Measurement of the semileptonic $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ branching fraction with fully reconstructed $B$ meson decays and $34.6 \mathrm{fb}^{-1}$ of Belle II data

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#### Abstract

We present a first measurement of the $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ branching fraction using fully reconstructed $B$ meson decays employing the Full Event Interpretation algorithm. Collision events corresponding to an integrated luminosity of $34.6 \mathrm{fb}^{-1}$ are analyzed, which were recorded by the Belle II detector operated at the SuperKEKB accelerator complex. We measure $\mathcal{B}\left(\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}\right)=\left(4.51 \pm 0.41_{\text {stat }} \pm 0.27_{\text {syst }} \pm 0.45_{\pi_{\mathrm{s}}}\right) \%$, with the first and second error denoting the statistical and systematic uncertainty, respectively, and the third dominant uncertainty is from the slow pion reconstruction efficiency.


## 1. INTRODUCTION

Precision measurements of semileptonic $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ decays are crucial for future measurements of the absolute value of the Cabibbo-Kobayashi-Maskawa matrix element $V_{c b}$. A very clean measurement approach for this final state is to fully reconstruct one of the two $B$ mesons produced in the $e^{+} e^{-} \rightarrow \Upsilon(4 S) \rightarrow B \bar{B}$ process, using hadronic modes. The flavor and momentum of the signal $B$ meson can thus be determined. In this conference note, we present a first study of $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ decays with fully reconstructed $B$ meson events using the Full Event Interpretation (FEI) algorithm of Ref. [1]. The FEI reconstructs over $100 B$ meson decay channels and over 10,000 decay cascades.

## 2. THE BELLE II DETECTOR AND DATA SAMPLE

The Belle II detector [2, 3] operates at the SuperKEKB asymmetric-energy electronpositron collider [4, located at the KEK laboratory in Tsukuba, Japan. The detector consists of several nested detector subsystems arranged around the beam pipe in a cylindrical geometry. The innermost subsystem is the vertex detector, which includes two layers of silicon pixel detectors and four outer layers of silicon strip detectors. Currently, the second pixel layer is installed in only a small part of the solid angle, while the remaining vertex detector layers are fully installed. Most of the tracking volume consists of a helium and ethane-based small-cell drift chamber (CDC). Outside the drift chamber, a Cherenkovlight imaging and time-of-propagation detector provides charged-particle identification in the barrel region. In the forward endcap, this function is provided by a proximity-focusing, ring-imaging Cherenkov detector with an aerogel radiator. Further out is an electromagnetic calorimeter, consisting of a barrel and two endcap sections made of $\mathrm{CsI}(\mathrm{Tl})$ crystals. A uniform 1.5 T magnetic field is provided by a superconducting solenoid situated outside the calorimeter. Multiple layers of scintillators and resistive plate chambers, located between the magnetic flux-return iron plates, constitute the $K_{L}$ and muon identification system.

The data used in this analysis were collected in 2019 and 2020 at a center-of-mass (CM) energy of 10.58 GeV , corresponding to the mass of the $\Upsilon(4 \mathrm{~S})$ resonance. The energies of the electron and positron beams are 7 GeV and 4 GeV , respectively, resulting in a boost of $\beta \gamma=0.28$ of the CM frame relative to the laboratory frame. The number of $B$ meson pairs in the analyzed collision events has been counted using event-shape variables and has been determined to be $N_{B \bar{B}}=(37.7 \pm 0.6) \times 10^{6}$.

Simulated Monte Carlo (MC) samples are used to develop the signal selection, determine reconstruction efficiencies and understand potential background distributions. These samples are generated using EvtGen and consist of generic $B \bar{B}$ events where $e^{+} e^{-} \rightarrow \Upsilon(4 S) \rightarrow$ $B \bar{B}$ and $e^{+} e^{-} \rightarrow q \bar{q}(q=u, d, s, c)$. The latter is simulated with KKMC [5] and PYTHIA [6]. The corresponding luminosity of the generic and continuum samples is $100 \mathrm{fb}^{-1}$. A signal MC sample, where $B$ exclusively decays to $X_{c} \ell \nu_{\ell}$, is also generated and used in this analysis. All recorded collisions and simulated events are analyzed in the basf2 [7] framework. Data-driven corrections for the lepton identification are applied to the MC events, derived from $J / \psi$ and other control samples.

## 3. FULL EVENT INTERPRETATION AND EVENT SELECTION

We first reconstruct collision events using the FEI algorithm. The algorithm reconstructs one of the $B$ mesons produced in the collision event using hadronic decay channels. We label such $B$ mesons in the following as $B_{\text {tag. }}$. Instead of attempting to reconstruct as many $B$ meson decay cascades as possible, the algorithm employs a hierarchical reconstruction ansatz in six stages: in the first stage, tracks, displaced vertices and neutral clusters are identified and required to pass some basic quality criteria. In the second stage, boosted decision trees (BDTs) are trained to identify charged tracks and neutral energy depositions as detector stable particles $\left(e^{+}, \mu^{+}, K^{+}, K_{L}, p, \pi^{+}, \gamma\right)$. In the third and fourth stage, these candidate particles are combined into composite parents $\left(J / \Psi, D^{0}, D^{+}, D_{s}, \Lambda_{c}, \Lambda, \Sigma^{+}\right)$, and for each target final state, a BDT is trained to identify probable candidates. At the fifth stage, candidates for excited mesons $\left(D^{* 0}, D^{*+}, D_{s}^{*}\right)$ are formed and separate BDTs are trained to identify viable combinations. The input variables of each stage aggregate the output classifiers from all previous reconstruction stages. The final stage combines the information from all previous stages to form $B_{\text {tag }}$ candidates. The viability of such combinations is again assessed by a BDT that is trained to distinguish correctly reconstructed candidates from wrong combinations and whose output classifier score we denote as signal probability. We apply a calibration factor for the hadronic tagging efficiency on MC derived using inclusive $B \rightarrow X \ell \bar{\nu}_{\ell}$. A full description of this procedure can be found in Ref. 8].

Only events with at least three charged tracks and three neutral clusters are passed into the FEI algorithm. The distance of closest approach between each track and the interaction point must be less than 2 cm and 0.5 cm along and longitudinal to the beam axis, respectively, with a minimum transverse momentum of $100 \mathrm{MeV} / c$. Clusters must have an energy of at least 100 MeV and the associated polar angle is required to lie within the angular acceptance of the $\mathrm{CDC}, 17^{\circ}<\theta<150^{\circ}$, with $\theta$ denoting the polar angle in the laboratory frame. In addition, to exclude low multiplicity events such as $e^{+} e^{-} \rightarrow e^{+} e^{-}$from the FEI reconstruction, the following selection is applied: $2<E_{E C L}<7 \mathrm{GeV}$ and $E_{v i s}>4 \mathrm{GeV}$. The former is the total energy deposited in the electromagnetic calorimeter and the latter is determined using the energy of all the tracks and clusters in the event. Collision events where $e^{+} e^{-} \rightarrow q \bar{q}(q=u, d, s, c)$ are also suppressed by demanding $R_{2}<0.3$, where $R_{2}$ is the ratio of the second and zeroth Fox-Wolfram moments [9], calculated using all the tracks and photon candidates in the event.

The purity of the $B_{\text {tag }}$ candidate is improved by selecting only candidates with an output signal probability greater than 0.001 . The beam-constrained mass $M_{\mathrm{bc}}$ of a $B_{\mathrm{tag}}$ candidate is defined as

$$
\begin{equation*}
M_{\mathrm{bc}}=\sqrt{E_{C M}^{2}-\left|\vec{p}_{B_{\mathrm{tag}}}\right|^{2}} \tag{1}
\end{equation*}
$$

where $E_{C M}$ is half the total collision energy, employed here to avoid resolution uncertainties related to the measurement of the $B$ energy, and $\vec{p}_{B_{\text {tag }}}$ is the momentum of the $B_{\text {tag }}$ candidate in the CM frame. $B_{\text {tag }}$ candidates are required to have $m_{b c}$ greater than $5.27 \mathrm{GeV} / c^{2}$ and $\Delta E \in[-0.15,0.1] \mathrm{GeV}$, where $\Delta E=E_{B_{\mathrm{tag}}}-E_{C M} s$, with $E_{B_{\mathrm{tag}}}$ denoting the CM frame energy of the $B_{\text {tag }}$ candidate.

All tracks and neutral clusters used in the $B_{\text {tag }}$ reconstruction are masked in the event. All remaining tracks and clusters are then used to define the signal side. The decay cascade $B^{0} \rightarrow D^{*+} \ell \nu_{\ell}$ with $D^{*+} \rightarrow D^{0} \pi^{+}$and $D^{0} \rightarrow K^{-} \pi^{+}$(and charge conjugate) is reconstructed
to form a $B_{\text {sig }}$ candidate. All tracks must fulfill the same quality criteria as described above and, except for the slow pion $\pi_{s}$ daughter produced in the $D^{*+}$ decay, must have at least one hit in the CDC. Oppositely charged tracks are combined to form $D^{0}$ candidates. Each $D^{0}$ meson candidate is required to have an invariant mass conforming to $m_{K \pi} \in$ $[1.858,1.878] \mathrm{GeV} / c^{2}$ and a CM momentum of less than $3 \mathrm{GeV} / c$. The $D^{0}$ meson candidates are then combined with a third track to form the $D^{*+}$ candidate. The mass difference, defined as $\Delta m=m_{D^{*}}-m_{D}$, must lie within $[0.143,0.148] \mathrm{GeV} / c^{2}$. In addition, charged leptons must pass lepton particle identification (PID) criteria in the form of a likelihood, which is determined using information from the different detector subsystems and ranges from zero to unity. Each lepton candidate must have a PID likelihood ratio greater than 0.9 to be selected as either an electron or muon candidate. In addition, we require that lepton candidates have a CM momentum greater than $1 \mathrm{GeV} / c$. The lepton candidate is then combined with an oppositely charged $D^{*}$ candidate and constrained with a vertex fit, requiring both daughters to originate from a common point. $\Upsilon(4 S)$ candidates are formed by combining the resulting $D^{*} \ell$ candidate with a $B_{\text {tag }}$. Events with additional tracks, after the $\Upsilon(4 S)$ reconstruction, are excluded. At this point, there are on average $1.4 \Upsilon(4 S)$ candidates per event. We select the candidate with the highest FEI signal probability of the daughter $B_{\text {tag. }}$. If an event still has more than one candidate per event (which occurs for about $1.8 \%$ of all remaining events), we select the candidate with its $D^{*}$ candidate mass closest to the world average $D^{*}$ mass. To reduce possible backgrounds from fully hadronic decays, we also impose that the missing energy, $E_{\text {miss }}=2 \times E_{C M}-E_{B_{\mathrm{tag}}}-E_{D^{*}}-E_{\ell}$, exceeds 300 MeV . Here $E_{D^{*}}$ and $E_{\ell}$ denote the energy of the reconstructed $D^{*}$ and lepton candidates, and is calculated using the reconstructed momenta.

## 4. SIGNAL EXTRACTION AND BRANCHING FRACTION

The signal is extracted using a binned maximum likelihood fit of $m_{\text {miss }}^{2}$, defined as

$$
\begin{equation*}
m_{\mathrm{miss}}^{2}=\left(p_{e^{+} e^{-}}-p_{B_{\mathrm{tag}}}-p_{D^{*}}-p_{\ell}\right)^{2} \tag{2}
\end{equation*}
$$

and evaluated in the CM frame with $p_{e^{+} e^{-}}$and $p_{B_{\text {tag }}}=\left(E_{C M}, \vec{p}_{B_{\text {tag }}}\right)$ denoting the fourmomenta of the colliding electron-positron pair and the reconstructed $B_{\text {tag }}$ candidate. Further, $p_{\ell}$ and $p_{D^{*}}$ denote the four-momenta of the reconstructed lepton and $D^{*+}$ candidate. Correctly reconstructed $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ events should peak close to $m_{\text {miss }}^{2} \approx m_{\nu}^{2} \sim 0$, whereas contributions from most background processes will have on average larger values. The $m_{\text {miss }}^{2}$ distribution of the reconstructed candidate events is shown in Figure 1. For the fit we merge the small background contributions from continuum processes and other $B$ meson decays.

The fit finds $N_{s}=133 \pm 12$ signal and $11 \pm 5$ background events and the fit result is shown in Figure 2. The fitted yields can be converted into a branching fraction using

$$
\begin{equation*}
\mathcal{B}\left(\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}\right)=\frac{N_{s} \times \epsilon_{\text {tag+sel }}^{-1}}{4 \times N_{B \bar{B}} \times\left(1+f_{+0}\right)^{-1}} . \tag{3}
\end{equation*}
$$

Here $\epsilon_{\text {tag+sel }}=(0.40 \pm 0.05) \times 10^{-4}$ denotes the selection and tagging efficiencies including sub decay branching fractions. The quoted error includes uncertainties from the tagging


FIG. 1. The reconstructed pre-fit $m_{\text {miss }}^{2}$ distribution is shown and compared to the MC expectation. The resolution of the peak is dominated by the resolution of the $B_{\text {tag }}$ reconstruction. Correctly reconstructed $B_{\text {sig }}$ candidates are expected to peak at $m_{\text {miss }}^{2} \approx m_{\nu}^{2} \sim 0$.
calibration, the limited size of the MC sample, the lepton identification, the slow pion reconstruction, tracking efficiency, and from the assumed charm branching fractions. Using the preliminary $B$ counting result of $N_{B \bar{B}}=(37.7 \pm 0.6) \times 10^{6}$ and $f_{+0}=1.058 \pm 0.024$ from Ref. [10] we obtain

$$
\begin{equation*}
\mathcal{B}\left(\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}\right)=\left(4.51 \pm 0.41_{\text {stat }} \pm 0.27_{\text {syst }} \pm 0.45_{\pi_{\mathrm{s}}}\right) \% . \tag{4}
\end{equation*}
$$

The largest uncertainty stems from the slow pion efficiency and a detailed breakdown is given in Table \. The measured value is lower, but in good agreement with the world average of Ref. [10] of $\mathcal{B}\left(\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}\right)=(5.05 \pm 0.14) \%$.

## 5. $E_{\text {ECL }}$ OF THE SELECTED $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ EVENTS

The full reconstruction of $B_{\text {tag }}$ and $B_{\text {sig }}$ allows one to analyze unassigned energy depositions in the calorimeter. Their energy can be summed, after some minimal energy cuts and


FIG. 2. The post-fit $m_{\text {miss }}^{2}$ distribution is shown.

| Source | Relative uncertainty (\%) |
| :--- | :---: |
| Tracking of $\pi_{s}$ | $10 \%$ |
| MC modeling | $5 \%$ |
| FEI Calibration | $3 \%$ |
| Tracking of $K, \pi, \ell$ | $3 \%$ |
| $N_{B^{0}}$ | $2 \%$ |
| $f_{+0}$ | $1 \%$ |
| Charm branching fractions | $1 \%$ |
| Lepton ID | $1 \%$ |
| Total | $12 \%$ |

TABLE I. Summary of the relative systematic uncertainties for the branching fraction measurement.


FIG. 3. Two versions of $E_{\mathrm{ECL}}$ are shown: (left) is the version applying detector region dependent energy selection criteria, (right) shows the impact of using a BDT to identify neutral energy depositions from beam background processes. It is based on shower shape variables and the detector region of the reconstructed neutral cluster.
are denoted as $E_{\mathrm{ECL}}$. For correctly reconstructed $B_{\text {sig }}$ candidates, no unassigned neutral energy clusters are expected in the rest of the event (ROE) after the $\Upsilon(4 S)$ reconstruction and thus ideally $E_{\mathrm{ECL}} \sim 0$. Figure 3 left shows $E_{\mathrm{ECL}}$, where only neutral cluster with energy greater than 60,30 , and 90 MeV in the forward, barrel and end-cap regions of the calorimeter, respectively, are considered. The resulting distribution for signal events has a tail towards larger values due to unassigned $K_{L}$ and beam background photons.

To suppress contributions from beam background photons, a boosted decision tree (BDT) (using the implementation of Ref. [11) is trained using 6 variables related to the shape of the electromagnetic shower in the ECL These include the ratio of the energy of the central crystal in a cluster to the summed energy of the $9 x 9$ surrounding crystals, the lateral energy distribution of a given cluster, the second moment of the cluster's energy distribution, the polar and azimuthal angle of each cluster in the ECL, and the output of a multivariate trained on eleven Zernike moments of the cluster shower [12]. The classifier is trained using recorded events in a control sample, where $e^{+} e^{-} \rightarrow \mu^{+} \mu^{-}$with the requirement that the two muons are back to back. The clusters in the control sample result mainly from beam background photons and thus are ideal for training the classifier.

The classifier is then applied to the clusters of the $E_{\text {ECL }}$ distribution from $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ signal events to evaluate their likeness to beam background photons. A loose cut is applied to exclude clusters that are most likely from beam backgrounds and the resulting $E_{\mathrm{ECL}}$ is shown in Figure 3. Both $E_{\mathrm{ECL}}$ distributions, before and after applying BDT selection, show good agreement within the available event counts. $E_{\mathrm{ECL}}$ is a key experimental observable to measure semileptonic or leptonic $B$ meson decays involving $\tau$ leptons and this displays the .

## 6. RESULTS AND CONCLUSION

We present a first measurement of the $\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}$ branching fraction using the Full Event Interpretation algorithm and $34.6 \mathrm{fb}^{-1}$ of Belle II data. We determine

$$
\begin{equation*}
\mathcal{B}\left(\bar{B}^{0} \rightarrow D^{*+} \ell^{-} \bar{\nu}_{l}\right)=\left(4.51 \pm 0.41_{\text {stat }} \pm 0.27_{\text {syst }} \pm 0.45_{\pi_{\mathrm{s}}}\right) \%, \tag{5}
\end{equation*}
$$

which is lower than, but in agreement with, the current world average. The largest systematic uncertainty stems from the slow pion efficiency, which will be improved in the future with more precise auxiliary measurements. For future studies of $B \rightarrow \tau \bar{\nu}_{\tau}$ and $B \rightarrow D^{(*)} \tau \bar{\nu}_{\tau}$ from Belle II, we have also looked at $E_{\mathrm{ECL}}$, defined as the sum of unassigned neutral energy in the calorimeter. The results of these studies are an important stepping stone for future measurements involving these challenging signatures.

## 7. ACKNOWLEDGEMENTS

We thank the SuperKEKB group for the excellent operation of the accelerator; the KEK cryogenics group for the efficient operation of the solenoid; and the KEK computer group for on-site computing support. This work was supported by the following funding sources: Science Committee of the Republic of Armenia Grant No. 18T-1C180; Australian Research Council and research grant Nos. DP180102629, DP170102389, DP170102204, DP150103061, FT130100303, and FT130100018; Austrian Federal Ministry of Education, Science and Research, and Austrian Science Fund No. P 31361-N36; Natural Sciences and Engineering Research Council of Canada, Compute Canada and CANARIE; Chinese Academy of Sciences and research grant No. QYZDJ-SSW-SLH011, National Natural Science Foundation of China and research grant Nos. 11521505, 11575017, 11675166, 11761141009, 11705209, and 11975076, LiaoNing Revitalization Talents Program under contract No. XLYC1807135, Shanghai Municipal Science and Technology Committee under contract No. 19ZR1403000, Shanghai Pujiang Program under Grant No. 18PJ1401000, and the CAS Center for Excellence in Particle Physics (CCEPP); the Ministry of Education, Youth and Sports of the Czech Republic under Contract No. LTT17020 and Charles University grants SVV 260448 and GAUK 404316; European Research Council, 7th Framework PIEF-GA-2013-622527, Horizon 2020 Marie Sklodowska-Curie grant agreement No. 700525 ‘NIOBE,' and Horizon 2020 Marie Sklodowska-Curie RISE project JENNIFER2 grant agreement No. 822070 (European grants); L'Institut National de Physique Nucléaire et de Physique des Particules (IN2P3) du CNRS (France); BMBF, DFG, HGF, MPG, AvH Foundation, and Deutsche Forschungsgemeinschaft (DFG) under Germany's Excellence Strategy - EXC2121 "Quantum Universe", - 390833306 (Germany); Department of Atomic Energy and Department of Science and Technology (India); Israel Science Foundation grant No. 2476/17 and United States-Israel Binational Science Foundation grant No. 2016113; Istituto Nazionale di Fisica Nucleare and the research grants BELLE2; Japan Society for the Promotion of Science, Grant-in-Aid for Scientific Research grant Nos. 16H03968, 16H03993, 16H06492, 16K05323, 17H01133, 17H05405, 18K03621, 18H03710, 18H05226, 19H00682, 26220706, and 26400255, the National Institute of Informatics, and Science Information NETwork 5 (SINET5), and the Ministry of Education, Culture, Sports, Science, and Technology (MEXT) of Japan; National Research Foundation (NRF) of Korea Grant Nos. 2016R1D1A1B01010135, 2016R1D1A1B-

02012900, 2018R1A2B3003643, 2018R1A6A1A06024970, 2018R1D1A1B07047294, 2019K1A3A7A09033840, and 2019R1I1A3A01058933, Radiation Science Research Institute, Foreign Large-size Research Facility Application Supporting project, the Global Science Experimental Data Hub Center of the Korea Institute of Science and Technology Information and KREONET/GLORIAD; Universiti Malaya RU grant, Akademi Sains Malaysia and Ministry of Education Malaysia; Frontiers of Science Program contracts FOINS-296, CB-221329, CB-236394, CB-254409, and CB-180023, and SEP-CINVESTAV research grant 237 (Mexico); the Polish Ministry of Science and Higher Education and the National Science Center; the Ministry of Science and Higher Education of the Russian Federation, Agreement 14.W03.31.0026; University of Tabuk research grants S-1440-0321, S-0256-1438, and S-0280-1439 (Saudi Arabia); Slovenian Research Agency and research grant Nos. J19124 and P1-0135; Agencia Estatal de Investigacion, Spain grant Nos. FPA2014-55613P and FPA2017-84445-P, and CIDEGENT/2018/020 of Generalitat Valenciana; Ministry of Science and Technology and research grant Nos. MOST106-2112-M-002-005-MY3 and MOST107-2119-M-002-035-MY3, and the Ministry of Education (Taiwan); Thailand Center of Excellence in Physics; TUBITAK ULAKBIM (Turkey); Ministry of Education and Science of Ukraine; the US National Science Foundation and research grant Nos. PHY1807007 and PHY-1913789, and the US Department of Energy and research grant Nos. DE-AC06-76RLO1830, DE-SC0007983, DE-SC0009824, DE-SC0009973, DE-SC0010073, DESC0010118, DE-SC0010504, DE-SC0011784, DE-SC0012704; and the National Foundation for Science and Technology Development (NAFOSTED) of Vietnam under contract No 103.99-2018.45.
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