

# Track Finding at Belle II

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55 **Abstract**

This paper describes the track-finding algorithm that is used for event reconstruction in the Belle II experiment operating at the SuperKEKB B-factory in Tsukuba, Japan. The algorithm is designed to balance the requirements of a high efficiency to find charged particles with a good track parameter resolution, a low rate of spurious tracks, and a reasonable demand on CPU resources. The software is implemented in a flexible, modular manner and employs a diverse selection of global and local track-finding algorithms to achieve an optimal performance.

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56 **1. Introduction**

57 The SuperKEKB accelerator complex [1] located at Tsukuba, Japan is  
58 designed to achieve a world-record instantaneous luminosity for  $e^+e^-$  collisions  
59 of  $8 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ . The collisions of 4 GeV positron and 7 GeV electron  
60 beams are recorded by the upgraded successor of the Belle detector [2],  
61 which is called Belle II [3]. The expected data sample with an integrated  
62 luminosity of  $50 \text{ ab}^{-1}$  will allow the Belle II experiment to study B meson  
63 decays with unprecedented accuracy.

64 The high instantaneous luminosity poses, however, several additional  
65 challenges. The signal and background rates are expected to increase significantly  
66 compared to those observed at Belle. The larger data samples

67 will act to reduce statistical uncertainties, this emphasizes the need to keep  
68 systematic effects under control. The experiment therefore requires highly  
69 performing track-finding software, capable to cope with high rates and sig-  
70 nificant background, while maintaining high efficiency and resolution for par-  
71 ticles with momenta as low as 50 MeV/c.

72 The track-finding algorithms used in Belle II are built on the experi-  
73 ment's modular software framework [4] and can be combined for an optimal  
74 overall performance. The algorithms use both local and global track-finding  
75 methods based on cellular automaton [5, 6, 7, 8] and Legendre transfor-  
76 mation [9], respectively, as well as combinatorial Kalman filter (CKF) ap-  
77 proaches [10, 11, 12, 13]. A specific feature of the Belle II tracking is a  
78 heavy use of multivariate methods, based on the gradient boosted decision  
79 tree implementation provided by the FastBDT package [14], to improve back-  
80 ground filtering and track-candidate search. The performance of the track  
81 finding is estimated using a detailed simulation of the Belle II detector using  
82  $\Upsilon(4S) \rightarrow B\bar{B}$  events with expected background overlaid.

83 The paper is organized as follows. Section 2 describes the main com-  
84 ponents of the Belle II tracking devices: the silicon-based vertex detector  
85 (VXD) and the central drift chamber (CDC). Properties of signal events and  
86 background are discussed in Section 3. Section 4 describes the event simula-  
87 tion and methods used to gauge the tracking performance. The description  
88 of the reconstruction of hits in each of the tracking detectors is given next  
89 in Section 5. The general strategy for track reconstruction is outlined in  
90 Section 6 after which the CDC track finding is explained in Section 7. Track  
91 finding with the silicon vertex detector (SVD) using the concept of dedicated  
92 Sector Maps and a local track finding algorithm is discussed in Section 8  
93 followed by the description of the CKF in Section 9. Section 10 presents  
94 performance studies of the Belle II track finding using simulated events and  
95 Section 11 summarizes the results.

## 96 2. Belle II Tracking System

97 The trajectories of the charged long-lived decay products of the B mesons  
98 are measured by the Belle II tracking detectors: the silicon based vertex de-  
99 tector and the central drift chamber. The origin of most of these trajectories  
100 is in the proximity of the interaction point (IP). The trajectories pass through  
101 the beam pipe which is comprised of two thin walls of beryllium enclosing a  
102 duct through which liquid paraffin flows. The inner wall of the beam pipe

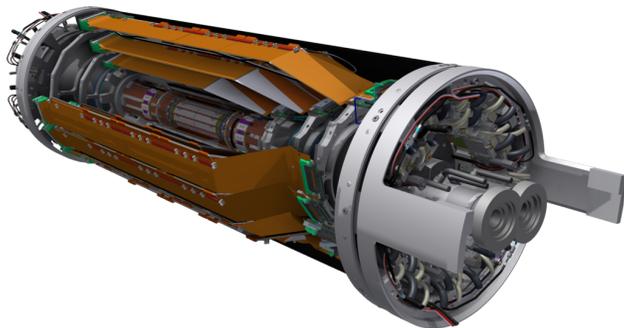


Figure 1: The Belle II Vertex Detector volume. The four outer layers are the silicon vertex detector and the pixel detector is in the center.

103 is sputtered with a thin layer of gold to shield the VXD from synchrotron  
 104 radiation. The beam pipe radiation length for particles crossing it at a  $90^\circ$  an-  
 105 gle is 0.79%. A thin superconducting solenoid provides a magnetic field of  
 106 about 1.5 T directed along the nominal mechanical axis of the CDC support  
 107 cylinder. A system of final focusing quadrupole and compensating solenoid  
 108 magnets is situated close to the IP. The field remains fairly homogeneous and  
 109 varies on the order of 1% in the entire tracking volume.

110 In spherical coordinates, with the  $z$  axis parallel to the CDC axis of  
 111 symmetry and directed along the boost direction, the CDC covers the  $\theta$  range  
 112 comprised between  $17^\circ$  and  $150^\circ$  and the full  $\phi$  range. Just outside the CDC  
 113 there are additional detectors for the reconstruction of neutral particles and  
 114 particle identification.

Table 1: Specifications of the Belle II PXD.

Layer	Radius (mm)	Ladders	Sensors	Pixels/Sensor $u \times v$	Pitch $u \times v$ ( $\mu\text{m} \times \mu\text{m}$ )
1	14	8	16	$250 \times 768$	$50 \times (55 \text{ to } 60)$
2	22	12	24	$250 \times 768$	$50 \times (70 \text{ to } 85)$
Sum		20	40	7 680 000	

115 A rendering of the VXD is shown in Figure 1. The VXD is composed of  
 116 two detectors, the pixel detector (PXD) and the SVD, which are based on  
 117 DEPFET [15] and double-sided silicon strip technologies, respectively. An  
 118 overview of the key figures of the PXD is shown in Table 1. The PXD consists  
 119 of two approximately cylindrical layers with radii of 14 and 22 mm. The inner

120 (outer) layer contains eight (twelve) ladders with a size of approximately 1.5  
 121 by 10 cm (1.5 by 13 cm). Each ladder is built by gluing two DEPFET modules  
 122 together at their short edge. In total there are 40 PXD sensors. The ladders  
 123 overlap with each other in  $r$ - $\phi$  (local  $u$  coordinate), while there is a 0.85 mm  
 124 gap between the two sensors on each ladder in  $z$  (local  $v$  coordinate). The  
 125 sensitive region of the PXD is 75  $\mu\text{m}$  in thickness while the edges, which  
 126 provide the mechanical stiffness to the structure and make the PXD ladder  
 127 self-supporting, are 450  $\mu\text{m}$  thick. One of the two long sides of each ladder  
 128 is equipped with twelve switchers, six for each module. These switchers are  
 129 the only PXD ASICs (Application Specific Integrated Circuits) inside the  
 130 tracking volume. The other ASICs of the PXD are on the two short edges of  
 131 each ladder in close contact to the cooling blocks that support the detector.  
 132 The structure is extremely light with the equivalent thickness for a PXD  
 133 layer of 0.2% of the radiation length. In both layers, the PXD pixel matrix  
 134 is organized in rows comprising of 250 pixels with a pitch of 50  $\mu\text{m}$  that run  
 135 in the  $u$  direction, and columns comprising of 768 pixels with pitches varying  
 136 between 55  $\mu\text{m}$  and 85  $\mu\text{m}$  that run along the  $v$  direction. In total the PXD  
 137 comprises approximately eight million pixels.

Table 2: Specifications of the Belle II SVD.

Layer	Radius (mm)	Ladders	Sensors	Strips/Sensor $u, v$	Pitch $u, v$ ( $\mu\text{m}, \mu\text{m}$ )
3	39	7	14	768, 768	50, 160
4	80	10	30	768, 512	75 to 50, 240
5	104	12	48	768, 512	75 to 50, 240
6	135	16	80	768, 512	75 to 50, 240
Sum		35	172	132 096, 91 648	

138 Table 2 shows the key figures of the SVD. The SVD consists of four  
 139 layers of double-sided silicon strip detectors. All the layers have a barrel-  
 140 shaped part with rectangular sensors. The forward section of the outermost  
 141 three layers has a lamp-shade geometry made of trapezoidal sensors. This  
 142 setup minimizes the amount of material for the particles originating from  
 143 the IP. The radii of the four SVD layers range from 39 mm to 135 mm. The  
 144 layers consist of 7 to 16 ladders, with 2 to 5 sensors per ladder, respectively.  
 145 Similarly to the PXD, the SVD ladders overlap in  $u$  while there is a 2 mm  
 146 gap between the sensors on each ladder in  $v$ . Each sensor of the first layer

147 of the SVD has 768 strips per side, with readout pitches of  $50\ \mu\text{m}$  on the  
 148 side measuring the  $u$  coordinate and  $160\ \mu\text{m}$  on the side measuring the  $v$   
 149 coordinate. The barrel sensors of the three outer layers have 768 strips  
 150 with a readout pitch of  $75\ \mu\text{m}$  in  $u$  and 512 strips with a readout pitch of  
 151  $240\ \mu\text{m}$  in  $v$ . The slanted sensors of these layers have the same number of  
 152 strips in the respective directions, and the same pitch in  $v$ . The pitch in  
 153  $u$ -direction varies from  $75\ \mu\text{m}$  at the back to  $50\ \mu\text{m}$  at the front side, due to  
 154 the trapezoidal shape. The readout strips are interleaved with floating strips  
 155 to improve the spatial resolution. In total, there are 172 SVD sensors with  
 156 about 220 thousand read-out strips. Each SVD sensor has a thickness of  
 157  $320\ \mu\text{m}$ . The contribution to the overall radiation length due to mechanical  
 158 support structure, electronic read-out and cooling is kept at a minimum so  
 159 that the material of the outer SVD layers is equivalent to 0.6% radiation  
 160 length at normal incidence.

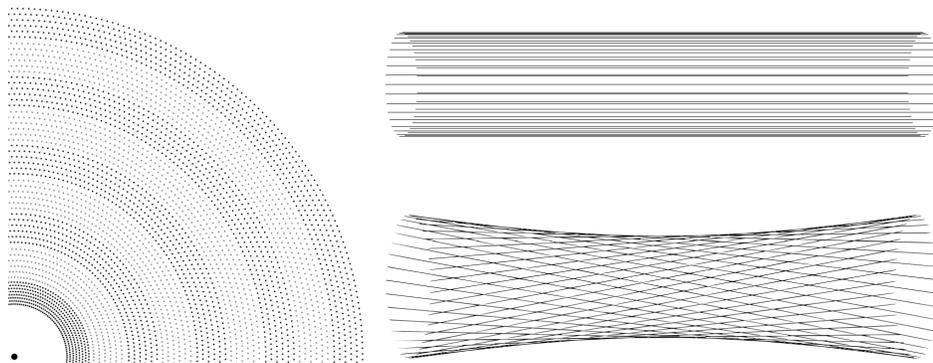


Figure 2: Left: A quadrant of a slice of the  $r$ - $\phi$  projection of the drift chamber. The innermost superlayer contains eight layers, all others contain six. Right: A visualization of stereo wires (bottom) relative to axial wires (top). The skew is exaggerated.

161 The main specifications of CDC are given in Table 3. The inner volume  
 162 of the CDC contains about 50 000 sense and field wires, defining drift cells  
 163 with a size of about 2 cm. The electric field in the drift cells is approximately  
 164 cylindrical leading to a two-fold ambiguity with the same drift time measured  
 165 for the tracks passing at the same distance on either side of the sense wire  
 166 (*left-right passage* ambiguity). The sense wires are arranged in layers,  
 167 where six or eight adjacent layers are combined in a superlayer, as seen in  
 168 Figure 2. The outer eight superlayers consist of six layers with 160 to 384  
 169 wires. The innermost superlayer has eight layers with 160 wires in smaller

Table 3: Specification of the Belle II CDC.

Layer	Radius of Sense Wires (mm)	Number of Wires	Drift Cell Size (cm)	Average Resolution ( $\mu\text{m}$ )
1 to 56	168 to 1111.4	160 to 384	$\sim 1$ to $\sim 2$	120

170 (half-size) drift cells to cope with the increasing background towards smaller  
 171 radii. The superlayers alternate between axial (A) orientation, aligned with  
 172 the solenoidal magnetic field, and stereo (U, V) orientation. Stereo wires  
 173 are skewed by an angle between 45.4 and 74 mrad in the positive and neg-  
 174 ative direction. The direction changes sign between U and V layers, with  
 175 a total superlayer configuration of AUAVAUAVA. The drift distance resolu-  
 176 tion of the drift chamber is about 120  $\mu\text{m}$ . By combining the information of  
 177 axial and stereo wires it is possible to reconstruct a full three-dimensional  
 178 trajectory.

### 179 3. Belle II Events and Background

180 The events recorded by the Belle II experiment can be classified according  
 181 to the  $e^+e^-$  scattering process occurring at the interaction point. The main  
 182 category is composed of the  $\Upsilon(4S)$  events in which the annihilation of an  
 183 electron-positron pair produces an  $\Upsilon(4S)$  resonance. This resonance decays  
 184 promptly into a quantum entangled state of two B mesons. The B meson  
 185 decay vertices have an average spatial separation of  $\sim 130 \mu\text{m}$ . Thus a track-  
 186 ing detector resolution significantly better than that is required to resolve  
 187 them. This is crucial for the measurements of the time dependent CP and T  
 188 violation as well as tests of the CPT symmetry in the B meson system. The  
 189 decay-vertex resolution relies on the spatial resolution of the PXD sensors as  
 190 well as their proximity to the IP in order to reduce the extrapolation lever  
 191 arm, and thus the effects of multiple Coulomb scattering on the measurement  
 192 of the impact parameters.

193 Studies of (semi)leptonic B decays often require the reconstruction of  
 194 the missing neutrino by exploiting four-momentum conservation. Hence the  
 195 tracking algorithm needs to find all of the charged final state particles. This  
 196 is demanding since there are about 11 tracks per event on average. Moreover,  
 197 the momentum spectrum of the particles is quite soft, ranging from a few

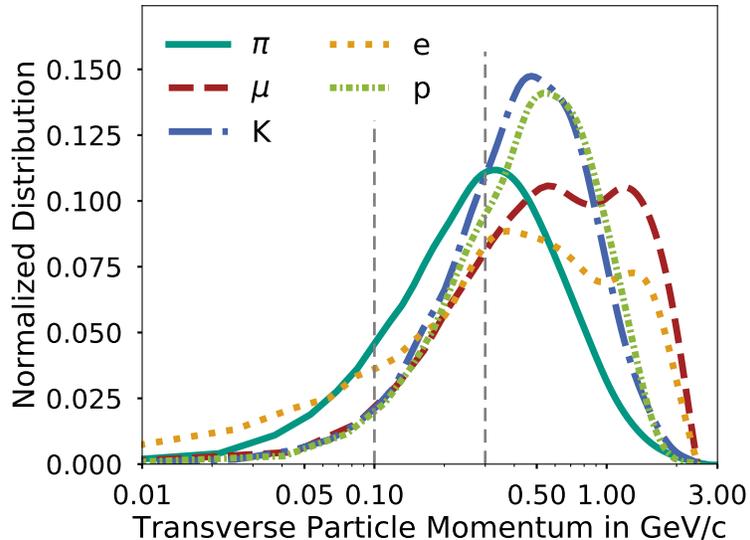


Figure 3: Transverse momentum distributions of primary charged particles as simulated for  $\Upsilon(4S)$  events. A logarithmic scale is used for the  $x$  axis. The distribution of each charged particle type is normalized to the total number of tracks from the respective type. The vertical line at 100 MeV/c indicates the transverse momentum threshold below which a track can only be found by the SVD. Charged particles with transverse momenta below the value 300 MeV/c marked by the second vertical line can curl inside the CDC volume.

198 tens of MeV/c to a few GeV/c (Figure 3). It is also essential to keep the rate of  
 199 of fake and duplicate tracks as low as possible.

200 The reconstruction of particles with momenta below 200 MeV/c is par-  
 201 ticularly challenging since the trajectories are heavily affected by multiple  
 202 Coulomb scattering and by energy loss in the material. Moreover, only the  
 203 measurements of the four layers of the SVD are available to the pattern  
 204 recognition algorithms for most of the tracks in this low momentum region.  
 205 The soft momentum spectrum is also challenging for the CDC since particles  
 206 with momenta below 300 MeV/c can loop several times in the CDC volume  
 207 producing hundreds of hits. The relative abundance of the long lived charged  
 208 particles produced in  $\Upsilon(4S)$  decays is illustrated in Figure 4.

209 Other categories of events are also of importance to the experiment. Most  
 210 notably  $\tau$ -pair and  $c\bar{c}$  events improve the existing limits and measurements  
 211 on the  $\tau$  lepton sector and on the charmed mesons. The experiment will also  
 212 be used to search for non-Standard Model particles, i.e. dark photons, axion-

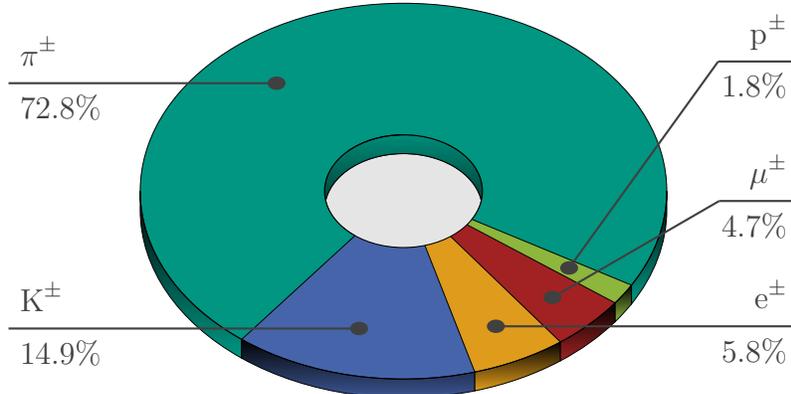


Figure 4: Fractions of charged particle types in generic  $\Upsilon(4S)$  events.

213 like particles, or magnetic monopoles that might be produced directly in  
 214  $e^+e^-$  collisions. These events are characterized by a lower track multiplicity,  
 215 a stiffer momentum spectrum, and by a less spherical event topology.

216 Particles lost by beam-gas and Touschek scattering, as well as due to  
 217 non-linearities of the machine lattice, lead to additional hits in the detec-  
 218 tor. The occupancy due to this machine background is expected to be very  
 219 high as a consequence of the high beam currents, small emittances, and large  
 220 beam-beam tune shifts needed to reach the design luminosity [16]. The elec-  
 221 tromagnetic processes occurring at the interaction point, radiative Bhabha  
 222 and electron-positron pair production, whose cross sections are of the order  
 223 of several mb, are going to be the leading effects for the beam particle loss  
 224 rate at nominal luminosity.

225 The VXD occupancy is expected to be largely dominated by soft electron-  
 226 positron pairs produced at the IP by the process  $e^+e^- \rightarrow e^+e^-e^+e^-$ . The  
 227 forward and backward sections of the SVD may be hit by an electromagnetic  
 228 shower originating from Bhabha electrons interacting in the support structure  
 229 of the final focusing magnets. The number of background hits exceeds the  
 230 signal hits by two orders of magnitude resulting in a PXD inner layer pixel  
 231 occupancy close to 2% and an SVD inner layer strip occupancy close to 3%.

232 The CDC occupancy is also expected to be dominated by the hits left by  
 233 particles coming from electromagnetic showers initiated by beam particles.  
 234 These interact with the material around the final focusing magnets which are  
 235 well inside the CDC volume. Figure 5 shows the CDC measurements pro-

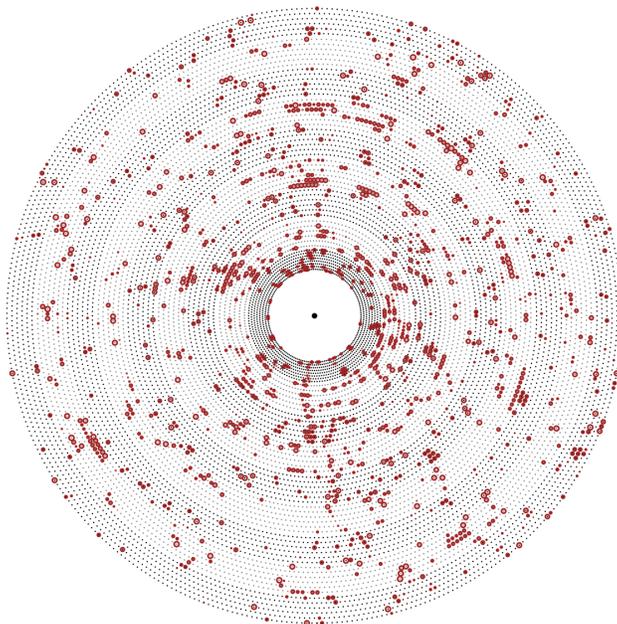


Figure 5: CDC measurements produced by simulated beam-induced background anticipated for the nominal instantaneous luminosity.

236 duced by simulated beam-induced background for the nominal instantaneous  
 237 luminosity.

#### 238 **4. Simulation and Track Finding Efficiency Definition**

239 A full simulation tool based on Geant4 [17] is used to model the detector  
 240 and collider properties. Using the information from the particle generator  
 241 and the Geant4 simulation of the particles traversing the detector volume,  
 242 an ideal track finder, called Monte Carlo (MC) track finder, is implemented.  
 243 Its performance is limited only by the detector acceptance, efficiency and  
 244 resolution, and by definition cannot be surpassed. A set of figures of merit  
 245 has been developed to qualify and tune the track finding algorithms. The  
 246 analysis is limited to tracks identified by the MC track finder (MC-tracks from  
 247 now on) having enough hits to completely determine the five parameters of  
 248 the helix-like trajectory. A good track finding algorithm should behave as  
 249 closely as possible to the MC track finder. In particular, each track should  
 250 be assigned all of the hits of one and only one MC particle. Two figures of  
 251 merit are defined for each pair of MC-track and a track found by the pattern

252 recognition (PR-track):

- 253 • The *hit efficiency* quantifies how efficient the pattern recognition is in  
254 identifying *all* the hits belonging to a single particle. It is defined as  
255 the fraction of hits of a given MC-track contained in a given PR-track.  
256 Ideally, there should be one and only one PR-track containing all the  
257 hits of a given MC-track, thus the *hit efficiency* should be 100% for the  
258 correct pair and zero for all others.
  
- 259 • The *hit purity* quantifies how precise the pattern recognition is in iden-  
260 tifying the hits belonging to *only one* particle. It is defined as the  
261 fraction of hits of a given PR-track contained in a given MC-track.  
262 Ideally, there should be one and only one MC-track to which all the  
263 hits of a given PR-track belong, thus the *hit purity* should be 100% for  
264 the correct pair and zero for all others.

265 A PR-track is defined as *matched* to a given MC-track if the hit purity  
266 exceeds 66% and the hit efficiency exceeds 5%. The low hit efficiency re-  
267 quirement accounts for low momentum tracks curling in the tracking volume  
268 which may leave several hundred of hits.

269 If there are two or more PR-tracks that are matched to the same MC-  
270 track, the PR-track with the highest hit purity is defined as the correctly  
271 identified match and the remaining PR-tracks are defined as *clones*. If mul-  
272 tiple PR-tracks have the same hit purity, the hit efficiency is used in addition  
273 to the purity to identify the match. The *track finding efficiency* is defined as  
274 the fraction of matched MC-tracks over all MC-tracks.

275 If the PR-track fails the purity requirement, e.g. the PR-track is made  
276 up of hits from two MC-tracks, each one with a hit purity below 66% or the  
277 PR-track is made of background hits, it is defined as a *fake*.

## 278 5. Input to Tracking Algorithms

### 279 *PXD Reconstruction*

280 In order to reduce the Belle II data rate to an acceptable level, events are  
281 required to pass a software-based high-level trigger (HLT). Data from the  
282 PXD do not contribute to the HLT decision, and are therefore buffered in  
283 the readout chain. In case an event is accepted, the track information from  
284 the HLT is used to define so-called *Regions Of Interest* (ROIs) on the PXD  
285 planes. Only PXD hits within these ROIs are stored.

Table 4: Cluster position resolutions for different cluster sizes (one, two and larger than two) and strip pitch, evaluated on MC simulation. Resolutions are measured as 68% coverage of the residual distributions.

Sensor	Side	Pitch ( $\mu\text{m}$ )	Resolution ( $\mu\text{m}$ )		
			Size = 1	Size = 2	Size > 2
Layer 3	$u$	50	5.2	3.7	7.6
	$v$	160	18.1	12.1	18.0
Slanted	$u$	52–75	6.8	4.5	8.6
	$v$	240	34.4	18.0	21.4
Barrel	$u$	75	7.7	5.1	8.8
	$v$	240	24.8	17.1	20.5

286 Neighboring pixels with a charge above a threshold are combined into  
 287 clusters. The cluster position and charge are taken as input for the tracking  
 288 algorithm.

### 289 *SVD Reconstruction*

290 The SVD reconstruction software provides in addition to cluster charge  
 291 and position information also cluster time information to the tracking algo-  
 292 rithms.

293 SVD clusters are formed by combining adjacent strips with a signal-over-  
 294 noise ratio (SNR) above three. At least one strip in the cluster is required  
 295 to have a SNR above five. The charge of the cluster is computed as the sum  
 296 of the charges of the strips, while the cluster time and position are evaluated  
 297 as the charge-weighted average of the strip times and positions, respectively.  
 298 The cluster position resolution depends on the cluster size and strip pitch,  
 299 as shown in Table 4.

300 The creation of space points follows the clustering and is achieved by  
 301 combining all clusters on one side of a sensor with clusters on the other  
 302 side. The only requirement is that the cluster time (on both sides) is greater  
 303 than a minimum value. The cluster time information helps to reject the  
 304 majority of the out-of-time clusters, created by beam-background particles  
 305 produced before, or after the collision event of interest. The SVD cluster time  
 306 resolution varies between 2 ns (for Bhabha events) and 4 ns (hadronic events),  
 307 being slightly better on the  $v$  side due to a faster response of the electronics  
 308 on that side. The good time resolution allows the algorithm to reject 60% of  
 309 the background space points, while retaining 100% of the interesting ones.

310 The cluster time information provided by the SVD is also used later in the  
 311 reconstruction, in the pattern recognition step, as described in Section 8.

### 312 *CDC Reconstruction*

313 The front-end read-out electronics of the CDC use a time-to-digital con-  
 314 verter with a 1 ns resolution. This is used to measure the time between the  
 315 event’s trigger signal and the arrival of the drift electrons at the sense wire,  
 316 the so-called *drift time*. With the  $x-t$  relation function, which is an approx-  
 317 imation between drift time and distance parameterized in various areas of  
 318 the CDC, the actual relative distance between the sense wire and a passing  
 319 particle can be computed and used for track finding purposes. An additional  
 320 front-end read-out provides amplitude information, sampled at 33 MHz. This  
 321 information is used for the determination of the energy loss, employed by the  
 322 particle identification. It can also be used to separate signal and background  
 323 hits.

## 324 6. High-Level Description of the Tracking Setup

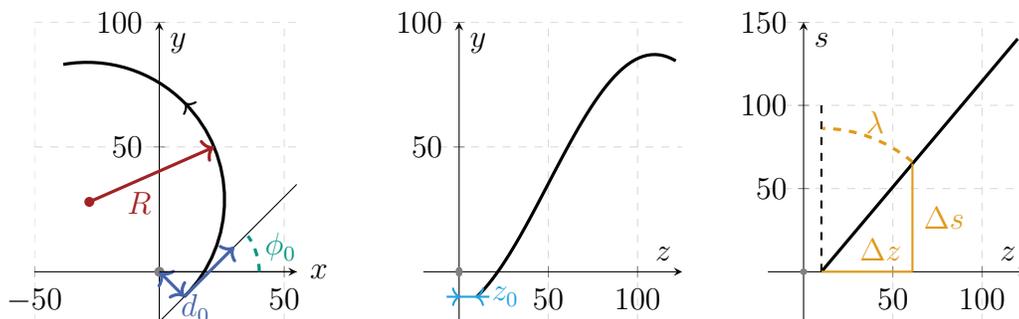


Figure 6: A schematic representation of the track’s trajectory in the  $x-y$  (left),  $z-y$  (middle) and  $z-s$  (right) projections. All dimensions are in cm. The track parameters are:  $d_0$ , the signed distance of the closest approach to the  $z$  axis (POCA);  $\phi_0$ , the angle defined by the  $x$  axis and the track transverse momentum at the POCA;  $z_0$ , the  $z$  coordinate at the POCA; and  $\lambda$ , the track dip angle. Also shown is the track radius  $R$ , which is the inverse of the absolute value of the track curvature  $\omega$ .

325 The Belle II software uses data processing *modules*, written in C++,  
 326 which are loosely coupled and transfer data via a common exchange con-  
 327 tainer. This allows for the reconstruction task to be split into different sub-  
 328 tasks which can be placed into a chain of independent and interchangeable

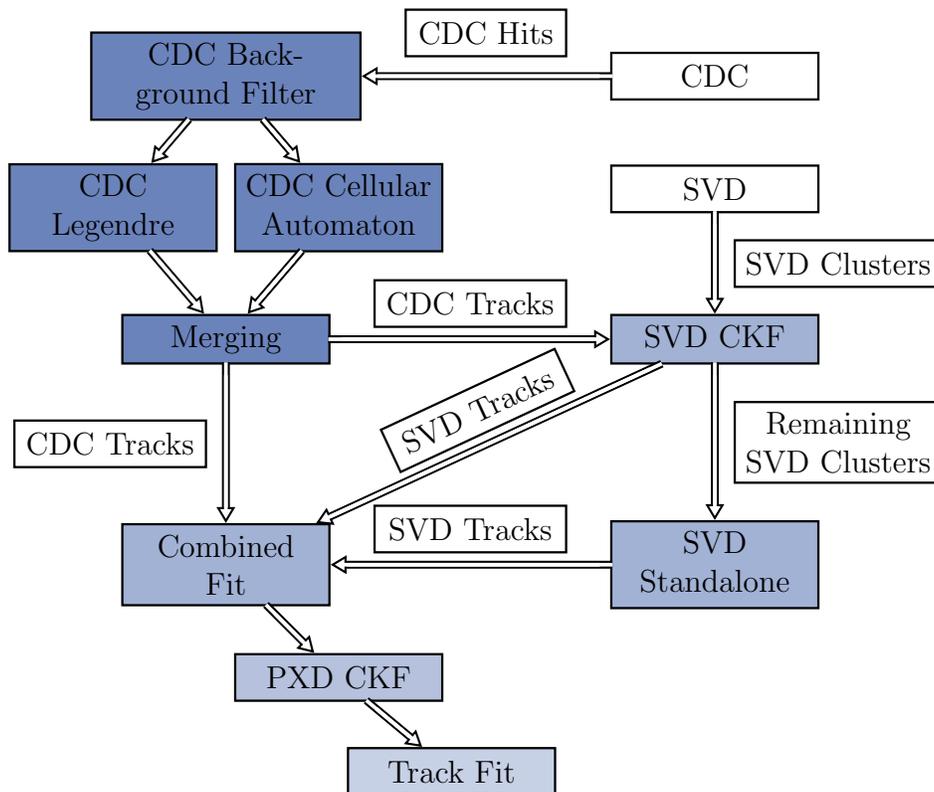


Figure 7: Overview of the steps performed for track reconstruction at Belle II. See text for more details.

329 modules performing the corresponding task. The `RecoTrack` class is used as  
 330 a common exchange format between algorithms to transfer track candidates  
 331 from the different tracking detectors and their respective hits or clusters. The  
 332 final output of the track reconstruction is the `Track` class, which provides  
 333 the fitted track parameters for the analysis user.

334 The track trajectories are represented locally using the helix parameter-  
 335 ization, see Figure 6. The three helix parameters in the  $x$ - $y$  plane are: the  
 336 signed distance of the point of closest approach (POCA) to the  $z$  axis,  $d_0$ ;  
 337 the angle defined by the  $x$  axis and the track transverse momentum at the  
 338 POCA,  $\phi_0$ ; and the track curvature signed with the particle charge,  $\omega$ . The  
 339 helix can be represented by a straight line in the  $s$ - $z$  space, with  $s$  being  
 340 the path length along the circular trajectory in the  $x$ - $y$  projection. The two  
 341 corresponding parameters are: the  $z$  coordinate at  $d_0$ ,  $z_0$ ; and the tangent of

342 the dip angle  $\tan \lambda$ .

343 Figure 7 shows an overview of the steps performed for track reconstruc-  
344 tion at Belle II. Due to the very different properties of the three tracking  
345 detectors, different algorithms are used for each of them. As a first step, the  
346 measured signals in the CDC are filtered and reconstructed by two independ-  
347 ent algorithms: a global track finding based on the Legendre [9] algorithm  
348 and a local algorithm employing a cellular automaton. The results of both  
349 algorithms are merged and the CDC-only tracks are fitted employing a deter-  
350 ministic annealing filter (DAF) [18]. A combinatorial Kalman filter (CKF) is  
351 used to enrich the CDC tracks with SVD clusters. High-curvature tracks that  
352 did not produce enough hits in the CDC are reconstructed with a standalone  
353 SVD track finder using an advanced filter concept called Sector Map and a  
354 cellular automaton. The results are combined, fitted again with a DAF and  
355 extrapolated to the PXD with a second CKF. At this step the track finding  
356 stage is complete. The following sections describe these steps in more detail.

357 The final step after the track finding includes a track fit using the DAF  
358 provided by the GENFIT2 [18] package. For the fit, a specific particle hy-  
359 pothesis must be assumed to calculate the energy loss and the material effects  
360 correctly. In Belle II, all reconstructed tracks are fitted with the  $\pi$ , K and p  
361 hypotheses. The results of the fit is stored to be used in physics analyses.

## 362 7. CDC Algorithm

363 Two distinct algorithms are used for the track finding in the CDC: global,  
364 and local track finding. This enables a high track-finding efficiency while  
365 keeping the fake rate low. The global track finding searches for patterns of  
366 hits consistent with helix trajectories, even with missing hits, while the local  
367 track finding detects extended patterns of nearby hits.

368 Both algorithms make use of the specific geometry of the CDC and ex-  
369 ploit the flexibility of the software framework. The software is written in a  
370 modular manner allowing for different sequences of algorithms. Currently,  
371 the global track finding is performed first, after the initial filtering of the  
372 CDC hits. Thus the global algorithm serves as the primary finding algo-  
373 rithm, which is followed by the local track finding algorithm. The latter  
374 helps with reconstructing displaced tracks which originate far away from the  
375 interaction point. The track candidates of both algorithms are then merged  
376 and post-processing is performed to remove falsely attached hits and, poten-  
377 tially, to attach additional ones. The reconstructed tracks are then passed

378 to the DAF algorithm to be fitted.

### 379 *Global CDC Track Finding*

380 The global track finding in the CDC is based on the Legendre transfor-  
381 mation [9]. It is first performed in the  $r$ - $\phi$  plane, using wire information from  
382 axial layers only. After that, it is extended to the three-dimensional space, by  
383 attaching wires from stereo layers to existing  $r$ - $\phi$  trajectories. The primary  
384 target of the algorithm is finding tracks originating from the vicinity of the  
385 origin in  $r$ - $\phi$ . It is adjusted to identify also slightly offset tracks.

386 In the first step of the algorithm, the position information in axial layers  
387 is approximated by drift circles. These drift circles are calculated using  
388 a calibrated  $x$ - $t$  relation and time information corrected for particle time-  
389 of-flight and signal propagation time along the sense wire. For the time  
390 propagation correction, it is assumed that particle trajectories are straight  
391 lines from the origin, that particles travel with the speed of light, and that  
392 they cross the sense wires in the middle. These assumptions are revised when  
393 the track parameters are determined.

394 The reconstruction in the  $r$ - $\phi$  plane continues with a conformal mapping  
395 with the center at the origin. This operation transforms circular trajectories  
396 starting from the origin to straight lines while the drift circles remain circles.  
397 The track finding in the conformal space is thus reduced to the determination  
398 of straight lines tangential to a set of circles.

The equation of a tangent to a drift circle in conformal space can be represented using the two Legendre parameters  $\rho$  and  $\theta$  as

$$\rho = x_0 \cos \theta + y_0 \sin \theta \pm R_{\text{dr}},$$

399 where  $(x_0, y_0)$  and  $R_{\text{dr}}$  represent the center of the circle and its radius, re-  
400 spectively. Hence, each drift circle maps to a pair of sinusoids in the  $\rho$ - $\theta$   
401 track-parameter space. The track recognition and track parameter determi-  
402 nation correspond to finding the most populated regions in the  $\rho$ - $\theta$  space. An  
403 efficient method to localize these regions is a two-dimensional binary search  
404 algorithm, as illustrated in Figure 8a. The algorithm consists of dividing the  
405  $\rho$ - $\theta$  space into four equally sized bins and selecting the most populated of  
406 them for further subdivision, until convergence.

407 The two-dimensional binary search algorithm uses a dedicated *quad tree*  
408 data structure to store intermediate search results. Each node in the quad  
409 tree is linked to four children, corresponding to four sub-bins of the node. In

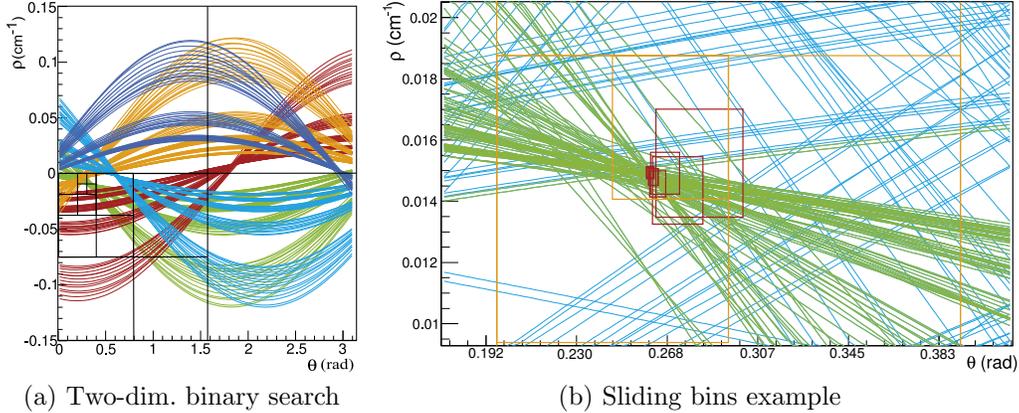


Figure 8: Examples of: (a) standard two-dimensional binary search algorithm; (b) modified algorithm with variable bin size. See text for more details.

410 general, the search is continued only for the sub-bin containing the most hits.  
 411 However, it is possible to step back and examine other directions, without  
 412 repeating the search from the beginning, which speeds up the search for  
 413 multiple track candidates.

414 The binary search stops when the bin size becomes smaller than a reso-  
 415 lution parameter that is taken to be dependent on  $\rho$ . This accounts for the  
 416 smearing of the track parameters due to the energy loss, non-uniformity of  
 417 the magnetic field, displaced IP, uncertainty of the drift circle radii and wire  
 418 displacements. The resolution function is optimized using simulated events.

419 The introduction of the resolution function as the stopping criterion al-  
 420 lows to extend the algorithm to non-standard bin sizes. For a track that is  
 421 displaced from the origin, the crossing points in the Legendre space may be  
 422 split between two bins. This effect can be reduced greatly by allowing for  
 423 overlapping bins. Bins extended by 25% with respect to the exact division  
 424 are used. A positive side effect of this feature is that the overlapping bins  
 425 tend to *slide* towards the maximal density of intersections, as illustrated in  
 426 Figure 8b.

427 Multiple tracks are found iteratively, using several passes over the Leg-  
 428 endre space. At each pass a new track candidate is declared to be found  
 429 when it satisfies certain quality criteria, such as the number of attached hits.  
 430 These quality criteria can be varied to increase finding efficiency for different  
 431 track topologies. Hits corresponding to the found track candidates are re-

432 moved from further iterations. The high-momentum tracks crossing all CDC  
 433 layers are searched for first, followed by curling tracks and tracks with large  
 434 longitudinal momentum, which leave the chamber at smaller radii.

435 The  $r$ - $\phi$  track candidates are subjected to a post-processing step, per-  
 436 formed in the physical  $r$ - $\phi$  space using the fast fitting algorithm of [19].  
 437 Firstly, the track candidates are checked to see if they can be merged, to  
 438 reduce the clone rate. The merge algorithm uses a  $\chi^2$ -based criterion, com-  
 439 paring the quality of the circular fits to the hits from the separate track-  
 440 candidates to the fit to the combined set of hits. In addition, hits from the  
 441 track candidates are examined to determine if they have to be removed or re-  
 442 assigned to other tracks. Finally, all unassigned hits are checked to determine  
 443 if they can be attached to the existing track candidates.

444 Hits from stereo layers, containing  $z$  information, are added to the  $r$ - $\phi$   
 445 trajectories at the next step. The  $r$ - $\phi$  trajectory is used to reconstruct the  
 446 position information of each stereo measurement. As the stereo wire can be  
 447 approximated by a straight line and the drift circle does not have direction  
 448 information, finding the position gives two solutions: either the drift circle is  
 449 enclosed by the trajectory circle, or not — giving two possible position values  
 450 for each hit. Stereo hits with a reconstructed  $z$  coordinate  $z_{\text{rec}}$  determined far  
 451 outside the detector volume are dismissed. Given that  $z_{\text{rec}}$  depends strongly  
 452 on the estimated  $r$ -position of the trajectory at the stereo wire which may  
 453 be not very accurate, hits as far as twice the physical drift chamber length  
 454 are retained.

The problem of track finding becomes very similar to the search in the  
 conformal mapping of the  $r$ - $\phi$  space which makes it possible to use the same  
 algorithm as described above. This time, the trajectory is straight in the  
 $s$ - $z$  space and it can be described by the equation

$$z_0 = z_{\text{rec}} - \tan \lambda \cdot s_{\text{rec}} ,$$

455 with  $s_{\text{rec}}$  being the path to the stereo-wire hit. This gives a line of possible  
 456 trajectory parameters  $(z_0, \tan \lambda)$  for each stereo-layer hit. The point with the  
 457 most intersections of the lines in the parameter space is used to determine  
 458 the track parameters. For this, an analogous implementation of the quad  
 459 tree algorithm as described above is used.

460 The stereo-wire hits that are found are added to the  $r$ - $\phi$  track only in the  
 461 case they are not selected for another  $r$ - $\phi$  track. In the latter case the hits  
 462 are not added to any track which increases the purity of the hit assignment.

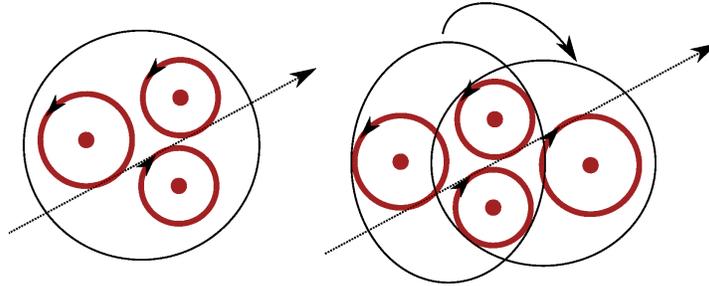


Figure 9: Combination of three neighboring wire hits to a graph vertex (left) and two triplets sharing two wire hits to a graph edge (right).

463 *Local CDC Track Finding*

To complement the global search approach, and to detect short tracks and tracks displaced from the IP with a high efficiency, the local track finder operates without any assumption on the origin of tracks. The algorithm searches for connected hits in the CDC superlayers, so called segments. This search uses the cellular automaton concept, which acts on an acyclic graph of vertices connected by edges. More specifically, a weighted cellular method is used, where the vertex  $i$  has the weight  $\Theta_i$  and the edge between the vertices  $i$  and  $j$  has the weight  $w_{ij}$ . Now, track finding can be formulated as maximization of an energy function which can be formulated with

$$E_i = \sum w_{ij} + \sum \Theta_j,$$

464 where the sums are taken along a path to the vertex  $i$ . The concept of the  
 465 weighted cellular automaton is employed in two different stages:

466 *Segment building stage.* Vertices (*triplets*) are formed by combining three  
 467 neighboring hits and assuming the left-right passage hypotheses for a unique  
 468 trajectory through these three hits<sup>1</sup>. A linear trajectory is then extracted  
 469 from the measured drift circles by a least-squares method and the weight  
 470  $\Theta_j$  is assigned based on the  $\chi^2$  value of the fit. Edges are created from  
 471 neighboring triplets that share two hits and which pass loose feasibility cuts,  
 472 with the weight  $w_{ij}$  determined based on the  $\chi^2$  value of the straight line fit  
 473 to the four drift circles (see Figure 9). The algorithm allows for information  
 474 missing from one CDC layer.

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<sup>1</sup>In general, several triples are built for a given set of three neighboring hits, depending on the left-right passage hypothesis.

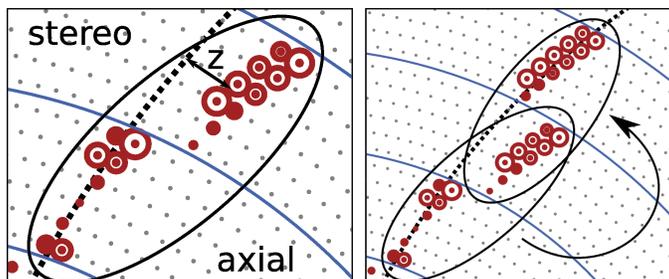


Figure 10: Combination of a pair of axial- and stereo-wire segments to one graph vertex (left) and the combination of vertices, that share one segment, to a graph edge (right).

475 *Track building stage.* This stage combines the individual segments found in  
 476 the axial and stereo superlayers to longer tracks. The vertices are created  
 477 from a pair of segments in neighboring axial- and stereo-wire superlayers.  
 478 The weight  $\Theta_j$  of each vertex is computed with a  $\chi^2$  circle fit using the Rie-  
 479 mann method [20] and the reconstruction of the  $z$  coordinate is performed  
 480 using a linear fit in the  $s$ - $z$  space. Neighboring vertices that share one seg-  
 481 ment form the edges in the cellular automaton's graph (see Figure 10). The  
 482 corresponding weight  $w_{ij}$  is computed based on the  $\chi^2$  value of the fit to  
 483 hits from all segments. Additional information, such as the number of hits  
 484 per segment, can be included in the weight calculation using multivariate  
 485 analysis methods.

#### 486 *Combination of Local and Global Tracking Results*

487 The two track finding algorithms described above are both used to find  
 488 tracks from the full set of CDC hits. This is done to exploit their specific  
 489 benefits, with the global track finding capable to reconstruct tracks with  
 490 several missing layers and the local track finding having similar efficiency  
 491 regardless of the track origin.

492 For combining the results of both tracking approaches, the track candi-  
 493 dates from the global track finder are used as a baseline. Segments found  
 494 by the local track finder are added to those tracks using a multivariate ap-  
 495 proach. The track-segment combination is based on FastBDT [14], which  
 496 uses several variables calculated from the track and the segment (e.g. the  
 497 number of common hits, helix parameters, hit-to-trajectory distances) into  
 498 one single number, which classifies between correct and wrong matches. The  
 499 multivariate method is trained using simulated events.

500 Several quality filters based on multivariate estimators are applied to the  
501 found tracks and their hits. This increases the hit purity, improves the track  
502 parameter resolution, and decreases the rate of fake and clone tracks.

## 503 **8. SVD Standalone Algorithm**

504 A dedicated standalone algorithm is employed for the task of track find-  
505 ing with the SVD. This algorithm reconstructs the low momentum particles  
506 with a transverse momentum of less than 100 MeV/c which deposit too few  
507 hits in the CDC. However, due to the proximity of the SVD to the beam,  
508 the algorithm has to cope with a high occupancy from beam-induced back-  
509 ground. The original idea and implementation for this algorithm, called the  
510 VXD Track Finder (VXDTF), is described in [21]. Further improvements of  
511 the algorithm, which are partly described in [22] and [23], led to its second  
512 version, VXDTF2.

513 The input to the VXDTF2 algorithm is the set of the three dimensional  
514 space points created in the pre-processing steps described in Section 5 from  
515 the SVD measurements<sup>2</sup>. The VXDTF2 algorithm consists of three steps.  
516 In the first step, graphs of related space points are created using geometrical  
517 information. A map, called a Sector Map [24], containing the geometrical  
518 relations between different regions of the silicon detector as well as additional  
519 selection criteria, supplies the necessary input for this step. The prepared  
520 graphs are then evaluated in the second step by a cellular automaton which  
521 yields a set of paths. As third step, the final set of SVD track candidates is  
522 chosen by selecting the best paths.

### 523 *Sector Map*

524 The Sector Map is a data structure that holds information about how  
525 space points in different regions of the detector can be related by tracks.  
526 To cope with the high number of possible combinations of space points, the

---

<sup>2</sup> It is also possible to use the three dimensional measurements provided by the PXD. However, due to the combined effect of its proximity to the beam line and the lower readout frequency of its sensors, the PXD is subject to a substantially higher occupancy from beam-background induced hits. Omitting the PXD measurements from the track-finding process simplifies the combinatorial problem and leads to a purer set of track candidates produced by the standalone algorithm. The task of evaluating the additional information available in the measurements of the PXD is passed on to a dedicated algorithm described in Section 9.

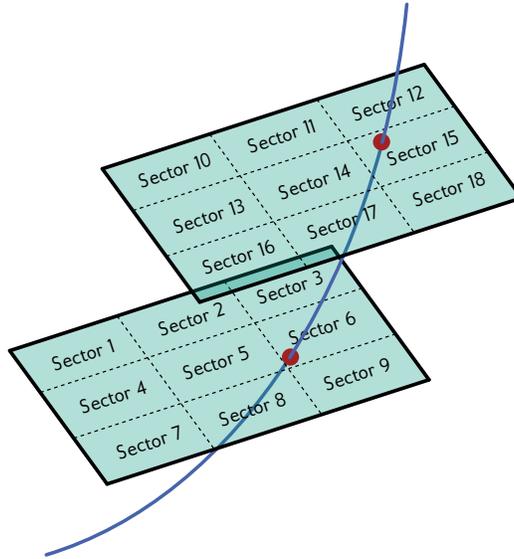


Figure 11: Illustration of the subdivision of two sensors into nine sectors each and of the relation between the sectors 6 and 15 which are traversed by the same track.

527 sectors on sensor concept — originally proposed in [24] — is used for track  
 528 finding with the SVD. This concept consists in subdividing each sensor  
 529 into smaller sections, called *sectors*. The default setup is a division of each sensor  
 530 element into three parts along its width and three parts along its length,  
 531 resulting in nine sectors per sensor. It is possible to adjust the number  
 532 of sectors individually for each sensor, which allows the granularity to be  
 533 adapted to changing detector conditions during the run time of Belle II.  
 534 This representation of the SVD geometry allows to define directed relations  
 535 between sectors of the detector which commonly contain measurements of  
 536 the same track, as illustrated in Figure 11, where the sectors 6 and 15 are  
 537 related due to the track traversing both sectors. The direction of the relations  
 538 is defined by the order in which the sectors are traversed. A mapping among  
 539 sectors defined by these relations allows for a significantly reduced number  
 540 of combinations of space points as input to the algorithm.

541 In addition to the relations between sectors of the detector geometry, the  
 542 Sector Map holds selection criteria to be fulfilled by combinations of space  
 543 points on related sectors. These criteria are called *filters* and are defined  
 544 for pairs of two as well as triplets of three space points. They provide a  
 545 way to reject background hits and thereby a further reduction of the space

546 point combinations to be evaluated per event. Each filter is a function which  
547 calculates a specific quantity, called *filter variable*, for a given space point  
548 pair or triplet, and checks if the result is within a given validity range. The  
549 validity range depends on the filter variable and on the sectors. It is stored for  
550 each individual sector combination alongside the respective relation between  
551 sectors in the Sector Map. Filter variables are mostly geometrical quantities  
552 derived from the spatial information of the space points, or are calculated  
553 from the precise timing information provided by the SVD.

554 The variables calculated for filters for space point pairs are simple quanti-  
555 ties such as distances between the two space points (in one-, two-, and three-  
556 dimensions), angles in  $\phi$ - and  $\theta$ -direction defined by the two space points,  
557 or the difference in their detection times. An illustration of the combined  
558 application of a selection of such filters is depicted in Figure 12.

559 More complicated quantities can be evaluated for filters based on the  
560 combination of three space points. These include for example the angle en-  
561 closed by the two segments defined by the three space points, or the position  
562 of the center as well as the radius of the circle defined by the three space  
563 points in the  $x$ - $y$  plane. Space point triplet filters based on the SVD timing  
564 information are also employed. As the SVD is composed of only four layers  
565 and a triplet of space points already provides enough degrees of freedom to  
566 unambiguously define a helix trajectory of a charged particle in a magnetic  
567 field, further filters for combinations of four and more space points are not  
568 considered.

569 The directed relations between sectors as well as the filter selection criteria  
570 are obtained via a training process based on Monte Carlo events. For this  
571 purpose, a dedicated sample of representative  $\Upsilon(4S)$  events is generated.  
572 Track candidates are selected from this training sample using the MC track  
573 finder (see Section 4). Additional samples of high-momentum tracks such  
574 as simulated high-momentum muon events or simulated Bhabha events can  
575 be incorporated into the training process as  $\Upsilon(4S)$  events don't typically  
576 produce such tracks. Based on this set of tracks, directed relations between  
577 pairs of sectors are obtained for all pairs of sectors which have been traversed  
578 subsequently by at least one track. The selection ranges for the filters are  
579 defined by the minima and the maxima or by quantiles of the distributions  
580 of the respective filter variables as observed during the training for each  
581 individual sector combination.

582 This training process allows the Sector Map to learn the geometry of the  
583 SVD setup. Hence, it can adapt to changing detector conditions like defects

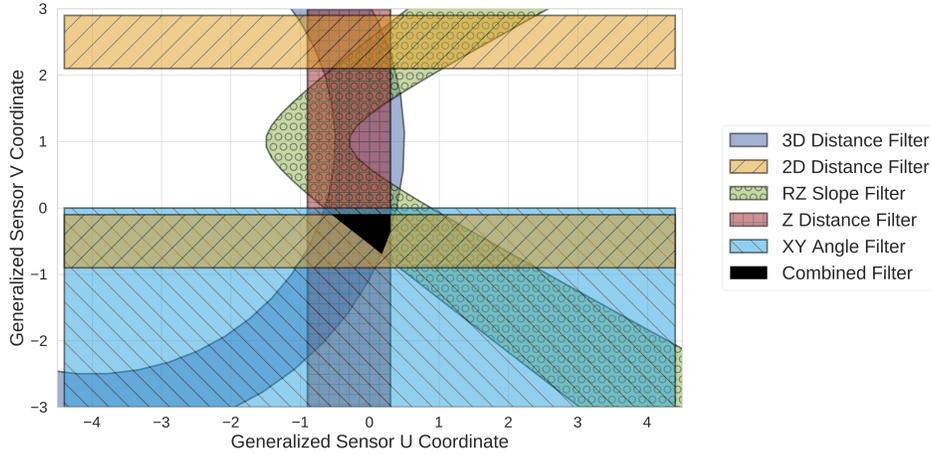


Figure 12: Illustration of the selection power of the combination of several Sector Map filters. The illustration depicts a sensor plane and the areas selected by several Sector Map filters calculated for a given space point on another sensor layer. Only space points within these areas are allowed to be combined with the given space point on the other layer. The combination of all filters reduces the allowed region to the area shown in black.

584 on the sensors or even the loss of complete sensors or layers, as long as these  
 585 defects are modeled by the simulation. The Sector Maps produced in this  
 586 manner are stored in the database of Belle II, which allows defining different  
 587 Sector Maps for different run conditions.

### 588 *Track Finding Algorithm*

589 To address the high combinatorics during the process of building track  
 590 candidates from the space points provided by the SVD, the Sector Map filters  
 591 are used. A first directed graph, called *sector network*, is build for an event  
 592 with all active sectors (sectors on which hits are detected) as nodes. The  
 593 edges connecting the nodes of the sector network are given by the directed  
 594 relations stored for the respective active sectors in the Sector Map.

595 The next step comprises the creation of a second directed graph with the  
 596 space points on the active sectors of an event as nodes. The edges of this  
 597 *space point network* are given by the edges of the sector network and connect  
 598 space points in pairs if they pass the criteria of the Sector Map filters for  
 599 space point pairs. Their directions are defined by the respective edges in the  
 600 sector network. The resulting space point pairs are called *segments*. Next,  
 601 pairs of such segments that have a space point in common are combined

602 into triplets of space points, creating a third directed graph with segments  
603 as nodes, which is therefore referred to as a *segment network*. Again, the  
604 criteria given by the respective filters for space point triplets provided by  
605 the Sector Map are considered during the combination of the segments to  
606 triplets.

607 All paths given by the edges of the segment network with a minimal  
608 length of three space points are considered as track candidates without fur-  
609 ther restrictions from the Sector Map. A cellular automaton is used to gather  
610 the longest paths in the graph, beginning with the nodes on the outermost  
611 layers as these are least occupied by beam-background induced hits.

612 Gathered this way, the track candidates in an event may share SVD clus-  
613 ters or even space points. At this stage of the algorithm, the number of fake  
614 and clone track candidates make up more than half of the track candidates  
615 and are directly related to the overlaps among a set of tracks. To reduce  
616 the fraction of fakes and clones, the final set of track candidates for an event  
617 is required to be composed only of candidates which do not share any SVD  
618 clusters among them. As roughly 5% of all tracks in a normal  $\Upsilon(4S)$  event  
619 share at least one SVD cluster with another track, this introduces a small  
620 loss of less than 1% in finding efficiency to the benefit of an increase in purity  
621 for the final set of track candidates by a factor of roughly two. Any cases  
622 where two or more track candidates share common hits are resolved based  
623 on a rating of all track candidates, followed by a greedy local selection as  
624 explained in the following paragraphs.

625 For the rating of each track candidate, a quality indicator determined  
626 from the goodness of a fast fit to the candidate is employed. The fit method  
627 used for this objective is an adapted version of the Triplet Fit introduced  
628 in [25]. This method is chosen because it takes into account the multiple  
629 scattering relevant for the tracks left by low momentum particles of interest  
630 to the VXD standalone track finding. The Triplet Fit is applied to each path  
631 supplied by the cellular automaton, as well as their subpaths obtained by  
632 excluding one or multiple space points from the original path. The latter  
633 allows for the exclusion of misattributed space points and results in a track  
634 candidate with higher purity. Furthermore, the inclusion of the subpaths can  
635 lead to a recovery of the efficiency loss due to overlapping true tracks. When  
636 creating the subpaths, the rule of a minimal length of three space points is  
637 still obeyed.

638 The Triplet Fit yields a  $\chi^2$  value for each track candidate by combining  
639 fits to all space point triplets contained within a candidate under consid-

640 eration of the effect of multiple scattering. For this estimate the average  
641 radiation length of the SVD sensor material as reported in [3], as well as a  
642 first approximation of the entrance angle of the particle with respect to the  
643 sensor plane are taken into account. The  $p$ -value is calculated for each track  
644 candidate from its  $\chi^2$  value and degrees of freedom and used as a quality  
645 indicator. Based on these quality indicators, the final set of non-overlapping  
646 track candidates is chosen via a greedy selection which takes the candidate  
647 with the highest quality indicator among the ones competing for a space  
648 point.

649 Optionally, a multivariate method can be applied which combines the ac-  
650 quired quality indicator with further features, such as a particle momentum  
651 estimate, the number of space points and properties of the involved SVD  
652 clusters. This approach can yield a quality indicator with an enhanced per-  
653 formance. For this purpose a FastBDT is trained on Monte Carlo events  
654 obtained by applying the candidate creation steps up to the point of the  
655 Triplet Fit. In the resulting training sample, the track candidates with a  
656 purity of 100% are marked as truth target. Therefore, a FastBDT trained  
657 in this manner has learned to identify track candidates with a high purity.  
658 Enabling this auxiliary multivariate analysis method for the overlap removal  
659 increases the achieved track finding efficiency, albeit with a significant drop  
660 in hit efficiency. This option is not therefore used as a basis for the resolu-  
661 tion of overlaps, but used to produce a refined track quality estimate in an  
662 additional step. This indicator of the track quality is stored for all tracks  
663 of the final set and can later be accessed and used in the event selection of  
664 physics analyses.

665 The algorithm is further optimized as it is found to perform more slowly  
666 than acceptable at the HLT for certain peculiar Bhabha events. The slow-  
667 down is understood as follows. In rare cases, highly energetic electrons scat-  
668 ter in the material of the final focusing magnets, thereby causing a shower  
669 of secondary particles which leave a large number of clusters in a small area  
670 of the SVD. This leads to a significant increase in the combinatorics during  
671 the candidate creation process that cannot be restricted by the Sector Map  
672 filters. To tackle this issue, two additional measures are incorporated into  
673 the candidate creation. Firstly, a limit on the number of nodes and edges  
674 in the three networks is introduced, as the problematic Bhabha events can  
675 mostly be identified based on noticeably high values for these quantities. If  
676 the limits determined on Monte Carlo simulations are exceeded, the process-  
677 ing of the event is aborted and the problematic event is marked as such. The

678 limits are chosen so that the desired measurements of the  $\Upsilon(4S)$  resonance  
679 are not affected. Secondly, during the path-collection step an additional se-  
680 lection procedure based on the segment network is applied, which evaluates  
681 overlaps already in this graph. All paths associated with a given segment are  
682 grouped together and evaluated with the Triplet Fit. Only a fixed number  
683 of best candidates from each group is considered for further processing steps.  
684 This early candidate reduction based on space point pair overlaps imposes  
685 an additional limit on the combinatorics for problematic events which slip  
686 through the aforementioned limits. By means of these additional selection  
687 steps, the problematic events can be handled by the VXDTF2 and the run  
688 time limits imposed by the requirements of the HLT are satisfied.

## 689 **9. Combinatorial Kalman Filter**

690 The combinatorial Kalman filter (CKF) is widely used in tracking in  
691 high-energy physics experiments [10, 11, 12, 13]. One of the advantages of  
692 the method is that it produces tracks with high purity also in environments  
693 of high hit densities. The CKF is an iterative local algorithm and was first  
694 described in [26]. Starting with a seed estimation of the track parameters  
695 with uncertainties, the track is extrapolated with the Runge-Kutta-Nyström  
696 method [27] into the detector volume. Hereby, non-uniform magnetic fields  
697 are included in the numerical solution of the equation of motion. A correction  
698 of the energy and the uncertainties due to energy loss and multiple scattering  
699 can optionally be included. After the extrapolation, possible hit candidates  
700 are determined based on the current position and uncertainties of the track  
701 candidate. The next hit candidate is added to the track and the procedure  
702 is repeated. If there are multiple mutually exclusive next-hit candidates, the  
703 whole track candidate is duplicated and subsequently treated as two tracks.  
704 In the end, the final track candidate is selected according to different quality  
705 criteria.

706 As a first step, the track candidates found by the CDC track finding algo-  
707 rithm are used as seeds to attach SVD space points. Hereby, low momentum  
708 tracks can have both start and end points in the inner layers of the CDC, so  
709 both points can be used as a possible seed to account for wrongly assigned  
710 charges in the CDC. The CDC seeds are fitted using a DAF algorithm as-  
711 suming a pion mass hypothesis. These seeds are iteratively extrapolated to  
712 the SVD sensors and SVD space points are attached. Material effects are dis-  
713 regarded at this stage to increase the processing speed. Due to the complex

714 detector layout, the different use cases, and the complex input data from the  
715 CDC track finding algorithm, the filter decisions in the CKF are taken by  
716 a FastBDT trained on simulated events including the beam-induced back-  
717 ground. Variables such as the distance between extrapolated and measured  
718 hit position as well as the calculated  $\chi^2$  of the hit are taken into account.  
719 They are enriched with information about the track candidate, for example  
720 the number of attached space points or the estimated transverse momentum.  
721 The number of track candidates that are processed in parallel is restricted  
722 to ten to keep the computational effort on a manageable level. After a final  
723 candidate selection based on FastBDT using full-track information such as  
724 the summed  $\chi^2$  and the number of missing layers, the combined CDC-SVD  
725 track is refitted using another DAF with a full material effect handling.

726 Due to hit inefficiencies of the CDC algorithm, especially for the stereo  
727 layers, the track resolution can be extremely poor when  $|z_0|$  is above 1 cm.  
728 Therefore, it is not possible to attach SVD space points reliably to every  
729 reconstructed CDC track. To solve this issue and to find additional low-  
730 momentum tracks, the VXDTF2 described in Section 8 is applied to the  
731 set of remaining space points in the SVD. The merging of additional SVD  
732 candidates with unmerged CDC tracks is performed by a second pass of the  
733 CKF. These unpaired CDC tracks are used as seeds and only space points  
734 found by the VXDTF2 are allowed as input. Compared to the first pass of the  
735 CKF with all space points, simpler filters are applied during the processing  
736 due to the high purity of the VXDTF2 algorithm.

737 All reconstructed CDC-SVD tracks are then used to extract regions of  
738 interest in the PXD during the online reconstruction. In the offline recon-  
739 struction, the PXD clusters collected in these regions of interest are used  
740 as input to the last application of the CKF and are attached to their com-  
741 bined CDC-SVD tracks. The implementation is based on the same general  
742 principles as the SVD CKF. It uses the same FastBDT filters which are now  
743 applied to the PXD clusters. An additional input in the BDT classification  
744 is given by the position and the shape of the PXD clusters.

745 The precise positions of the PXD clusters in the tracks improve the reso-  
746 lution on the spatial track parameters  $d_0$  and  $z_0$  by a factor of two and more.  
747 The efficiency of attaching SVD (PXD) hits is over 85% (89%). The purity  
748 of the attached SVD or PXD hits is above 98% and 96%, respectively.

## 749 10. Performance of the Track Finding

750 The following section describes the performance of the tracking algorithms  
751 presented in this paper. The performance is evaluated on an independent set  
752 of simulated  $\Upsilon(4S)$  events, including beam-induced background simulated  
753 for the anticipated full instantaneous luminosity of  $8 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ . In the  
754 simulation, a detector setup with nominal positions is used. The results of the  
755 reconstruction are compared to the respective MC tracks. Quantities such  
756 as the purity or efficiency are calculated on this sample using the definitions  
757 from Section 4. All quoted uncertainties on these quantities are calculated  
758 using the method of bootstrapping [28].

759 Figure 13 shows the track-finding efficiency for different simulated trans-  
760 verse momenta and different levels of beam-induced background relative to  
761 the anticipated level. A distinction between final-state particles stemming  
762 from the primary  $e^+e^-$  interaction and decays of short-lived particles, pro-  
763 duced by event generators (*primaries*), and all final-state particles including  
764 those produced by Geant4 during the travel through the detector (*second-*  
765 *aries*) is made. Most of the analyses rely only on the former, whereas the  
766 latter can give valuable additional information for decays in flight or for  
767 particle identification. Comparing with the momentum spectrum shown in  
768 Figure 3, the efficiency for most of the charged particles expected at typi-  
769 cal Belle II collisions is higher than 93% for up to two times the expected  
770 beam background. Tracks with transverse momenta below 100 MeV/c im-  
771 pose complex problems to the track finding due to the small number of hits,  
772 high multiple scattering and the high level of background in the innermost  
773 layers. As a result, the efficiency decreases. The difference between the  
774 non-background and the expected beam background is small.

775 In Figure 14a the finding efficiency on primaries is compared for different  
776 simulated particle types. Due to the different interaction of electrons with the  
777 material, their trajectories are more likely to differ from the nominal helical  
778 path, making their reconstruction more challenging. However, the Belle II  
779 algorithms are able to achieve high efficiencies for every shown particle type  
780 for up to twice the expected beam background level.

781 After the final fit with the DAF provided by GENFIT2 the tracks are  
782 extrapolated to the POCA to the origin to extract their helix parameters. In  
783 the following, only the results for the pion hypothesis are shown, as most of  
784 the produced charged final-state particles are pions.

785 As a first result, Figure 14b shows the finding efficiency calculated only

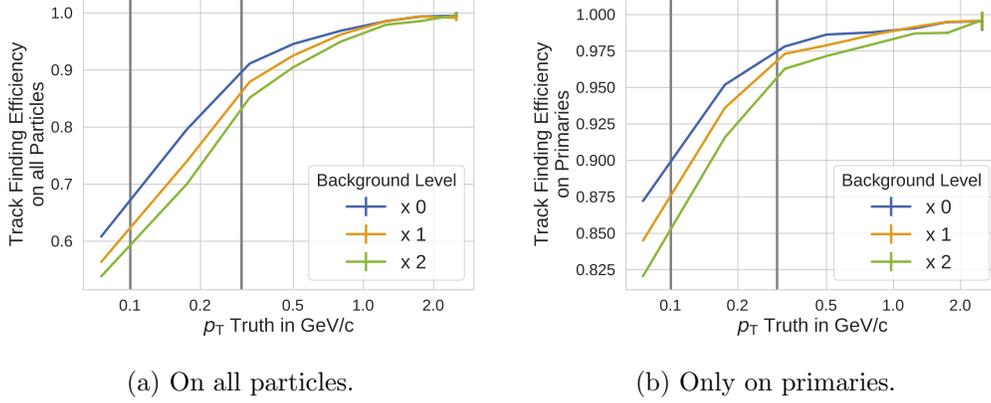


Figure 13: Track finding efficiency calculated for simulated  $\Upsilon(4S)$  events with different levels of beam-induced background relative to the expected level. Figure 13a is calculated on all trackable simulated particles, whereas Figure 13b only takes into account trackable particles from the primary interaction. The gray vertical lines indicate the typical transverse momentum of particles only trackable in the VXD (below left line) and with high efficiency in the CDC (above right line).

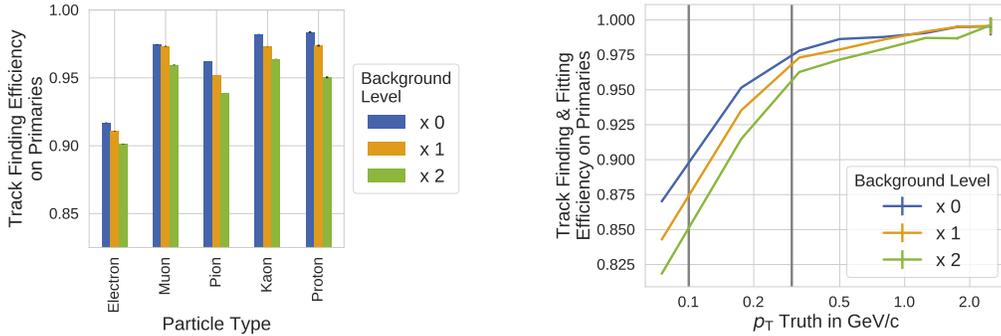


Figure 14: Finding efficiency by particle type and combined finding and fitting efficiency.

786 with those tracks, where the GENFIT2 fit converged and the extrapolation  
 787 succeeded. As expected, the difference to Figure 13b is negligible demonstrat-  
 788 ing that the track finding algorithms deliver high-quality track candidates to  
 789 the fitting algorithm.

The helix parameters of the tracks at the POCA can then be compared to the MC truth values. The resolution  $r_x$  is calculated as the 68% coverage of the the residual  $x$  between reconstructed and truth value given as

$$r_x = P_{68\%} (|x - P_{50\%}(x)|) ,$$

790 where  $P_q$  calculates the  $q$ -th percentile of a distribution. For a Gaussian  
 791 distribution, the 68% coverage and the standard deviation agree. For non-  
 792 Gaussian distributions the coverage is more robust against outliers. As only  
 793 the results calculated with the pion hypothesis are shown, only true pions  
 794 from  $\Upsilon(4S)$  decays are taken into account for this study.

795 In Figure 15, the resolution as a function of the truth transverse momen-  
 796 tum is shown for the helix parameters  $d_0$  and  $z_0$ , and for  $p_T$  (the transverse  
 797 momentum). Both of the spatial parameters,  $d_0$  and  $z_0$ , are mainly influenced  
 798 by the precise PXD measurements. Due to the application of the CKF in the  
 799 PXD and the combination of the VXDTF2 and the CKF for the SVD, a high  
 800 precision (which is almost independent of the background level) is achieved.  
 801 The resolution of the extracted transverse momentum follows expectation:  
 802 as smaller momenta are more strongly influenced by multiple scattering and  
 803 a smaller number of measurable hits in the detector, the resolution decreases  
 804 with smaller transverse momenta.

805 Tracking is one of the most complex tasks in the reconstruction. It there-  
 806 fore requires a large fraction of the processing time allocated for the online  
 807 reconstruction on the HLT. In Figure 16 the processing time of different  
 808 components of the online reconstruction performed on one of the HLT worker  
 809 nodes is shown. Due to the higher number of tracks in  $\Upsilon(4S)$  events, tracking  
 810 takes longer in this category. The track fitting, vertexing, and the track-based  
 811 collision time ( $T_0$ ) extraction are heavily influenced by the handling of the  
 812 detector geometry in the software. Different techniques are planned to fur-  
 813 ther optimize the time spent in the geometry navigation. This is expected  
 814 to decrease the total processing time significantly which would allow to in-  
 815 troduce additional higher level algorithms for the HLT decision. However,  
 816 even with the large contribution to the total processing time from tracking,  
 817 a stable reconstruction on the HLT has been achieved.

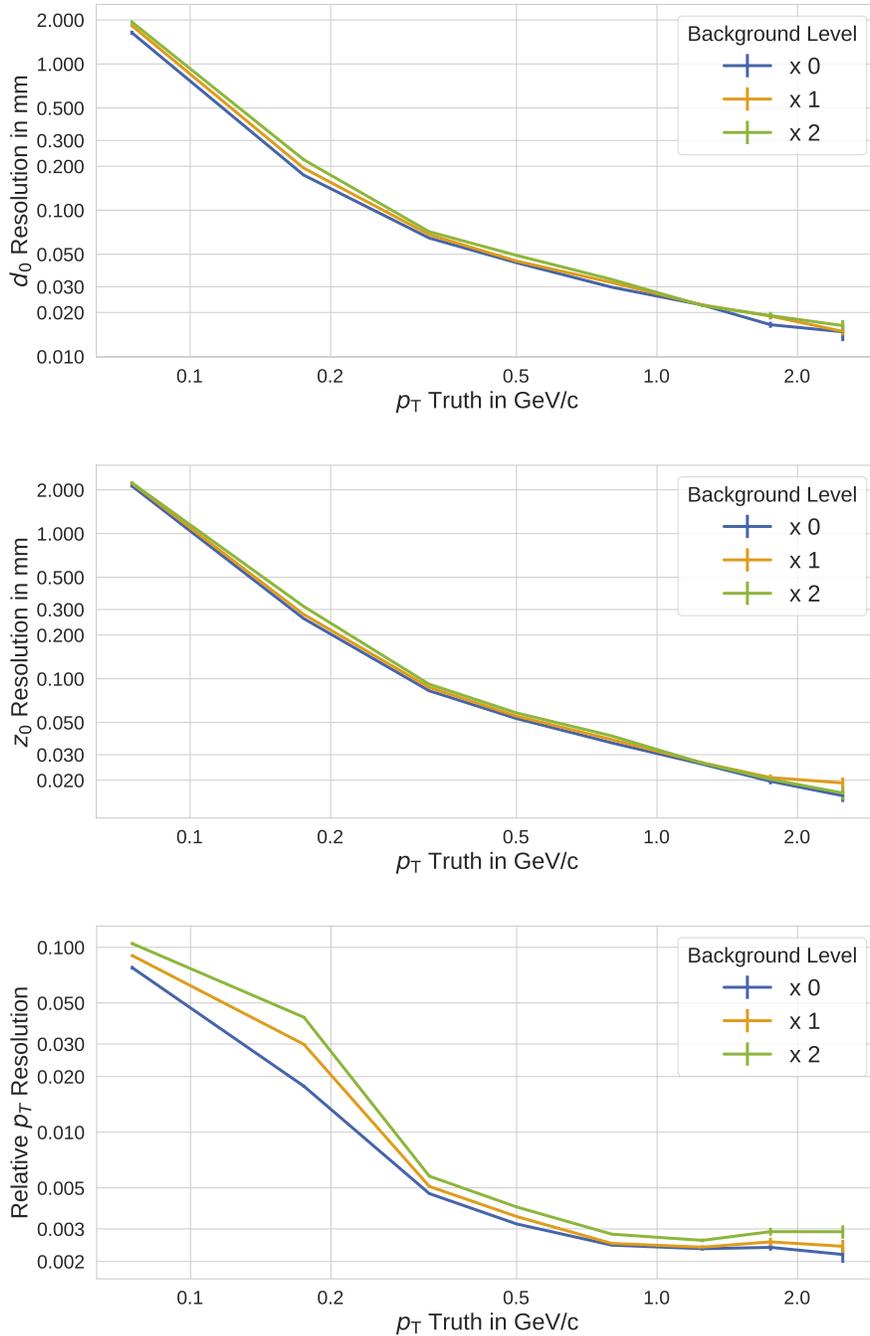


Figure 15: Resolutions for typical simulated  $\Upsilon(4S)$  events with different levels of beam-induced background. As most of the simulated final state particles are pions, only the results of this fit hypothesis are shown.

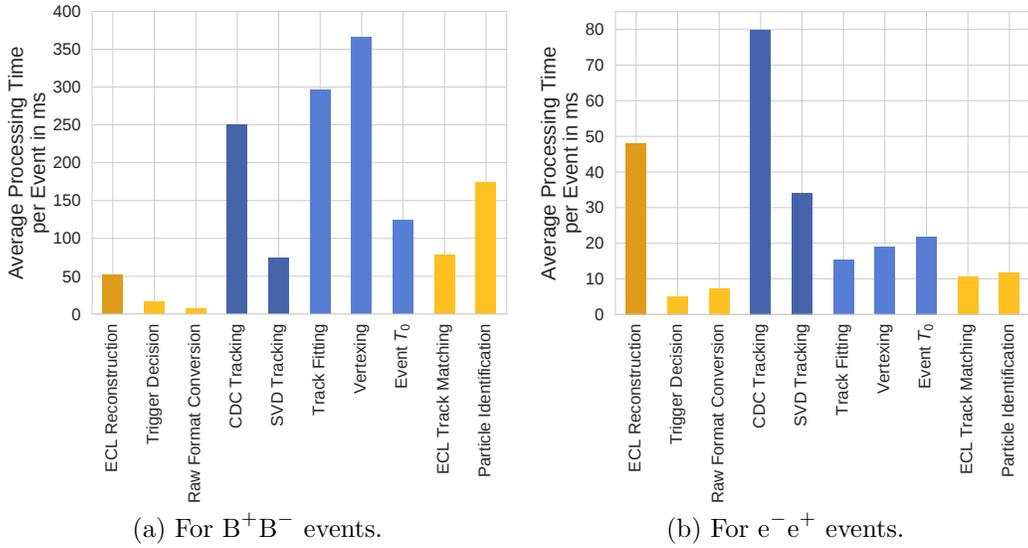


Figure 16: Processing time of a standard online reconstruction performed on the anticipated HLT worker nodes in single-processing mode for different event types. The components marked in dark (light) blue can be related to track finding (track fitting and other tracking-related tasks). The abbreviation *ECL* refers to the electromagnetic calorimeter of the Belle II experiment.

## 818 **11. Summary**

819 The Belle II track-reconstruction software consists of multiple indepen-  
820 dent algorithms to process the measurements of each tracking detector and  
821 integrate all available information into one final set of tracks available for  
822 physics analyses. This allows the use of different algorithms whose properties  
823 are especially suited for the three different tracking detectors. Ultimately, the  
824 software provides a set of tracks based on the measurements of all tracking  
825 detectors, thereby alleviating the complex task of track combination which  
826 would otherwise be forced on physics analyses.

827 One challenge in this approach is to perform the combination of the tracks  
828 reconstructed in each of the tracking detectors without increasing the fake  
829 and clone rate. The best method to achieve this for the Belle II experiment  
830 turned out to be a Combinatorial Kalman Filter to link hits and tracks across  
831 detector boundaries.

832 The upgraded SuperKEKB collider will have much higher beam back-  
833 ground radiation and the newly developed tracking algorithms are designed  
834 to address this. Here, multiple methods like early background hit filter-  
835 ing using multivariate methods and a fine-grained candidate selection using  
836 the Sector Map concept are used. The studies with simulated background  
837 environments at design luminosity of the accelerator show that tracking per-  
838 formance remains adequate for the expected background rate.

839 The Belle II track-reconstruction software has been extensively studied  
840 and used for the reconstruction of simulated events. In the years 2018 and  
841 2019, the software was also employed during the first data taking of colli-  
842 sion data and performed well for the commissioning of the Belle II detector,  
843 studies of the background rates, and first physics results.

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