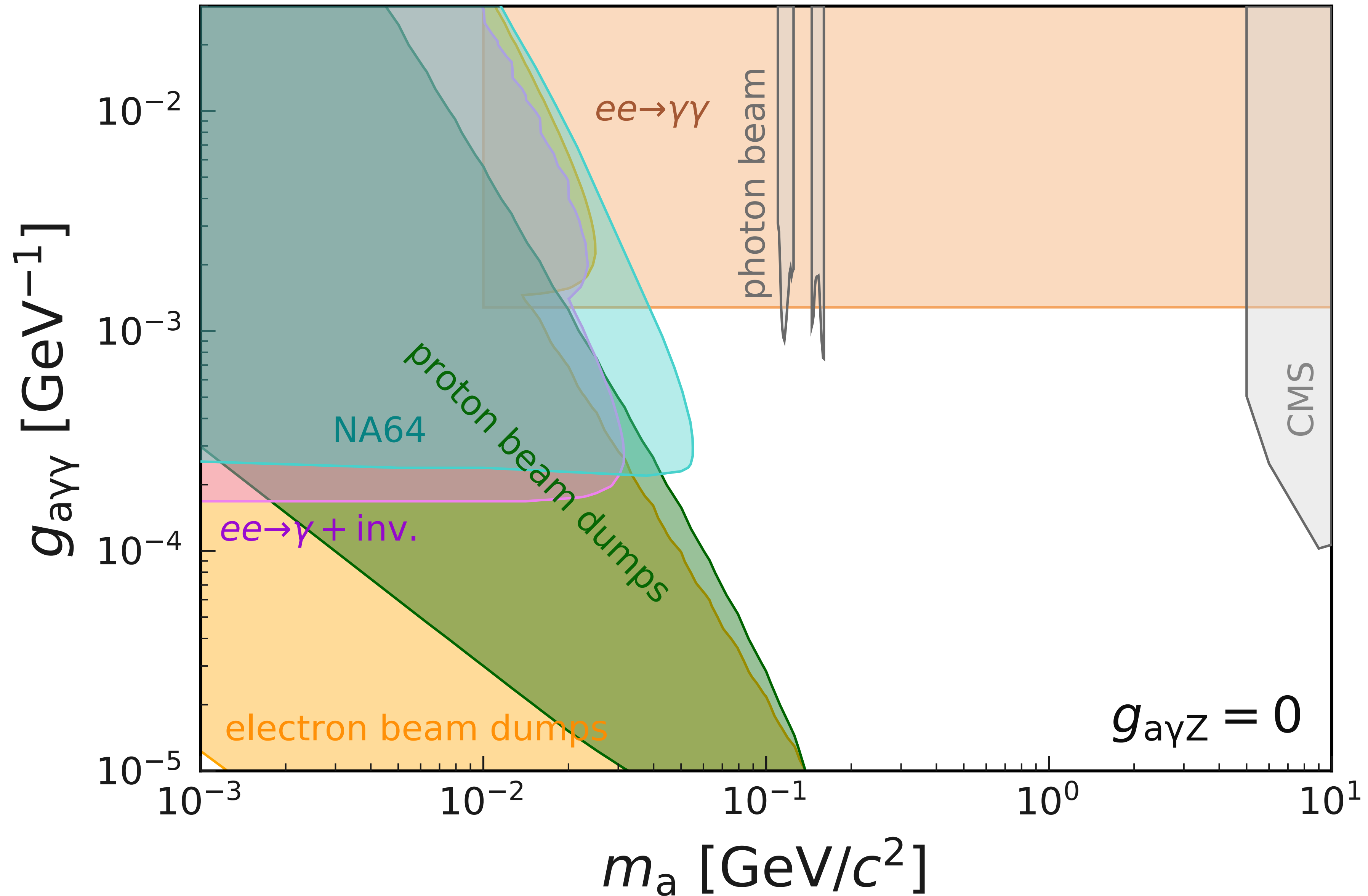
- 3- $\gamma$  final state
- No tracks
- No missing energy

Main **backgrounds:**

- $e^+e^- \rightarrow \gamma\gamma(\gamma)$
- $e^+e^- \rightarrow e^+e^-(\gamma)$   
(if we don't reconstruct the tracks)
- $e^+e^- \rightarrow \pi^0/\eta/\eta' \gamma$   
negligible peaking backgrounds

- **Peak hunt** throughout the kinematically-allowed mass spectrum
  - Scan mass range  $m_a \in [0.2, 9.7] \text{ GeV}/c^2$
- **1D fit** of signal peak over smooth background

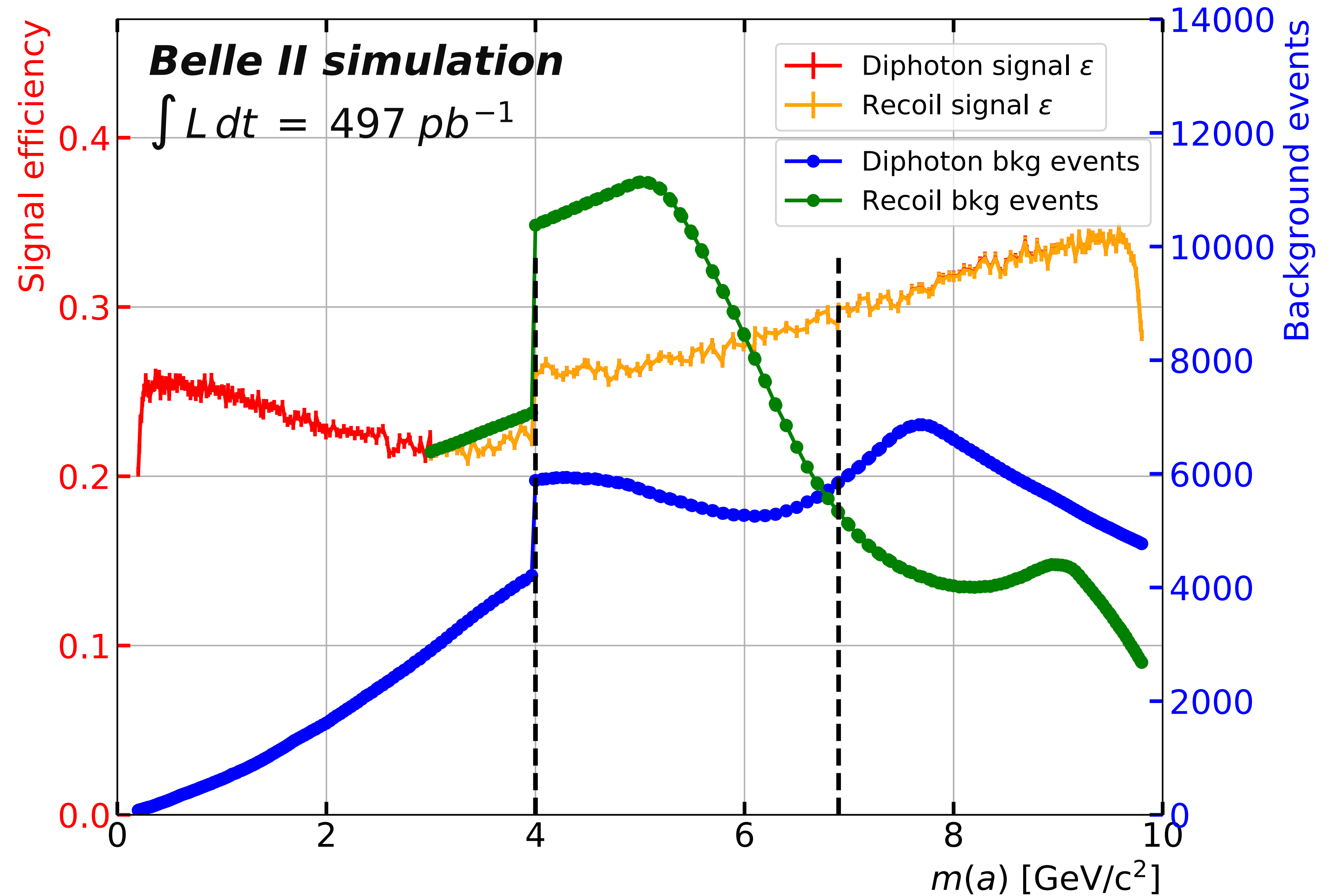
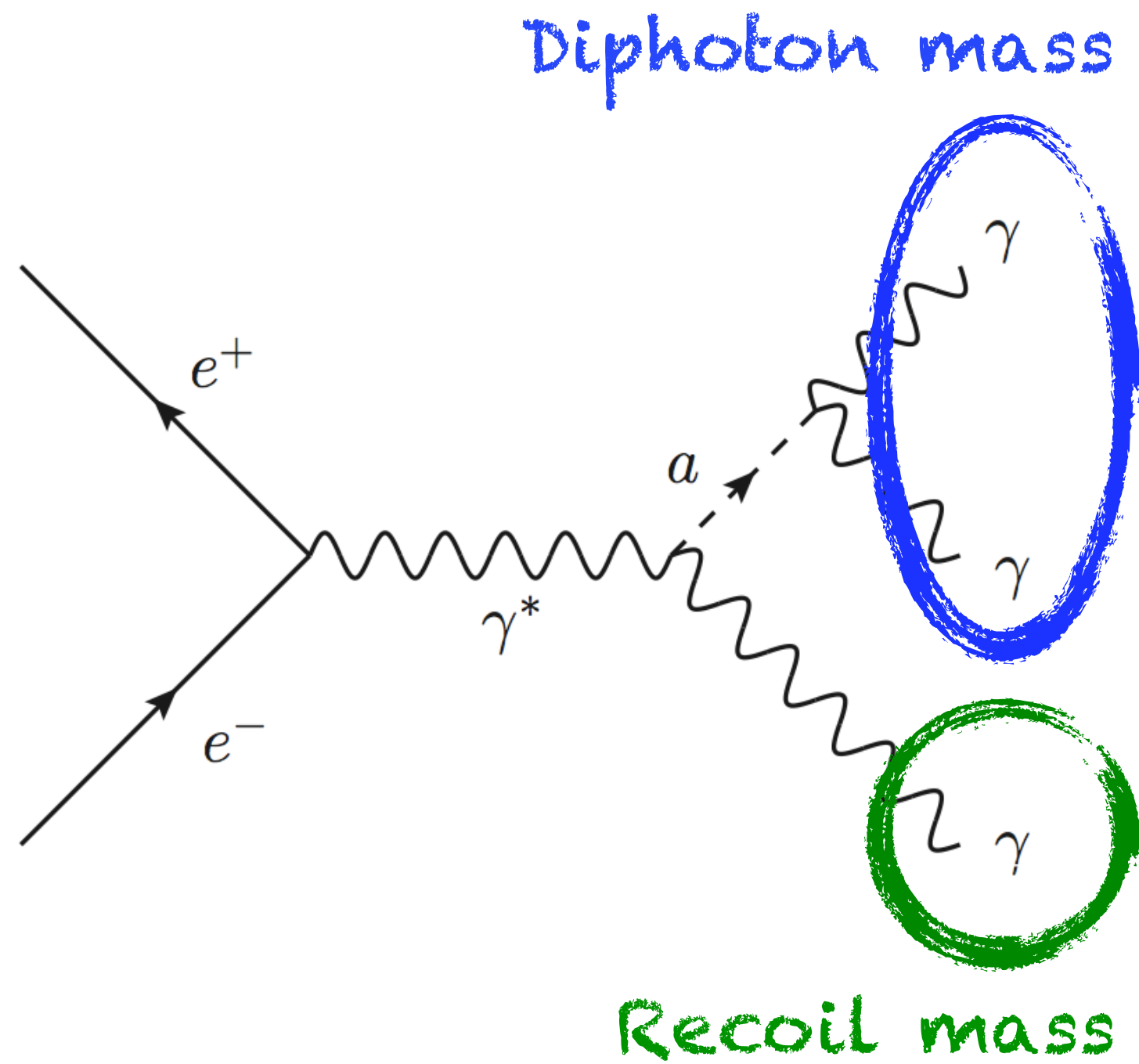
# Previous status of searches





# Selection performances

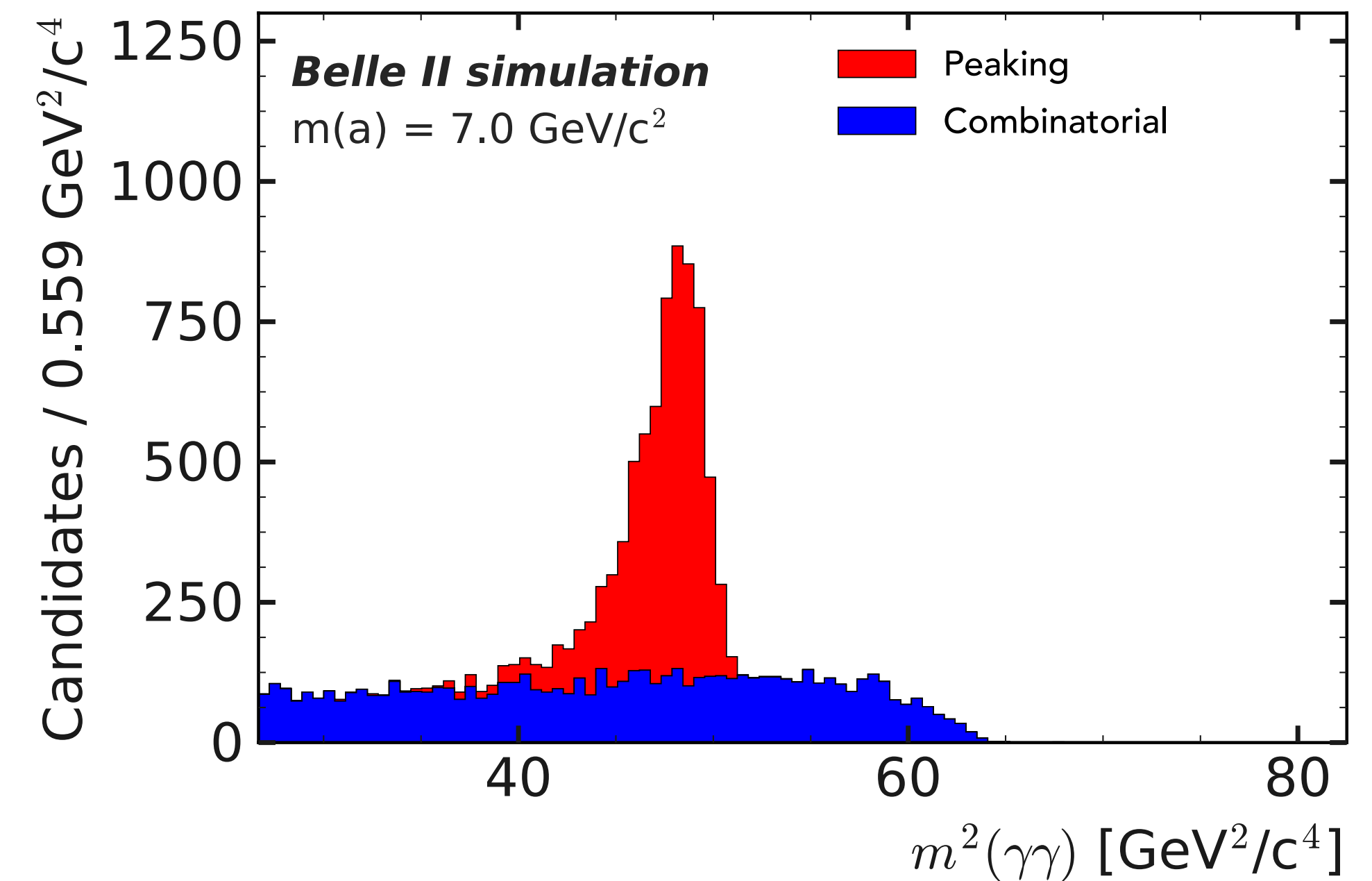
- Background rate
- Signal efficiency



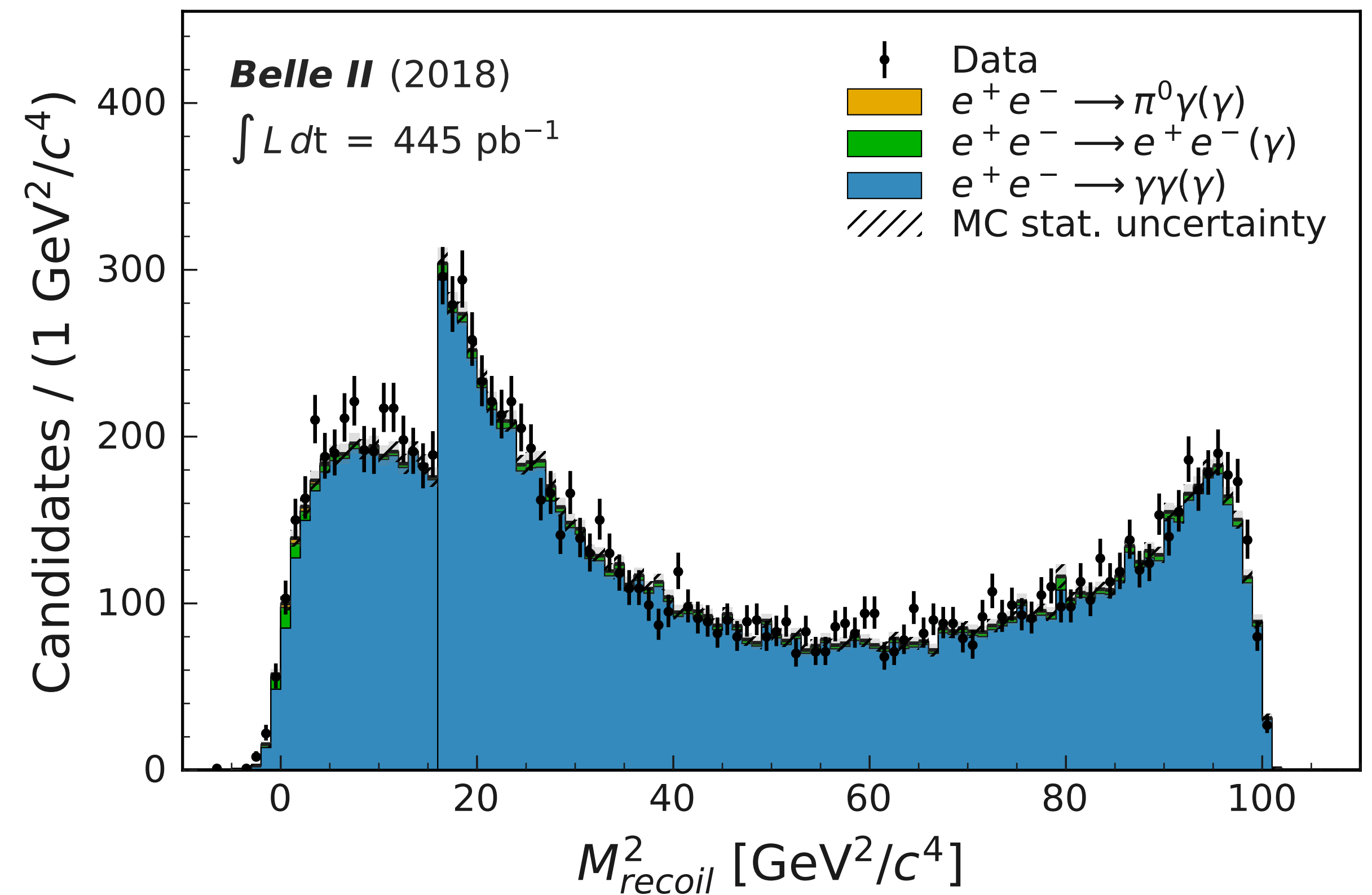
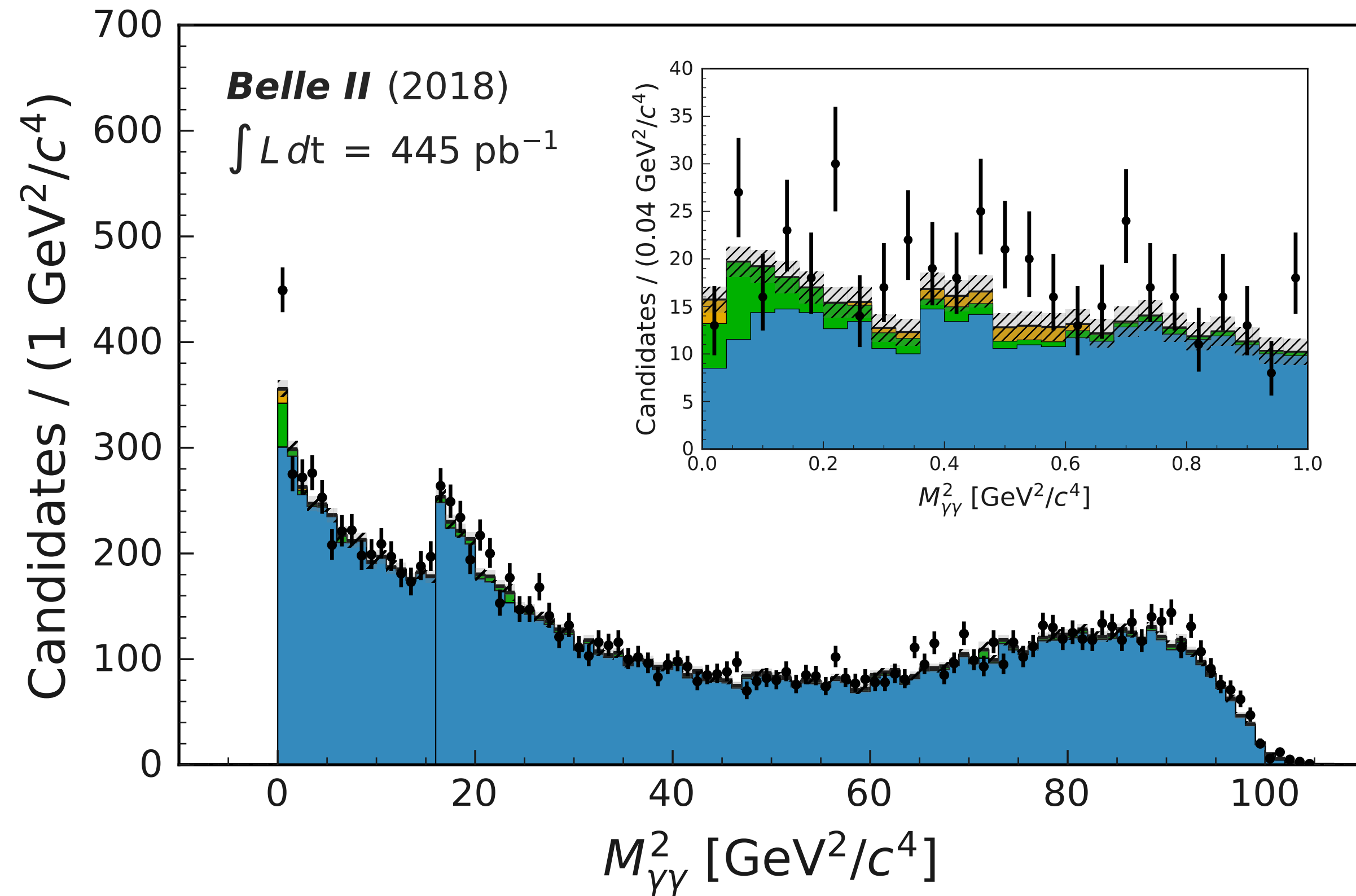
# Signal & background modeling

(3  $\gamma \implies$  3 candidates per event)

- **Signal:**
  - **Peaking** component: modeled with a **Crystal Ball** (CB):  
fit each MC sample  
interpolate parameters  
**fixed** for the final fit
  - **Combinatorial** component:  
modeled with a **Kernel Density Estimator** (KDE)  
fixed for the final fit
- **Background:**
  - Modeled with a **polynomial**
  - Choice of **polynomial order** and **fit range**:  
reduced  $\chi^2$  and smoothness criteria
  - Polynomial parameters are **floating** for the final fit



# Data/MC comparison



Great agreement already in 2018 (data taking for calibration & tuning purposes)

[10.1103/PhysRevLett.125.161806](https://arxiv.org/abs/10.1103/PhysRevLett.125.161806)

# Upper Limit (UL) extraction

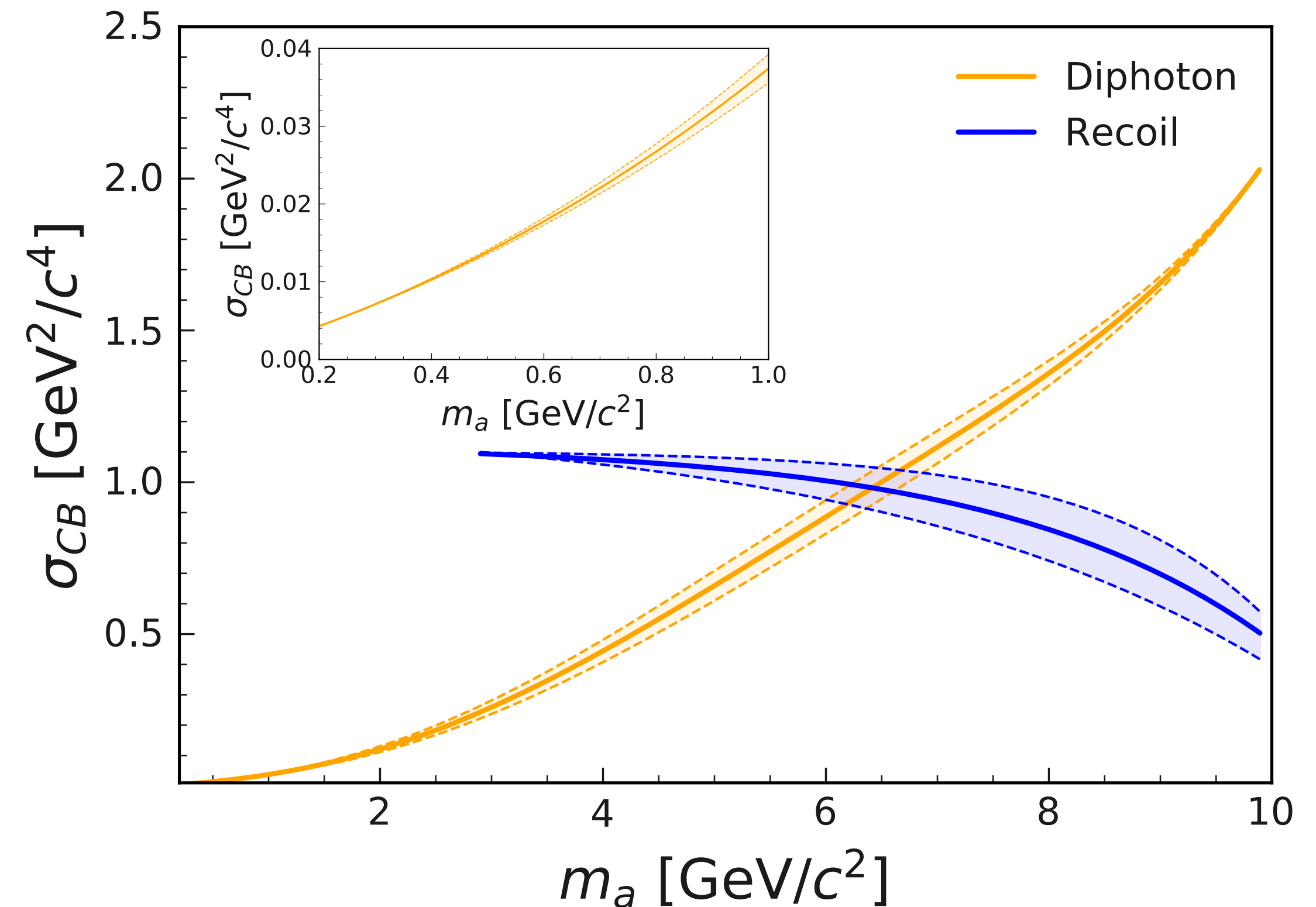
- Binned NLL approach, CLs method
- Allow only positive signal yields, i.e. cross section  $\sigma_{a\gamma\gamma} \geq 0$
- ALP mass scan in **steps of 0.5  $\sigma_{\text{CB}}$**  to search for signal peaks
- If **no global significance > 3** is found (with systematics): we **set limits**
  - No *local* significance > 3 has been found

# Systematic uncertainties

**Systematic** uncertainties are **small wrt statistical** uncertainties.

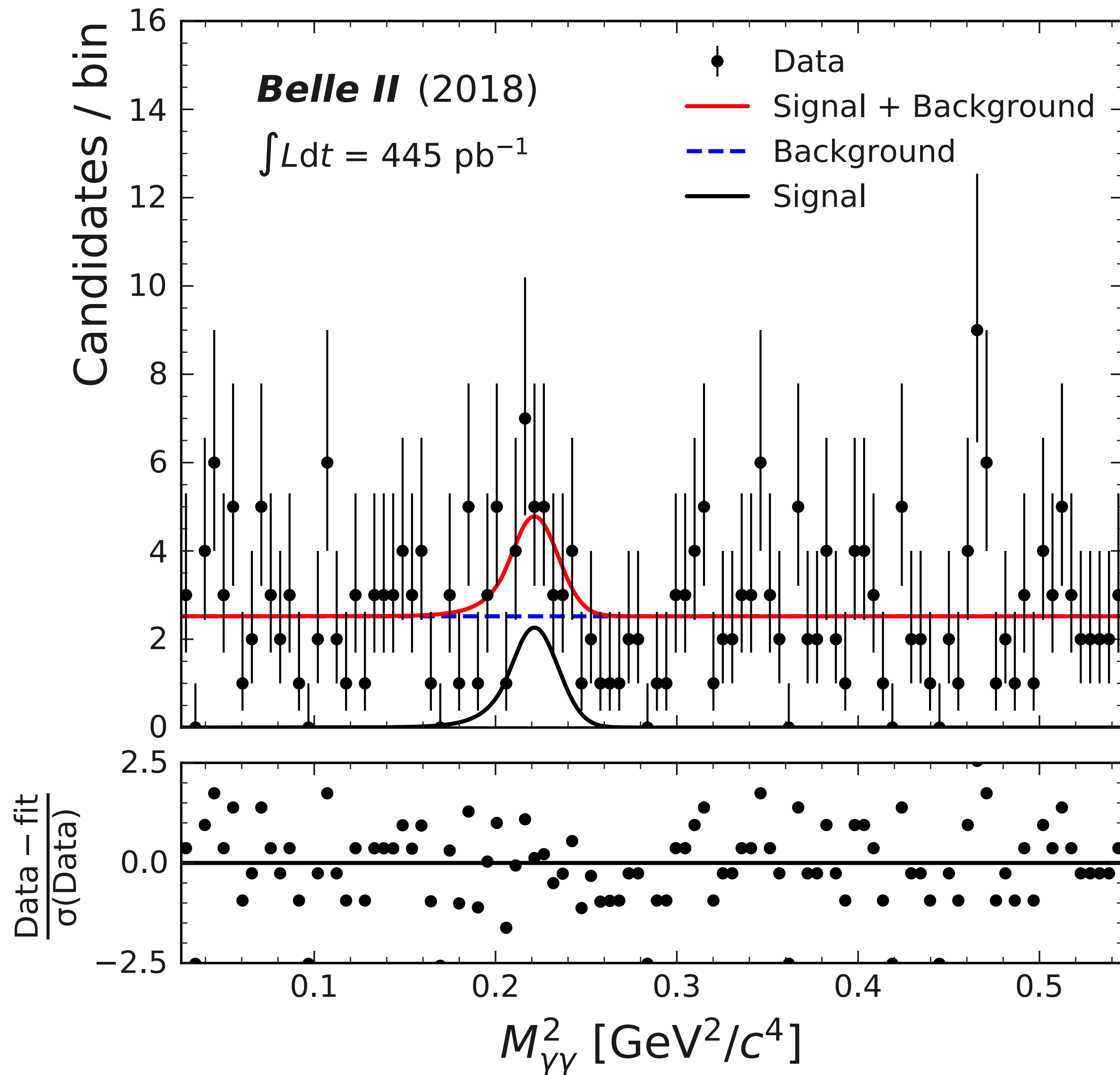
Systematics are from:

- **Choice of background polynomial order & fit range** (least irrelevant):
  - Modify order and range and re-perform UL extraction, take the weakest limit (highest UL  $\iff$  lowest significance)
- **Signal efficiency**
- **Signal resolution**  
from photon resolution studies

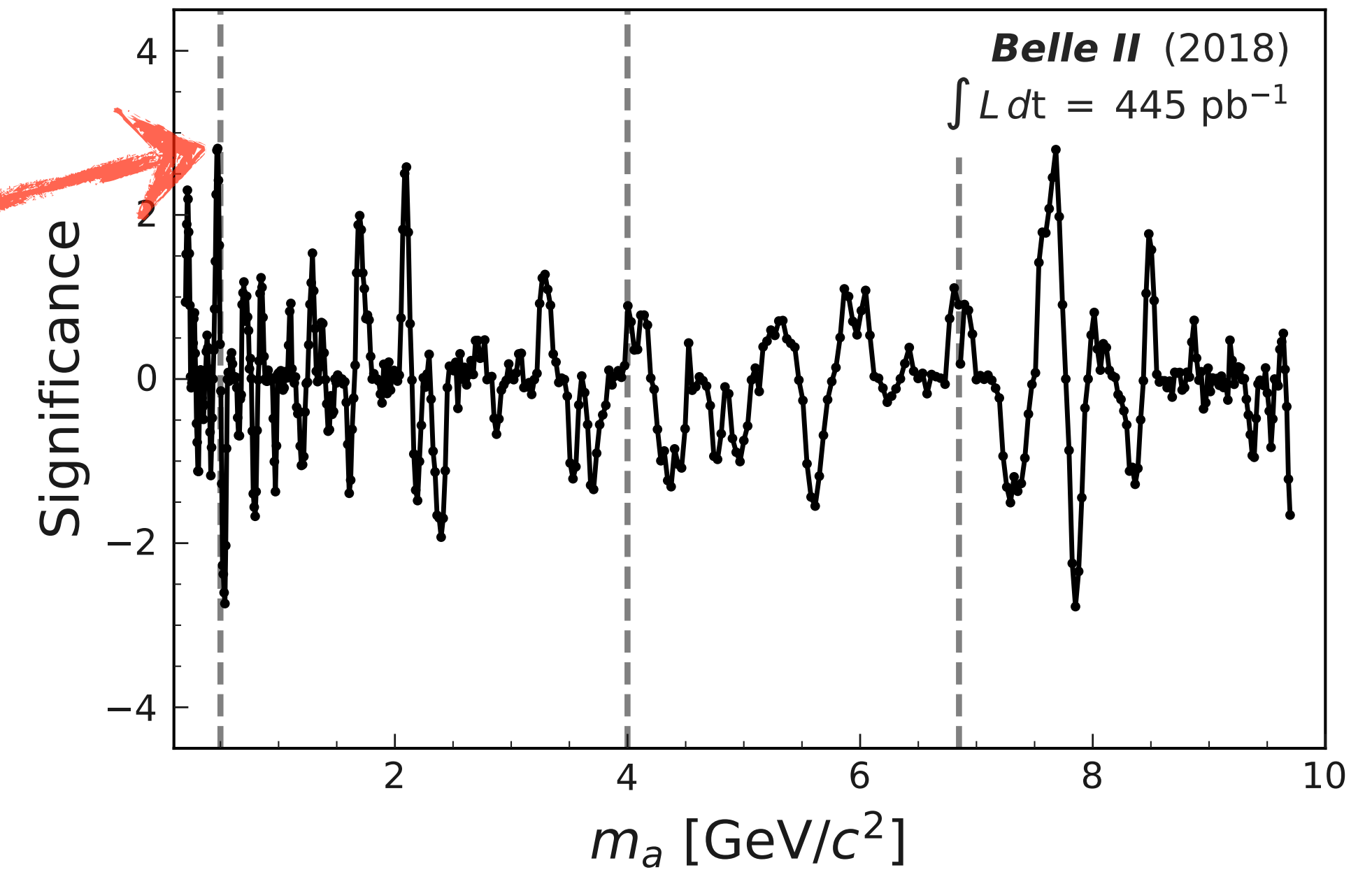


[10.1103/PhysRevLett.125.161806](https://arxiv.org/abs/10.1103/PhysRevLett.125.161806)

# Results

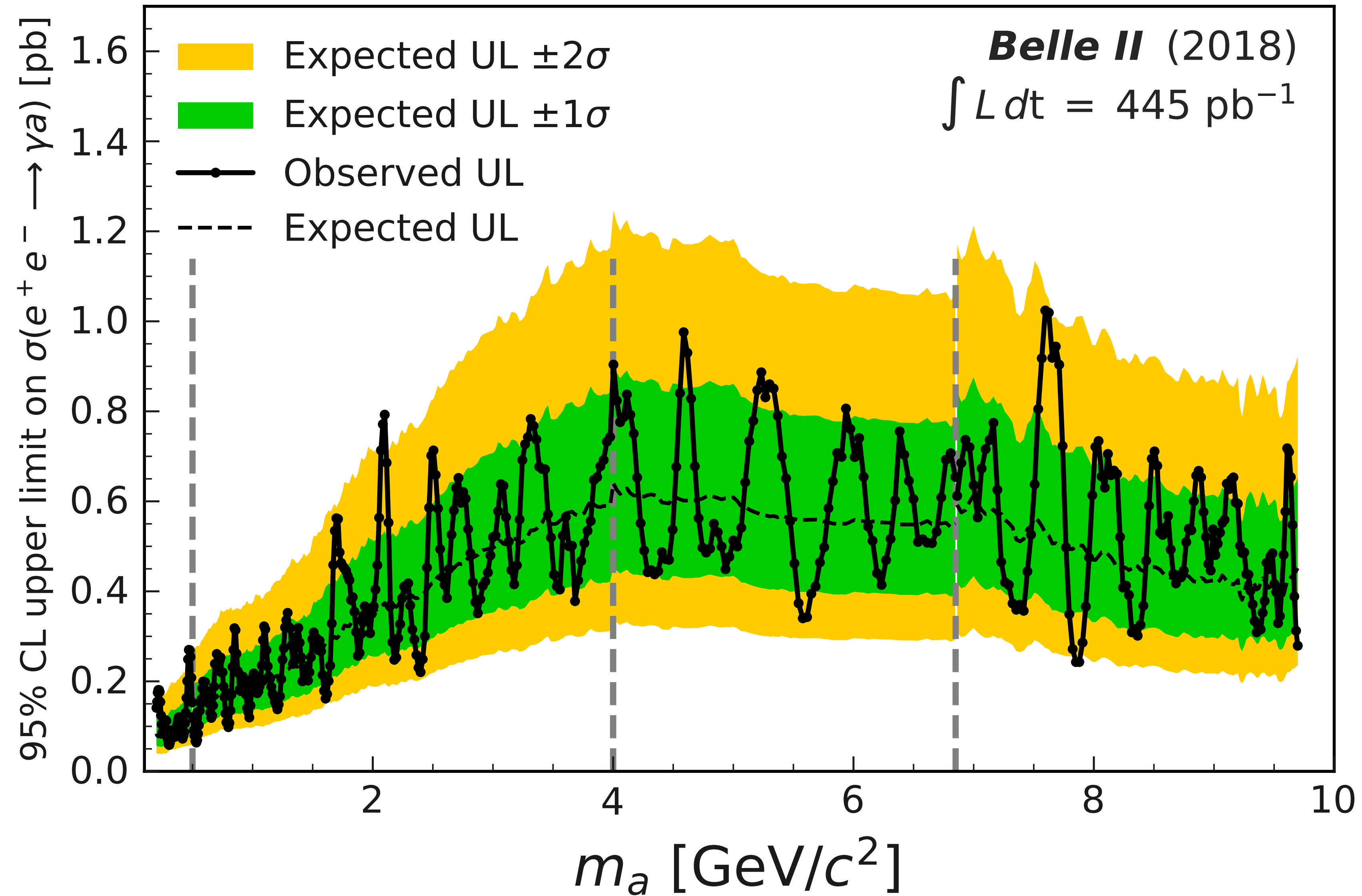


Max local significance:  
 **$2.8 \sigma @ m_a = 0.477 \text{ GeV}/c^2$**



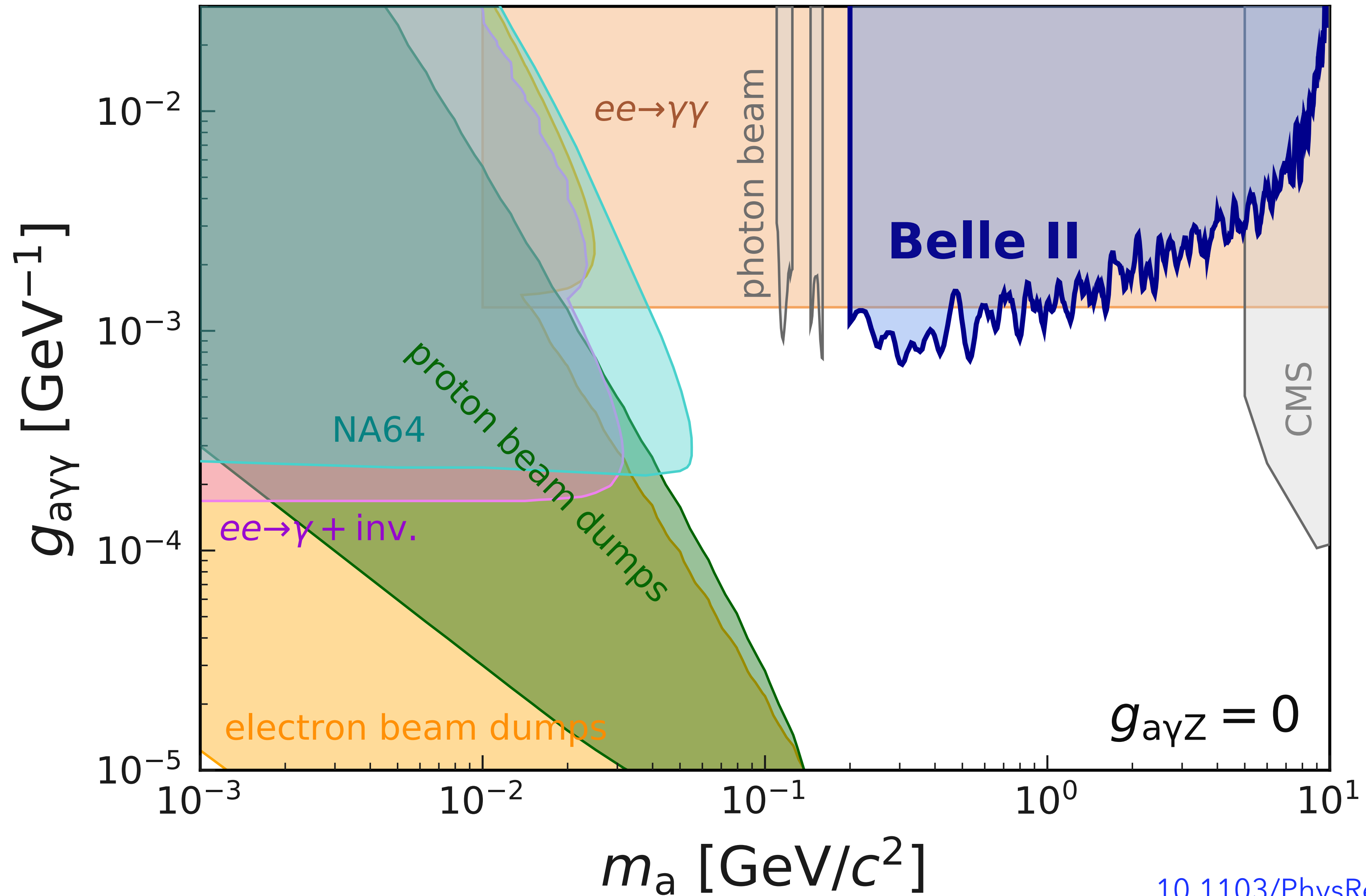
[10.1103/PhysRevLett.125.161806](https://arxiv.org/abs/10.1103/PhysRevLett.125.161806)

# Results



[10.1103/PhysRevLett.125.161806](https://doi.org/10.1103/PhysRevLett.125.161806)

# Results



[10.1103/PhysRevLett.125.161806](https://doi.org/10.1103/PhysRevLett.125.161806)



# Summary.

# Summary

- **Search** for the direct production of a light pseudoscalar **ALP**  $a$  decaying into two photons
  - $e^+e^- \rightarrow \gamma a, a \rightarrow \gamma\gamma$
  - $m_a \in [0.2, 9.7] \text{ GeV}/c^2$
- **No evidence for ALPs**
- Set 95% CL UL on  $g_{a\gamma\gamma}$ 
  - These are the **strongest limits** to date **for  $m_a \in [0.2, 1] \text{ GeV}/c^2$**
  - Prospects:  $\sim 20$  stronger limits with full Belle II data set
- Results published in **PRL**: [10.1103/PhysRevLett.125.161806](https://doi.org/10.1103/PhysRevLett.125.161806)

# Backup.

# Selection variables

- PFM studies
- Sanity requirements
- Studies on other datasets
- Studies on sidebands

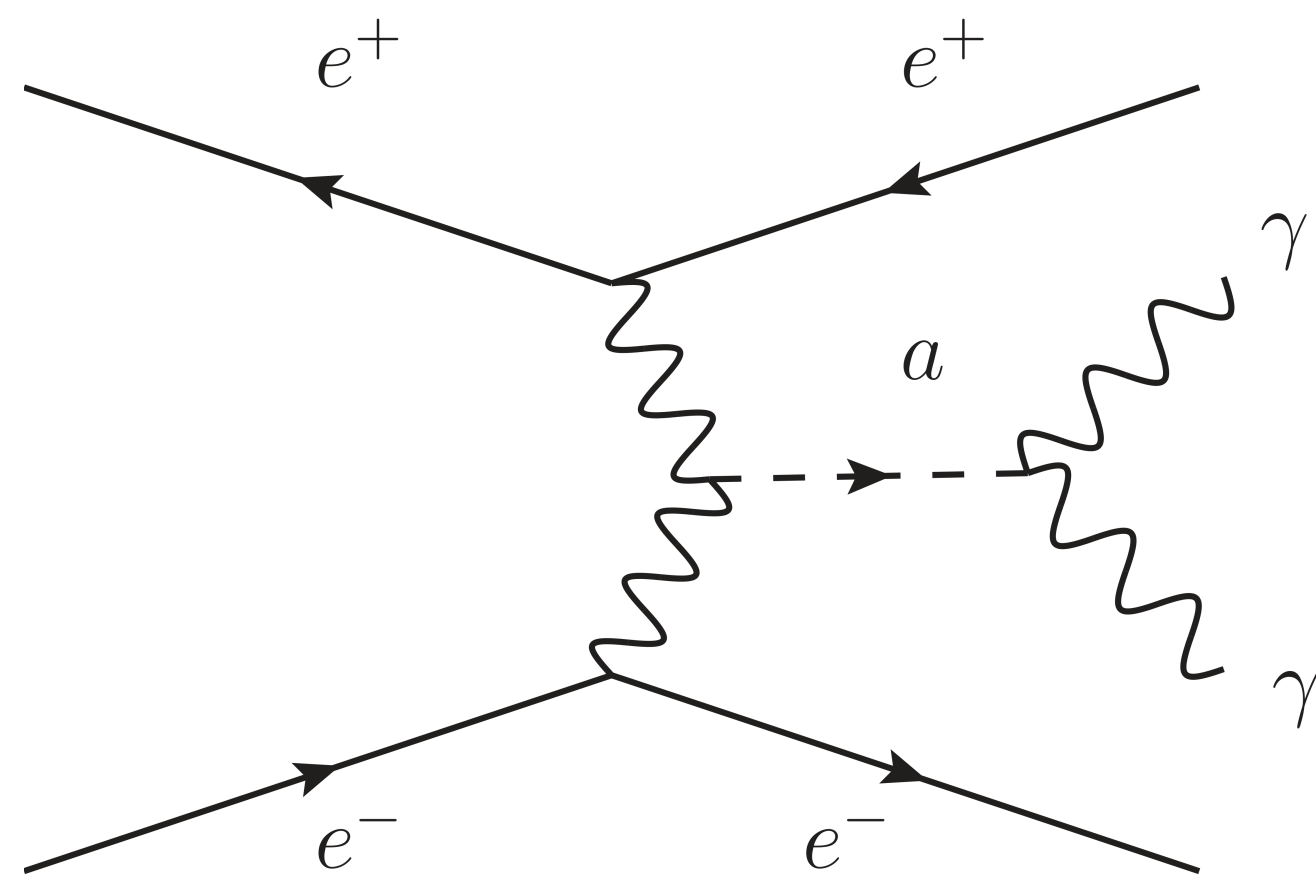
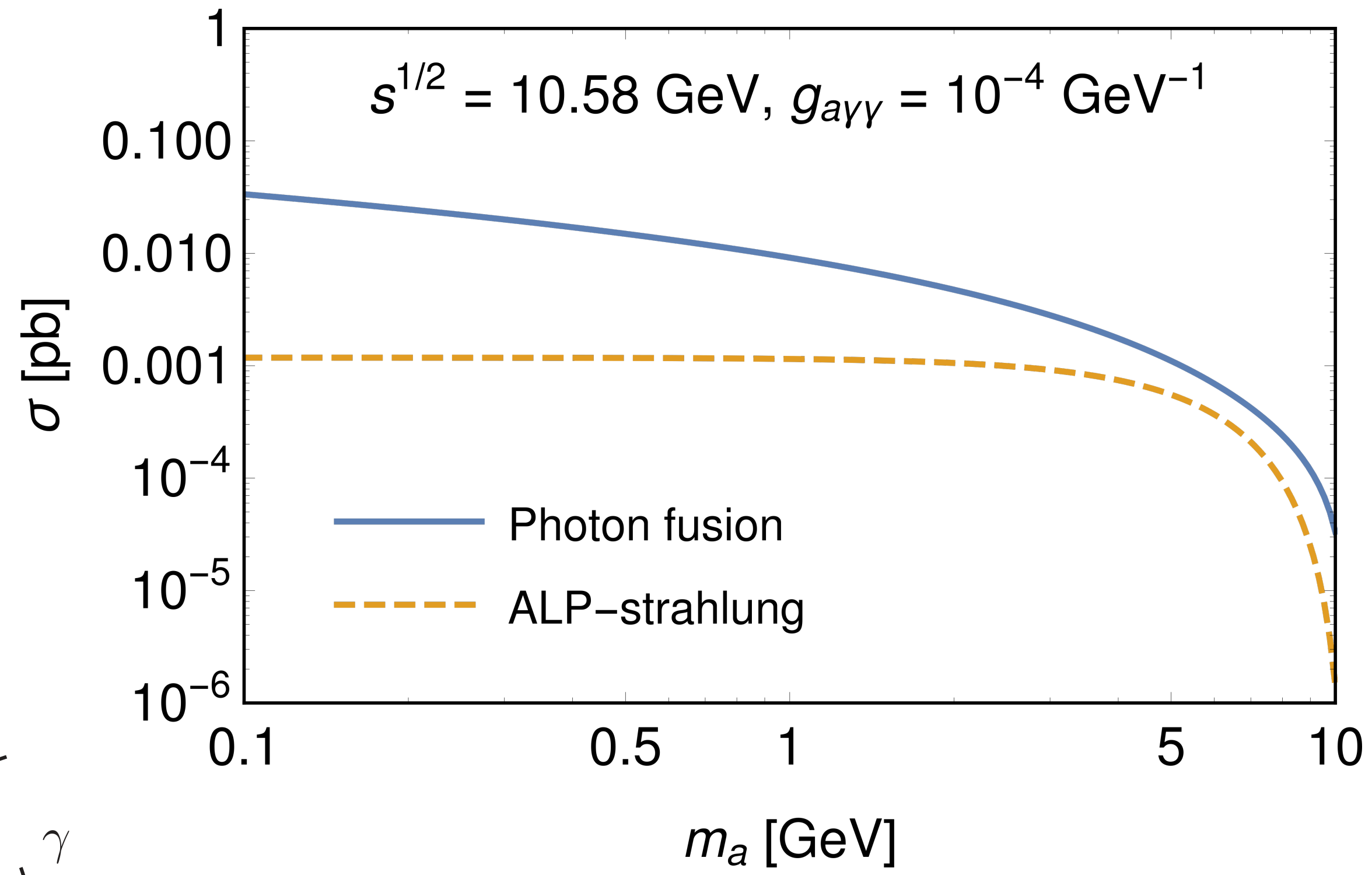


Cuts are listed in the order they are applied

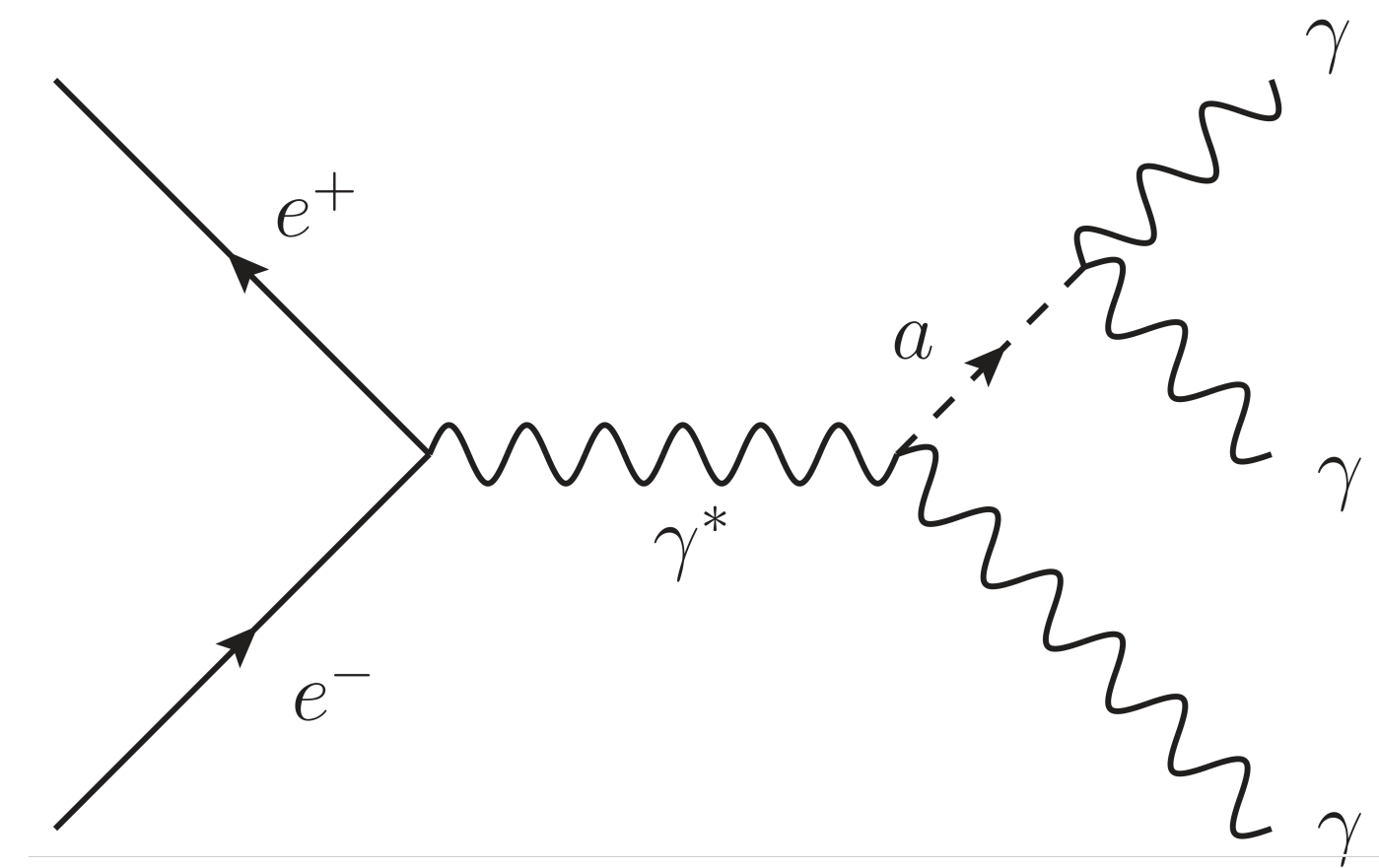
- $E_\gamma \geq 650 \text{ MeV}$  if  $m_a \geq 4.0 \text{ GeV}/c^2$   
 $E_\gamma \geq 1000 \text{ MeV}$  if  $m_a < 4.0 \text{ GeV}/c^2$
- $37.3^\circ \leq \theta_\gamma \leq 123.7^\circ$  (barrel acceptance)
- $\text{clusterNHits} > 1.5$
- 3 most energetic  $\gamma$
- $0.88 \sqrt{s} \leq m_{\gamma\gamma\gamma} \leq 1.03 \sqrt{s}$   
( $9.31 \text{ GeV}/c^2 \leq m_{\gamma\gamma\gamma} \leq 10.90 \text{ GeV}/c^2$ )
- $\text{TimeVar}^* < 10$
- 0 good tracks
- $\Delta\theta \geq 0.014 \text{ rad}$  OR  $\Delta\varphi \geq 0.4 \text{ rad}$
- $\text{clusterZernikeMVA}$  of most isolated photon  $> 0.6$

\*)  $\text{TimeVar} = \left| \left( t - \frac{\sum (t/\Delta t^2)}{\sum (1/\Delta t^2)} \right) / \Delta t \right|$

# ALPs - theory



*Photon-fusion*



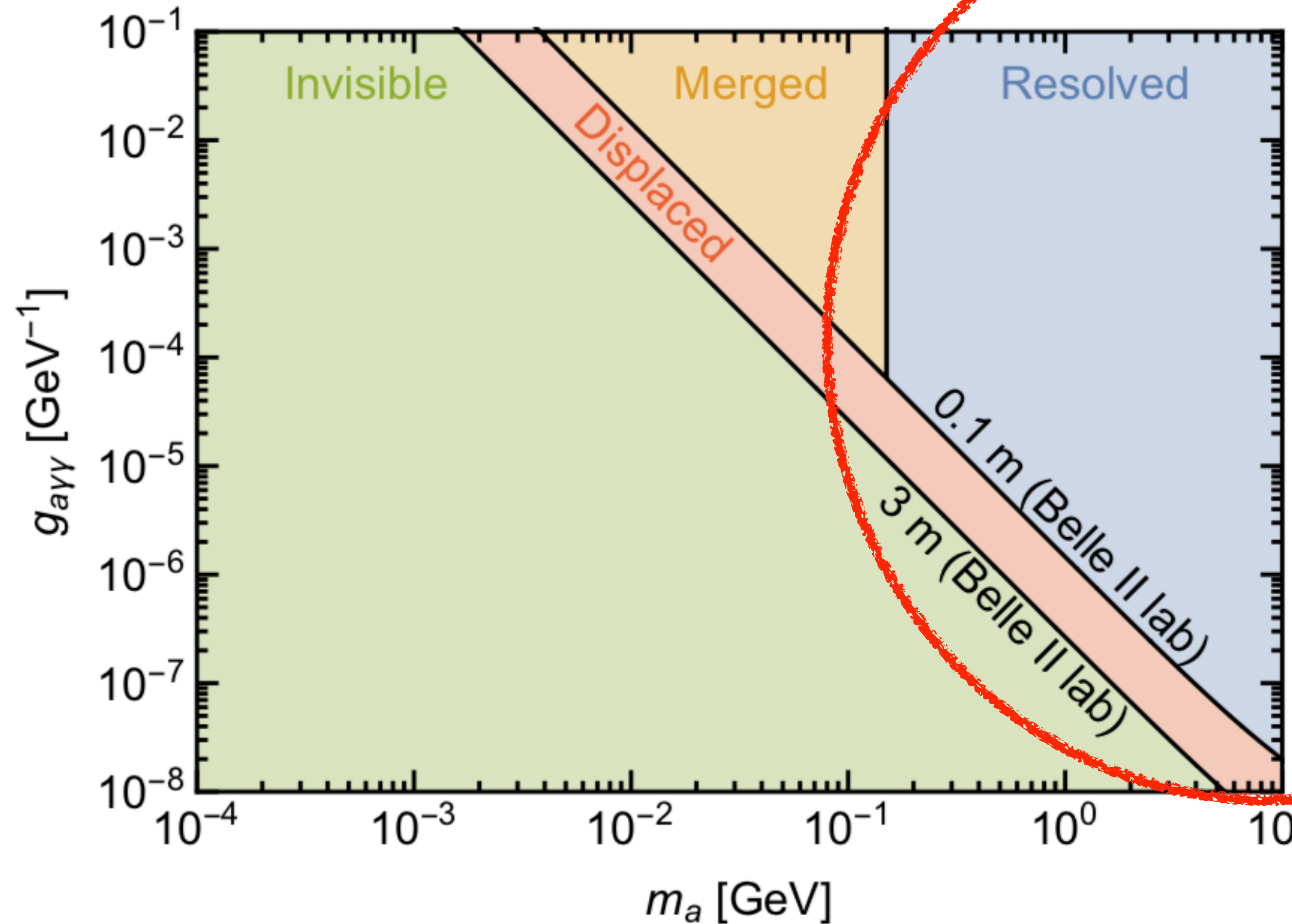
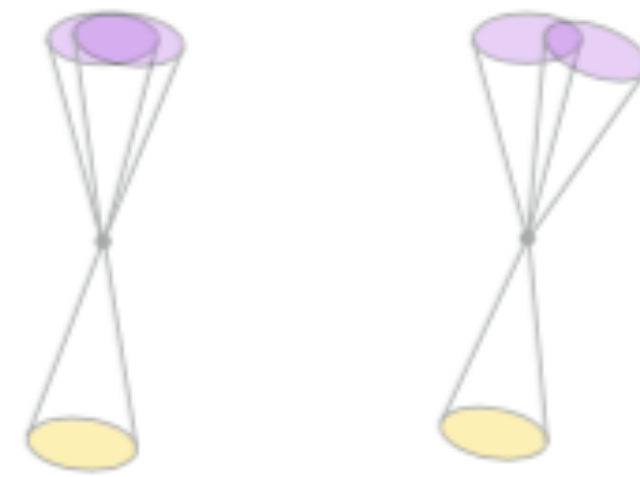
**ALP-strahlung**

# ALPs - analysis

ALP decays outside of the detector or decays into **invisible** particles: single photon final state



Two of the photons overlap or **merge**



Three **resolved**, high energetic photons



# Selection variables

## Selection optimization via maximization of Punzi Figure of Merit

(PFM)

- PFM as function of 1 variable
  - Other variables fixed
- Vary cut on that variable
- For multiple ALP masses
- Repeat for all variables

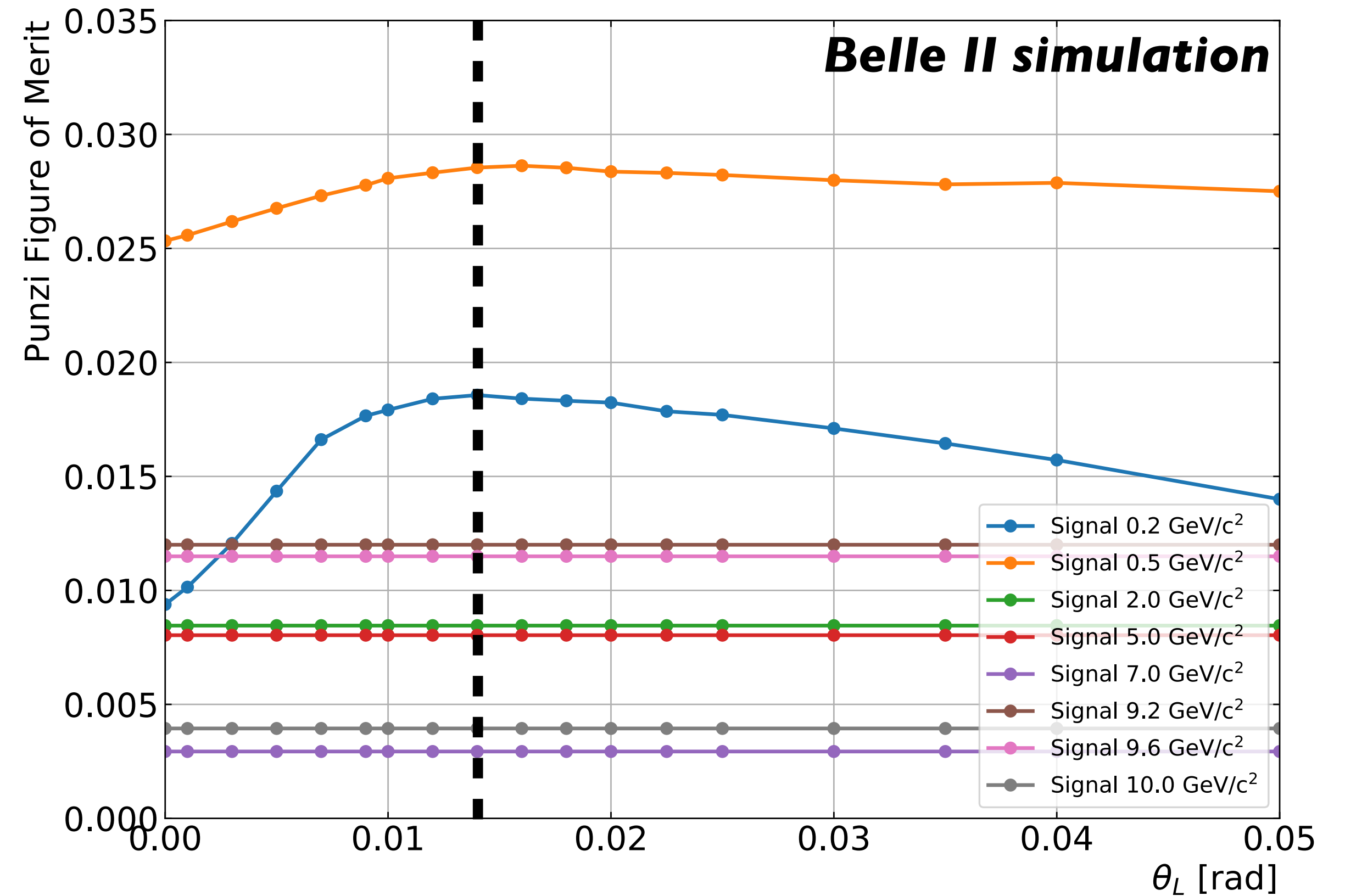
$$PFM = \frac{\epsilon_S}{\frac{a}{2} + \sqrt{B}}$$

← Signal efficiency

← # bkg candidates passing the cuts

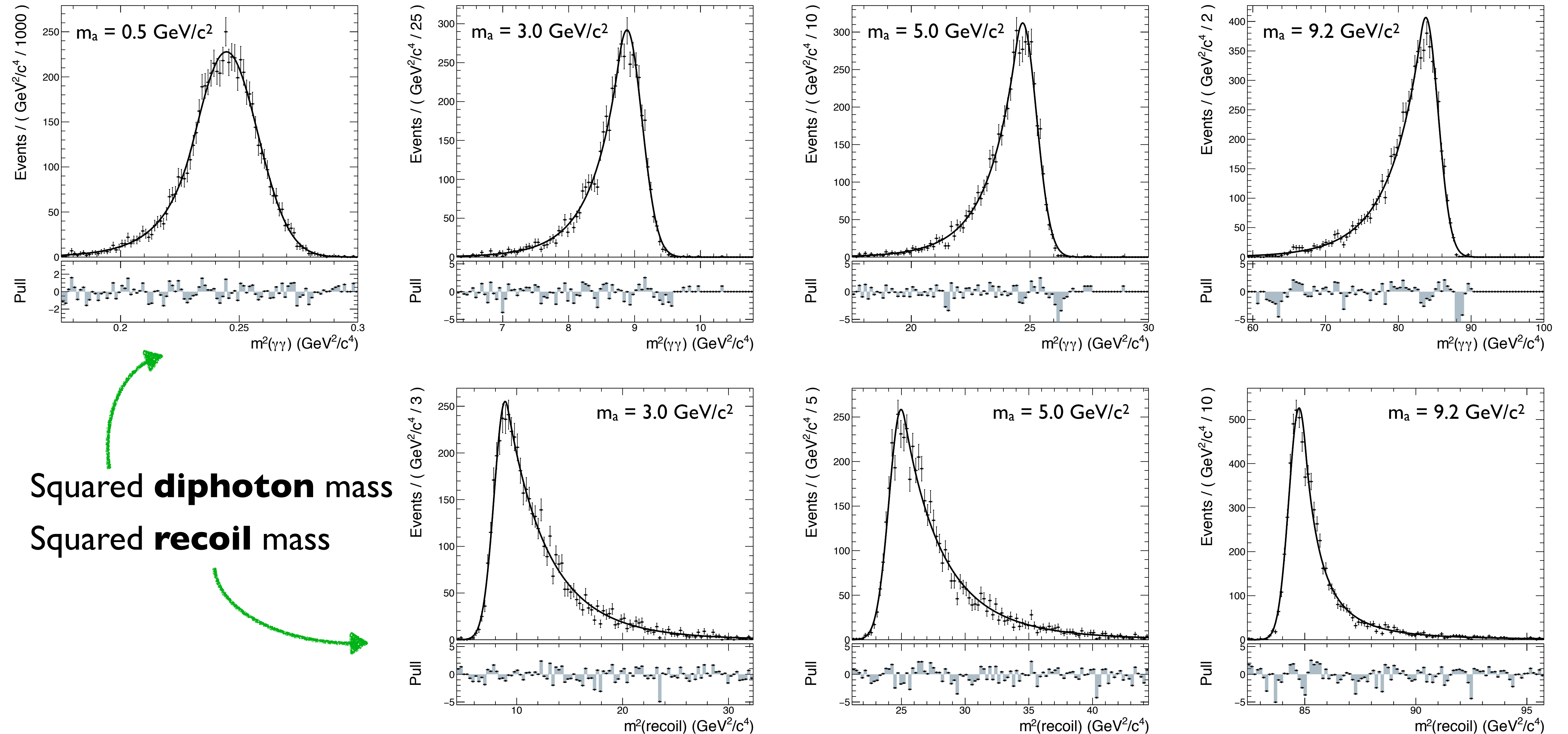
← Number of sigmas corresponding to one-sided Gaussian tests at a given significance (a=5)

One example of PFM scan:



(<https://arxiv.org/pdf/physics/0308063.pdf>)

# Signal peaking





# Background modeling

Choice of polynomial order & fit range with reduced  $\chi^2$  and smoothness criteria

- $m_a \in [0.2, 0.5] \text{ GeV}/c^2 \implies$  2nd order, fit range  $[m_a^2 - 20 \cdot \sigma_{CB}, m_a^2 + 30 \cdot \sigma_{CB}]$
- $m_a \in [0.5, 6.85^*] \text{ GeV}/c^2 \implies$  4th order, fit range  $[m_a^2 - 20 \cdot \sigma_{CB}, m_a^2 + 30 \cdot \sigma_{CB}]$
- $m_a \in [6.85^*, 9.7] \text{ GeV}/c^2 \implies$  5th order, fit range  $[m_a^2 - 25 \cdot \sigma_{CB}, m_a^2 + 25 \cdot \sigma_{CB}]$