The MPI Concept of Time-Dependent Fits at Belle II:

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Outline:

1. Introduction to the subject
2. Caveats of the traditional concept of the TD fit based on a convolution of physics with $dt$ resolution function
3. Impact of the tiny beam spot size in Belle II on the $dt$ resolution function
4. The MPI concept with pdfs determined on fly for every data event using re-weighted sample of MC events
5. Performance of the MPI approach in the TDCPV fit of $B_0 \rightarrow J_{psi} K_0 S$
6. Conclusions & call for input and expertise
Introduction: time-dependent fits & traditional approach

**Time-dependent fits** are used to determine lifetimes ($B_0/B^\pm$), $B_0$ mixing parameters and CP violation parameters.

**Traditional approach** used by Belle & BaBar: unbinned maximum likelihood fit to $\Delta t=t_{B_{\text{sig}}}-t_{B_{\text{tag}}}$

$$ \text{maximum } L = \prod_i P(\Delta t_i, \text{physics & event reco parameters})$$

with $P(\Delta t_i)$ calculated as a convolution of theory and $\Delta t$ resolution function:

$$Psig_{bkg}(\Delta t) = \int_{-\infty}^{+\infty} d(\Delta t') \delta_{\text{sig, bkg}}(\Delta t') R_{\text{sig, bkg}}(\Delta t-\Delta t')$$

It is assumed that
- $\Delta t$ resolution function is related entirely to detector effects and fully factorized from physics parameters of interest
- reconstructed efficiency is uniform in $\Delta t$, $\Delta t_{\text{true}}$

**Resolution function in Belle**
- complicated convolution of four components (with sub-classes) related to $B_{\text{sig}}$, to $B_{\text{tag}}$ with additional smearing due to tracks from charm and $K_0S$, and to kinematic approximation that $B_0$s are at rest in the $Y(4S)$ frame
- it was a success, especially due invention of external estimators of quality such as "$\xi$"("h")
Measurement of CP violating parameters

- due to boost of $\Upsilon(4S)$ one can measure distance between decays of $B_{\text{sig}}$ and $B_{\text{tag}}$ and calculate $dt = B_{\text{0sig}} - B_{\text{0tag}}$
- CP violation & mixing parameters and $B_{\text{0}}$ lifetime are determined in the fit of $dt$ distribution:

$$\Phi_{\text{sig}}(\Delta t') = \frac{\exp\left(-\frac{|\Delta t'|}{\tau}\right)}{4\tau} \left[ 1 + q(A \cos(\Delta m \Delta t') + S \sin(\Delta m \Delta t')) \right]$$

From PhD F. Abudinen
Beam spot and spatial distributions of Btag decays

→ z in lab is considered for simplicity, the boost direction would be more appropriate

Y(4s) beam spot

Btag is first

Δt′ > 0

Example of large decay length

Btag is first

-8 ps < Δt′ < -6.7 ps

→ very far away in z!

Tiny size of the beam spot in Belle II (comparable with B0 lifetime) is an advantage but also a challenge: too intensive use of this information in reconstruction leads to dependence of the reco results on spatial position of the “second” Btag and on physics parameters

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MPI concept of TD fits at Belle II
Resolution in $z_{\text{Btag}}$ and in $dt$ as function of $z_{\text{Btag}}(\text{true})$ and $dt(\text{true})$

6 slices in true $z(\text{Btag})$ from -300 $\mu$m to 700 $\mu$m

- solid line is a three gauss fit to all MC events

$\Delta(z_{\text{Btag}}) \ (\text{reco} – \text{true})$

$\Delta z \ [\text{cm}]$

$\rightarrow \text{strong dependence of } z\text{-reconstruction on the true absolute } z\text{ position of Btag}$

6 slices for negative true $\Delta t'$ from -8 ps to 0

- solid line is a three gauss fit to MC events with positive $dt$

$\Delta(dt) \ (\text{reco} – \text{true})$

$(\Delta t - \Delta t') \ [\text{ps}]$

$\rightarrow \text{strong dependence of the } \Delta t \text{ resolution on true } \Delta t' \text{ for } \Delta t' < 0 \ !!!$

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MPI concept of TD fits at Belle II
The MPI concept with pdfs determined on fly from MC samples

**Prerequisite:** should cope with dependencies of the resolution function on physics parameters to be measured
should use full information for every event in a data sample  
→ e.g. an unbinned maximum likelihood method

**Generation+Simulation+Reconstruction** for production of MC samples is the best and the only direct way in hand to make convolution of the underlying physics distributions and the detector effects (resolution functions). The “dt resolution functions” used so far are approximations of detector effects derived from MC samples.
→ use the Generation+Simulation+Reconstruction convolution in full glory.

**The MPI concept is based on re-weighting of mc events:**

- probability of every event in data is calculated on fly using weighted sample of MC events
- MC events, re-weighed by the ratio $P_{dt}(\text{new par.})/P_{dt}(\text{gen par.})$, are equivalent to new simulation with new parameters
- event probabilities are smooth and continuous functions of physics parameters defined everywhere: well suited for fitting

- in the original MC method widely used at HERA and LHC, expected number of MC events are directly compared with number of data events and, therefore, very good description of detector effects in simulation of MC is needed
- in the MPI approach it is not obligatory:
  external parameters can be used for evaluation of quality of $dt$ reconstruction which distributions could differ for data and MC, for example uncertainty on $dt$ or external parameters similar to “ξ” (“h”) used in Belle
Central idea: re-weighting of MC events instead of new simulations

- only two generated quantities serve as input to simulation:
  spatial positions of the first and the second B0s from $Y(4S) \rightarrow B_0 B_0\bar{B}_0$
  - these two positions define the whole kinematics of the event
- these positions are determined by the underlying physics quantities: $t_{B0\text{first}}$ for decay of the first B0 and $t_B = t_{B0\text{sig}} - t_{B0\text{tag}}$
  - only these two physics quantities depend on the theory parameters $\tau(B_0)$, $dm$, $A$ and $S$, $q=+1(B_0\text{tag}), q=-1(B_0\text{tag}\bar{B}_0)$

$O_{sig}(t' B_0\text{first}, \Delta t') = \frac{\exp\left(-\frac{|t' B_0\text{first}|}{\tau}\right)}{4\tau} \frac{\exp\left(-\frac{|\Delta t'|}{\tau}\right)}{4\tau} [1 + q(A \cos(\Delta m \Delta t') + S \sin(\Delta m \Delta t'))]$

- decisive is to have correct distributions on these physics quantities for new set of parameters of interest
  it can be achieved in two (equivalent) ways:
  either by production of a new simulation or by weighting of old simulation with ratio of $P_{\text{sig}}$ for new and old physics parameters as function of generated $t_{B0\text{first}}$ and $dt$

\begin{align*}
\text{hd\_dtmcB0tag} & \quad \text{hd\_dtmcB0tagBar} \\
\text{B0tag} \quad \text{dt (ps) for MC sample with CPV: } S=0.703 & \quad \text{B0tagBar} \\
\text{h\_dtmcB0tag} & \quad \text{h\_dtmcB0tagBar} \\
\text{B0tag} \quad \text{dt (ps) for MC sample with no CPV: } S=0 & \quad \text{B0tagBar} \quad \text{w/o (lines) and with (points) re-weighting to } S=0.703
\end{align*}
Nice features of the approach

- variation of input physics parameters by weighting → no need for templates or new simulations!
- result is obtained in one go → no need for iteration procedure which would be required otherwise
- (known&possible) correlations with physics parameters are automatically taken into account
- no problem with analytical description of the $dt$ resolution shapes, they are taken directly from simulation.
- biases related to tracks from charm and K0 included in Btag vertex fitting are taken into account
- effects due to approximations used in calculation of reconstructed $dt$ are taken into account
- straightforward treatment of efficiencies
- easy change of selection conditions with immediate modification of the event probability functions
- possibility to keep all advantages of the old method making use of external parameters on event by event basis
  by fitting $dt$ shapes in slices of external parameters with their distributions taken from data (conditional pdfs)
- possibility to apply on fly “adjustments” of the MC response (e.g. additional smearing) and efficiencies,
  may be even with an additional free parameter which could allow better description of data by simulation
- universality: applicable to any process, if proper selection criteria and MC samples are defined
- weighting technique is much cheaper than convolutions of complicated functions
Two MC files serving as “Data” and “MC sample for reweighting”

Two MC samples with and without CPV: \( Y(4S) \rightarrow B^0 \bar{B}^0, \ B^0 \rightarrow J/\psi(\mu\mu) \ K_S^0 \) (1 mln events each sample)

MC with CPV: fit of generated \( dt=t_{B^0\text{sig}}-t_{B^0\text{tag}} \)
\[
\begin{align*}
\tau &= 1.524 \pm 0.002 \text{ ps}, \\
\Delta m &= 0.5062 \pm 0.0010 \text{ ps}^{-1}, \\
S &= 0.700 \pm 0.001
\end{align*}
\]
→ expected from steering:
\[
\begin{align*}
\tau &= 1.525 \text{ ps}, \\
\Delta m &= 0.507 \text{ ps}^{-1}, \\
S &= 0.703 (2\beta=0.78)
\end{align*}
\]

MC with no CPV: fit of generated \( dt=t_{B^0\text{sig}}-t_{B^0\text{tag}} \)
\[
\begin{align*}
\tau &= 1.524 \pm 0.002 \text{ ps}, \\
\Delta m &= 0.3 \pm 0.8 \text{ ps}^{-1}, \\
S &= 0 \pm 0.01
\end{align*}
\]
→ expected from steering:
\[
\begin{align*}
\tau &= 1.525 \text{ ps}, \\
\Delta m &= 0, \\
S &= 0
\end{align*}
\]
Technical details of the MPI approach

**Fitting platform:** ALPOS ([https://github.com/zleba/alpos](https://github.com/zleba/alpos)) [RooFit does not provide needed functionality]
- Daniel Britzger (MPI) helped to set up the fit in ALPOS, which is actively used for various fits (jets, ...) at HERA and LHC

**The fit:** (unbinned) maximum likelihood method

**Two samples:** “Data” (simulation with CP violation) and “MC sample for pdf calculations on fly” (simulation with no CPV)

**Loose selection** (same for both samples) with MC matching and requirement of at least one PXD hit for muons from J/ψ

**Grid in reconstructed dt** (104 bins): -100 -38 -22 -14 -10 -8 -7 -6 -5.5 -5 -4.5 -4 -3.75 -3.5 -3.25 -3 -2.8 -2.6 -2.4 -2.2 -2 -1.9 -1.8 -1.7 -1.6 -1.5 -1.4 -1.3 -1.2 -1.1 -1 -0.9 -0.85 -0.8 -0.75 -0.7 -0.65 -0.6 -0.55 -0.5 -0.45 -0.4 -0.35 -0.3 -0.25 -0.2 -0.15 -0.1 -0.05 0 + similar in positive direction

**Grid in ddt** (uncertainty of dt), 10 bins: ddt_binning: 0 0.3 0.4 0.5 0.6 0.7 0.8 1.0 1.3 2.0 10

**Flavor tagger output:** qrfltag (B0_FBDT_qrCombined) → defines 7 regions and q=sign(qrfltag)=1(B0fltag), -1(B0fltagBar)

7 bins: abs(qrfltag)-binning: 0 0.1 0.25 0.50 0.625 0.75 0.875 1

**14 classes in total:** 7 regions in abs(qrfltag) and. q=1,-1 (for data) or qmc = 1 (B0tag), -1 (B0tagBar) (for MC)
→ could (will) be extended for new external parameters(classes) e.g. “with/wo PXD hits”, “ξ” or “h” similar to Belle, ...
Probability calculation for given event in “data”

**initial step:**
1. Loop over samples of data and MC events and fill the following information
   - “data”: fill 2D array with numbers of selected data events in bins (idt,iddt) for each qrfltag in ic-bins (according to grids)
   - “MC sample”: keep in memory 5 variables for selected MC events - idt, iddt, icmc=qmc*abs(ic), tmcB0first, dtmc here, ic=-7,..,7; qmc=-1 (B0tag),+1(B0tagBar)

**at each iteration in minuit:**
1. Loop over MC events in memory and weight each MC event by the ratio of $P_{\text{sig}}$ for $\tau, dm, A, S$ of the current iteration and $\tau_0=1.525$ ps & $S=A=0$ used in the generation of the MC sample with “no CPV”
2. Fill 2D array (idt, iddt) with sums of weights of MC events for each class icmc (= integration over tmcB0first & dtmc)
3. Calculation of probability for each given data event with (idt,iddt,ic), calculated independently in bins (iddt,ic)

$$\text{Prob}_\text{ev}(idt) = \{ [n_{\text{pos}}(idt)+n_{\text{neg}}(idt)] + \text{sign}(ic) \times (1-2W_{\text{abs}}(ic)) [n_{\text{pos}}(idt)-n_{\text{neg}}(idt)] \} / \{ (\text{sum } n_{\text{pos}}) + (\text{sum } n_{\text{neg}}) \}$$

$n_{\text{pos}}, n_{\text{neg}}$ are for positive and negative icmc with $\text{abs}(icmc)=\text{abs}(ic)$; sum $n_{\text{pos}}$ (sum $n_{\text{neg}}$) are sums over idt
4. $W_{1,..,7}$ - seven delusion factors (probabilities to make wrong decision) for 7 regions in $\text{abs}(qrfltag)$, i.e. for $\text{abs}(ic)$-bins
5. Supply minuit with sum \{-2 log $\text{Prob}_\text{ev}(idt,iddt,ic)$\} - sum over all events in the “data” sample

→ **10 free parameters:** $\tau, dm, S, (A=0), W_{1,..,7}$
   - Sometimes to ensure $0<W<1$, $W_{\text{par}}$ are fitted instead of $W \rightarrow W = 0.5 [ - \text{sign}(W_{\text{par}}) \times (1-\exp(-0.5-\text{abs}(W_{\text{par}}))/\exp(-0.5))]$
   - Sometimes to ensure $-1<S<1$, $S_{\text{par}}$ are fitted instead of $S \rightarrow S = \text{sign}(S_{\text{par}}) \times (1-\exp(-0.5-\text{abs}(S_{\text{par}}))/\exp(-0.5))]$
   - It is equivalent to MC method if the fit of dt shapes is performed for different classes with free normalization of each class
   - Classes “ic” could be easily extended for further external parameters
Prove of the MPI concept

**Fit converges quickly:** < 60 sec with room for further optimization of the code and parallelization of calculations

Data: 437191 good event (out of 1027869 ev); MC sample: 438052 good events (out of 1028551 ev)

10 free parameters, initial values are far from expectations: $\text{Tau}=1.4$, $dm=0.4$, $S=0.5$, $W(1-7)=0.3$

$\text{MinFCN} = 3.84699e+06$ $\text{NDF} = 0$ $\text{Edm} = 6.15154e-06$ $\text{NCalls} = 74$ $\text{Runtime 00:00:10}$$\quad$ only one class, perfect “flavor tagging”: $\text{sign(qrfltag)}=\text{qmc}$

$\text{BelleTDCPV.S} = 0.699429 \pm 0.00265984$ $0.699\pm 0.003$ $0.703\text{ expected}$$\quad$ $\rightarrow$ perfect agreement (within one sigma)

$\text{BelleTDCPV.tau} = 1.52403 \pm 0.00292701$ $1.524\pm 0.003$ $1.525\text{ expected}$$\quad$ $\rightarrow$ perfect performance (10 sec)

$\text{BelleTDCPV.dm} = 0.506841 \pm 0.00211855$ $0.507\pm 0.002$ $0.507\text{ expected}$$\quad$ $\rightarrow$ prove of the MPI concept

Conclusion: **The MPI concept works perfectly!**

Work in still progress:
make use of the flavor tagger, backgrounds to be included, ...

Realistic exercise (although without backgrounds) with
7 classes and qrfltag from flavor tagger (10 free parameters)
$\rightarrow$ reasonable results and very fast: $\text{Runtime 00:01:03 (63 sec !)}$
Conclusions

- The MPI concept of a time-dependent fit is adequate to new challenges in Belle II related to improved precision of PXD and the size of the beam spot.

- It is demonstrated that the method based on re-weighting of MC events and calculation of probabilities for every data event “on fly” works well for realistic conditions (accurate, fast and efficient). The work is still in progress: flavor tagger, backgrounds are to be included, ...

**Input and expertise are welcomed:**
- advantages/disadvantages of unbinned maximum likelihood methods w.r.t. minimisation of chi2
- uncertainties due to MC statistics (statistics in tails is low for any MC sample)
- how to treat limits (-1<S<1, 0<W<1), if function – which function is better ?
- are there complications with efficiencies due to loss of MC events which can not be therefore re-weighted?
- further optimizations of the code and parallelization of calculations
- easy way to include many pdfs which are on the market: Breit-Wigner, Argus, ...
- the fitting task is simple, straightforward and stand alone:
  - how to make the fitting package portable to “any calculator” with running Root ?
  - make it (as much as possible) user friendly and convincing for users in Belle II
- output services: histos, comparisons, ...