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# Search for $CP$ violation in $D_{(s)}^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ decays

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## Abstract

1 We perform the first search for  $CP$  violation in  $D_{(s)}^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  decays. We  
2 use a combined data set from the Belle and Belle II experiments, which study  $e^+e^-$   
3 collisions at a center-of-mass energy on or near the  $\Upsilon(4S)$  resonance. The total  
4 integrated luminosity is  $1407 \text{ fb}^{-1}$ . We measure six  $CP$ -violating asymmetries that  
5 are based on triple-products and quadruple products of the momenta of final-state  
6 particles, and also the particles' helicity angles. Such asymmetries are sensitive to  
7  $CP$  violation due to interference among different partial-wave contributions to the  
8 decay amplitudes. We obtain a precision of 0.5% for  $D^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  decays  
9 and less than 0.3% for  $D_s^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  decays. No evidence of  $CP$  violation is  
10 found. Our results for the triple-product asymmetries are the most precise to date  
11 for singly-Cabibbo-suppressed  $D^+$  decays. Our results for the other asymmetries  
12 are the first such measurements performed for charm decays.

# 1 Introduction

The violation of charge-conjugation plus parity ( $CP$ ) symmetry holds significant importance in particle physics, as it is essential for explaining the matter-antimatter imbalance in the Universe [1]. Within the Standard Model (SM), the sole source of  $CP$  violation ( $CPV$ ) is a complex phase in the CKM matrix [2]. However, this source is insufficient to explain the observed matter-antimatter imbalance, and thus we conclude there must be other sources of  $CPV$ , presumably arising from new physics (NP) beyond the SM.

In the SM,  $CPV$  in the charm sector arises at the level of  $\mathcal{O}(10^{-3})$  or less [3–5], and observing  $CPV$  significantly above this level would be interpreted as a sign of NP [6–9]. Various methods have been used to search for  $CPV$  in charm decays [10]: measuring differences in decay widths, searching for differences in decay-time distributions or regions of phase-space, measuring triple-product asymmetries, measuring decay asymmetry parameters in baryonic decays, and performing amplitude analyses and an “energy test” of multi-body decays. To date, the only observation of  $CPV$  was reported by LHCb [11], which measured a difference between the asymmetries  $A_{CP}^{KK}$  and  $A_{CP}^{\pi\pi}$  for  $D^0 \rightarrow K^+K^-$  and  $D^0 \rightarrow \pi^+\pi^-$  decays. The observable  $A_{CP}$  is the asymmetry between partial widths of a  $D$  and a  $\bar{D}$ ; a nonzero value mainly arises from *direct*  $CPV$ , i.e., interference between two or more decay amplitudes to the same final state. The effect is proportional to  $\sin \delta \sin \phi$ , where  $\delta$  is the strong phase difference between the two amplitudes, and  $\phi$  is the weak phase difference.

Four-body decays of charmed mesons typically proceed via intermediate resonances, and the corresponding amplitudes interfere with one another. Thus, these decays offer a promising opportunity to observe  $CPV$ . One observable sensitive to  $CPV$  in  $D \rightarrow h_1 h_2 h_3 h_4$  ( $h = \pi, K, \eta$ , etc.) decays is the “triple product”  $C_{TP} \equiv (\vec{p}_1 \times \vec{p}_2) \cdot \vec{p}_3$ , where the momenta  $\vec{p}_{1,2,3}$  are measured in the rest frame of the  $D$ . The asymmetry ( $\mathcal{A}_{CP}^{C_{TP}}$ ) between  $C_{TP}$  and its counterpart  $\bar{C}_{TP}$  for the charge-conjugate  $\bar{D}$  decay is  $CP$ -violating. However, unlike  $A_{CP}$ ,  $\mathcal{A}_{CP}^{C_{TP}}$  is proportional to  $\sin \phi \cos \delta$  [12–15], i.e., it reaches its maximum value at  $\delta = 0$ . Several experiments have searched for  $CPV$  using triple-product asymmetries in four-body  $D$  decays [10], thus far without success. Here we measure the triple-product asymmetry for the four-body decays  $D^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  and  $D_s^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ .<sup>1</sup> The first mode is singly Cabibbo-suppressed, like the  $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$  decays for which  $CPV$  was observed. The dominant intermediate process,  $D^+ \rightarrow \bar{K}^{*0} K^{*+}$ , involves tree, annihilation, and “penguin” amplitudes as shown in figure 1. These amplitudes interfere with one another, potentially giving rise to  $CPV$ . In addition to measuring the triple-product asymmetry, we also measure the asymmetry for the “quadruple product”  $C_{QP} \equiv (\vec{p}_1 \times \vec{p}_2) \cdot (\vec{p}_3 \times \vec{p}_4)$ . Quadruple product asymmetries are discussed as a method for measuring  $CPV$  in refs. [14–17]. Finally, we measure asymmetries in helicity angle distributions, which can also exhibit  $CP$  violation [16, 18]. To date, quadruple-product asymmetries and asymmetries in helicity angle distributions have not yet been studied for multibody charm decays.

<sup>1</sup>Throughout this paper, charge-conjugate modes are implicitly included unless noted otherwise.

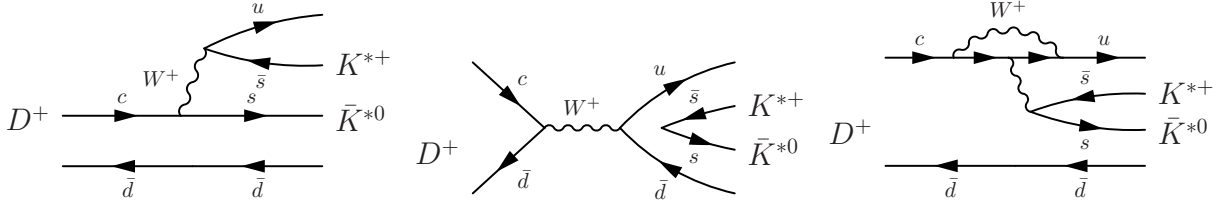


Figure 1: Tree (left), annihilation (center), and “penguin” (right) diagrams contributing to  $D^+ \rightarrow \bar{K}^{*0} K^{*+}$ . This decay is expected to be the dominant process for the four-body decay  $D^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ .

## 2 Methodology

The topology of  $D_{(s)}^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  decays is shown in figure 2. The angle in the  $D_{(s)}^+$  rest frame between the decay planes of the  $K_S^0 \pi^+$  pair and the  $K^- \pi^+$  pair is denoted  $\varphi$ . Also shown are two helicity angles,  $\theta_{K_S^0}$  and  $\theta_{K^-}$ . These are defined as the angle in the  $K_S^0 \pi^+$  or  $K^- \pi^+$  rest frame between the kaon momentum and the direction opposite that of the  $D_{(s)}^+$  momentum.

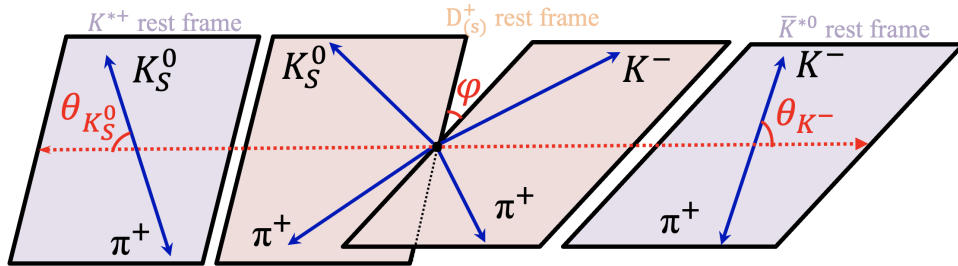


Figure 2: Decay topology for  $D_{(s)}^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ . The innermost decay planes, as drawn, correspond to the  $D_{(s)}^+$  rest frame; the outermost decay planes, as drawn, correspond to the  $K_S^0 \pi^+$  and  $K^- \pi^+$  rest frames.

The triple- and quadruple products are defined as:

$$C_{\text{TP}} = (\vec{p}_{K^-} \times \vec{p}_{\pi_h^+}) \cdot \vec{p}_{K_S^0}, \quad (1)$$

$$C_{\text{QP}} = (\vec{p}_{K^-} \times \vec{p}_{\pi_h^+}) \cdot (\vec{p}_{K_S^0} \times \vec{p}_{\pi_l^+}), \quad (2)$$

where the subscripts “ $h$ ” or “ $l$ ” denote the pion with the higher or lower momentum, respectively. All momenta are measured in the  $D_{(s)}^+$  rest frame<sup>2</sup> In addition to searching for  $CPV$  using  $C_{\text{TP}}$  and  $C_{\text{QP}}$ , we also use the product  $C_{\text{TP}} C_{\text{QP}}$  and three functions of the helicity angles:  $\cos \theta_{K_S^0} \cos \theta_{K^-}$ ,  $C_{\text{TP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ , and  $C_{\text{QP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ . The observables  $C_{\text{QP}}$ ,  $C_{\text{TP}}$ , and  $C_{\text{TP}} C_{\text{QP}}$  have the same signs as  $\cos \varphi$ ,  $\sin \varphi$ , and  $\sin(2\varphi)$ ,

<sup>2</sup>For  $C_{\text{TP}}$ , the choice of the three out of four final state momenta does not impact the measurement, as the total momentum sums to zero. For  $C_{\text{QP}}$ , the combinations are chosen to be similar to  $C_{\text{TP}}$ .

67 respectively. The observable  $C_{\text{TP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$  has the same sign as a term in the an-  
 68 gular distribution of  $D \rightarrow V_a V_b$ ,  $V \rightarrow P_1 P_2$  decays [16]:  $d_{1,0}^2(\theta_a) d_{1,0}^2(\theta_b) \sin \varphi$ , which is  
 69 proportional to  $\sin(2\theta_a) \sin(2\theta_b) \sin \varphi$ . The term  $C_{\text{QP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$  has the same sign  
 70 as another term,  $d_{1,0}^2(\theta_a) d_{1,0}^2(\theta_b) \cos \varphi$ , which is proportional to  $\sin(2\theta_a) \sin(2\theta_b) \cos \varphi$ . In  
 71 these expressions,  $V$  and  $P$  denote vector and pseudoscalar mesons, and the  $d$ 's denote  
 72 Wigner  $d$  functions [19, 20]. We thus measure six observables,  $X = C_{\text{TP}}$ ,  $C_{\text{QP}}$ ,  $C_{\text{TP}} C_{\text{QP}}$ ,  
 73  $\cos \theta_{K_S^0} \cos \theta_{K^-}$ ,  $C_{\text{TP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ , and  $C_{\text{QP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ , the signs of which corre-  
 74 spond to the signs of different combinations of  $\sin \varphi$ ,  $\cos \varphi$ ,  $\cos \theta_{K_S^0}$ , and  $\cos \theta_{K^-}$ .

75 For each observable, we measure the asymmetry about zero for both  $D_{(s)}^+$  and  $D_{(s)}^-$   
 76 decays:

$$77 \quad A_X(D_{(s)}^+) \equiv \frac{N(X > 0) - N(X < 0)}{N(X > 0) + N(X < 0)} \quad (3)$$

$$78 \quad \bar{A}_{\bar{X}}(D_{(s)}^-) \equiv \frac{\bar{N}(\bar{X} > 0) - \bar{N}(\bar{X} < 0)}{\bar{N}(\bar{X} > 0) + \bar{N}(\bar{X} < 0)}, \quad (4)$$

80 where  $N$  and  $\bar{N}$  denote the yields of  $D_{(s)}^+$  and  $D_{(s)}^-$  decays, respectively. For the observ-  
 81 ables proportional to  $C_{\text{TP}}$ ,  $\bar{X} = -X$  to account for the oddness of  $C_{\text{TP}}$  under a parity  
 82 transformation; for the other observables,  $\bar{X} = +X$ . With this definition,  $A_X$  and  $\bar{A}_{\bar{X}}$   
 83 are  $CP$ -conjugate quantities for all the observables, and any difference violates  $CP$ . We  
 84 parameterize a difference with

$$85 \quad \mathcal{A}_{CP}^X \equiv \frac{A_X - \bar{A}_{\bar{X}}}{2}, \quad (5)$$

86 where  $\mathcal{A}_{CP}^X \neq 0$  indicates  $CP$  violation.

### 87 3 Detector and data set

88 The Belle detector [21] was a large-solid-angle spectrometer that operated at the KEKB  
 89 asymmetric-energy  $e^+e^-$  collider [22]. It had a cylindrical geometry and consisted of a sil-  
 90 icon vertex detector (SVD), a central drift chamber (CDC), an array of aerogel threshold  
 91 Cherenkov counters (ACC), a barrel-like arrangement of time-of-flight scintillation coun-  
 92 ters (TOF), and an electromagnetic calorimeter (ECL) based on CsI(Tl) crystals. These  
 93 components were located inside a superconducting solenoid coil that provided a 1.5 T  
 94 magnetic field. The iron flux-return of the magnet was instrumented with resistive-plate  
 95 chambers (KLM) to detect muons and  $K_L^0$  mesons. More details on the Belle detector are  
 96 provided in refs. [21, 23].

97 The Belle II detector [24], operates at the SuperKEKB asymmetric-energy  $e^+e^-$  col-  
 98 lifier [25] and also has a cylindrical geometry. It includes a two-layer silicon-pixel detec-  
 99 tor (PXD) surrounded by a four-layer double-sided silicon-strip detector [26] and a 56-layer  
 100 central drift chamber. Only one sixth of the second layer of the PXD was installed for the  
 101 data analyzed here. Surrounding the CDC is a time-of-propagation counter (TOP) [27]  
 102 in the central region, and an aerogel-based ring-imaging Cherenkov counter (ARICH)

103 in the forward region. Surrounding the TOP and ARICH is the ECL and the 1.5 T  
 104 superconducting solenoid magnet previously used in Belle. The magnet's flux return is  
 105 instrumented with both resistive-plate chambers and plastic scintillator modules to detect  
 106 muons,  $K_L^0$  mesons, and neutrons. More details on the Belle II detector are provided in  
 107 ref. [24]. For both Belle and Belle II, the symmetry axis of the detectors, defined as the  
 108  $z$  axis, is almost coincident with the direction of the electron beam. Both magnetic fields  
 109 run parallel to the  $z$  axis.

110 This analysis uses both Belle and Belle II data sets,  $980 \text{ fb}^{-1}$  recorded by Belle and  
 111  $427 \text{ fb}^{-1}$  recorded by Belle II. The majority of the data (73% for Belle, 85% for Belle II)  
 112 were recorded at an  $e^+e^-$  center-of-mass (c.m.) energy corresponding to the  $\Upsilon(4S)$  reso-  
 113 nance. The remaining data were recorded at energies slightly above and below the  $\Upsilon(4S)$   
 114 resonance and at other  $\Upsilon(nS)$  ( $n = 1, 2, 3, 5$ ) resonances. We use Monte Carlo (MC)  
 115 simulated events to optimize selection criteria, study backgrounds, and calculate recon-  
 116 struction efficiencies. The MC samples are generated using EVTGEN [28], and the detector  
 117 response is simulated using GEANT3 [28] for Belle and GEANT4 [29] for Belle II. The con-  
 118 tinuum process  $e^+e^- \rightarrow q\bar{q}$ , where  $q = u, d, s, c$ , is generated using PYTHIA8 [30] for  
 119 Belle and PYTHIA8 [30] and KKMC [31] for Belle II. Final-state radiation of charged  
 120 particles is simulated with PHOTOS [32].

## 121 4 Event selection

122 The analysis is performed using the Belle II analysis software framework (BASF2) [33].  
 123 The Belle data sets are converted to BASF2 format using the B2BII software package [34].  
 124 Selection criteria are chosen to maximize a figure-of-merit  $\text{FOM} = N_S/\sqrt{N_S + N_B}$ , where  
 125  $N_S$  is the signal yield expected in a region  $|M(D) - m_D| < 13 \text{ MeV}/c^2$ , and  $N_B$  is the  
 126 background yield expected in this region. Here,  $M(D) = M(K_S^0 K^- \pi^+ \pi^+)$  is the invariant  
 127 mass of the  $D_{(s)}^+$  candidate,  $m_D$  is the nominal  $D_{(s)}^+$  mass [35], and the range corresponds  
 128 to about  $2.5\sigma$  in resolution. The background yield is obtained from MC simulation, with a  
 129 correction factor applied to account for the ratio of yields between data and MC simulation  
 130 in the sideband  $20 \text{ MeV}/c^2 < |M(D) - m_D| < 40 \text{ MeV}/c^2$ .

131 We require that signal candidates pass the following selection criteria. Charged tracks  
 132 must lie within the CDC acceptance (an angular coverage of  $17^\circ \leq \theta \leq 150^\circ$ ), have at  
 133 least one CDC hit, and have a distance-of-closest-approach to the  $e^+e^-$  interaction point  
 134 (IP) of less than 2.0 cm along the  $z$  direction and less than 0.5 cm in the  $x$ - $y$  (transverse)  
 135 plane. For each track, we calculate particle identification (PID) likelihoods ( $\mathcal{L}$ ) for  $\pi$ ,  $K$ ,  
 136 and  $p$  particle hypotheses using information from the CDC, ACC, and TOF detectors in  
 137 Belle, and mainly from the CDC, TOP, and ARICH detectors in Belle II. Tracks satisfying  
 138 a likelihood ratio  $\mathcal{L}_\pi/(\mathcal{L}_\pi + \mathcal{L}_K) > 0.6$  are identified as pions, and tracks satisfying a ratio  
 139  $\mathcal{L}_K/(\mathcal{L}_K + \mathcal{L}_\pi) > 0.6$  are identified as kaons. Tracks that are highly electron-like or muon-  
 140 like are rejected, where the electron and muon likelihoods are determined mainly using  
 141 information from the ECL and KLM detectors, respectively [36–38]. These requirements  
 142 have an average efficiency of 91% for kaons and 95% for pions at Belle, and 87% for kaons  
 143 and 90% for pions at Belle II.

144 Candidate  $K_S^0$  mesons are reconstructed from pairs of oppositely-charged tracks as-

145 sumed to be pions. To suppress non- $K_S^0$  background at Belle, a neural network (NN) [39]  
 146 is employed. This NN uses 13 input variables [40]; the most discriminating are the  $K_S^0$   
 147 momentum in the laboratory frame, the distance to the IP in the  $x$ - $y$  plane for each track,  
 148 and the  $K_S^0$  flight length in the  $x$ - $y$  plane. To suppress non- $K_S^0$  background at Belle II,  
 149 the pion candidates are required to originate from a common vertex with a fit quality  
 150  $\chi^2(K_S^0) < 100$ . For both Belle and Belle II, the  $K_S^0$  flight length ( $L$ ) is calculated as the  
 151 projection of the displacement vector joining the  $K_S^0$  production and decay vertices onto  
 152 the direction of the  $K_S^0$  momentum. The uncertainty  $\sigma_L$  is calculated by propagating the  
 153 uncertainties on the vertex positions and the  $K_S^0$  momentum, accounting for their corre-  
 154 lations. The  $K_S^0$  flight significance,  $L/\sigma_L$ , is required to be greater than 10 at Belle and  
 155 greater than 20 at Belle II. The invariant mass of the  $K_S^0 \rightarrow \pi^+\pi^-$  candidate is required to  
 156 lie within 10 MeV/ $c^2$  of the nominal  $K_S^0$  mass [35]. The efficiencies of these requirements  
 157 is about 97% at Belle and 92% at Belle II.

158 We reconstruct  $D_{(s)}^+$  candidates by combining a  $K_S^0$  candidate, a  $K^-$  track, and two  
 159  $\pi^+$  tracks. A vertex fitting algorithm [41] is applied to the entire decay chain, subject to  
 160 a mass constraint for the  $K_S^0$  and a constraint that the  $D_{(s)}^+$  momentum originate from  
 161 the IP. The resulting goodness-of-fit  $\chi^2$  is required to be less than 30 for  $D^+$  decays and  
 162 less than 40 for  $D_s^+$  decays. The  $D_{(s)}^+$  flight significance, defined as  $L_D/\sigma_{L_D}$ , is required to  
 163 be greater than 3.0 (0.5) for  $D^+$  ( $D_s^+$ ) decays at Belle, and greater than 4.5 (2.0) for  $D^+$   
 164 ( $D_s^+$ ) decays at Belle II. The tighter criteria for Belle II results from the superior vertex  
 165 resolution of the Belle II PXD detector and has similar efficiency as the Belle criteria.

166 We define a scaled momentum for the  $D_{(s)}^+$  candidate as  $x_p \equiv p_D/p_{\max}$ , where  $p_D$   
 167 is the  $D$  momentum and  $p_{\max} = \sqrt{E_{\text{beam}}^2 - M(D)^2 c^4}/c$ . Here,  $E_{\text{beam}}$  is the beam en-  
 168 ergy, and both  $E_{\text{beam}}$  and  $p_D$  are evaluated in the  $e^+e^-$  center-of-mass frame. To sup-  
 169 press  $D_{(s)}^+$  decays originating from  $B$  decays and also combinatorial background, we re-  
 170 quire  $x_p > 0.5$ . We also require that the invariant mass of the  $D_{(s)}^+$  candidate satisfy  
 171  $[M(D) - m_D] \in (-50, 40)$  MeV/ $c^2$ . For  $D_s^+$  candidates, an additional background arising  
 172 from  $D^{*+} \rightarrow D^0(\rightarrow K_S^0 K^- \pi^+) \pi^+$  is present in the upper  $M(K_S^0 K^- \pi^+ \pi^+)$  sideband. To  
 173 suppress this background, we require that, for each  $\pi^+$  candidate,  $[M(K_S^0 K^- \pi^+ \pi^+) -$   
 174  $M(K_S^0 K^- \pi^+)] > 151.57$  MeV/ $c^2$ . This requirement eliminates essentially all such back-  
 175 ground while preserving more than 99% of signal decays.

## 176 5 $\mathcal{A}_{CP}^X$ measurement

177 We measure the asymmetries  $\mathcal{A}_{CP}^X$  in two steps. We first perform a fit to the  $M(D)$   
 178 distributions of combined samples of  $(D^+ + D^-)$  and  $(D_s^+ + D_s^-)$  decays. The probability  
 179 density function (PDF) for signal decays is taken to be the sum of a double Gaussian and  
 180 two (for  $D^+$ ) or three (for  $D_s^+$ ) asymmetric Gaussians. These functions share a common  
 181 mean parameter but have distinct width parameters. These parameters are taken from  
 182 MC simulation, but a shift  $\delta_\mu$  to the mean and a common scaling factor  $k_\sigma$  for the widths  
 183 are included to account for small differences between data and MC simulation. The PDF  
 184 for background is taken to be a straight line:  $f(x) = 1 + \alpha x$ .

185 The combined data sets and projections of the fit result are shown in figure 3. The

186 resulting signal and background yields are listed in table 1. The ratio of signal to back-  
 187 ground for Belle II is higher than that for Belle due to the improved resolution on the  
 188  $D_{(s)}^+$  flight length  $L_D$ .

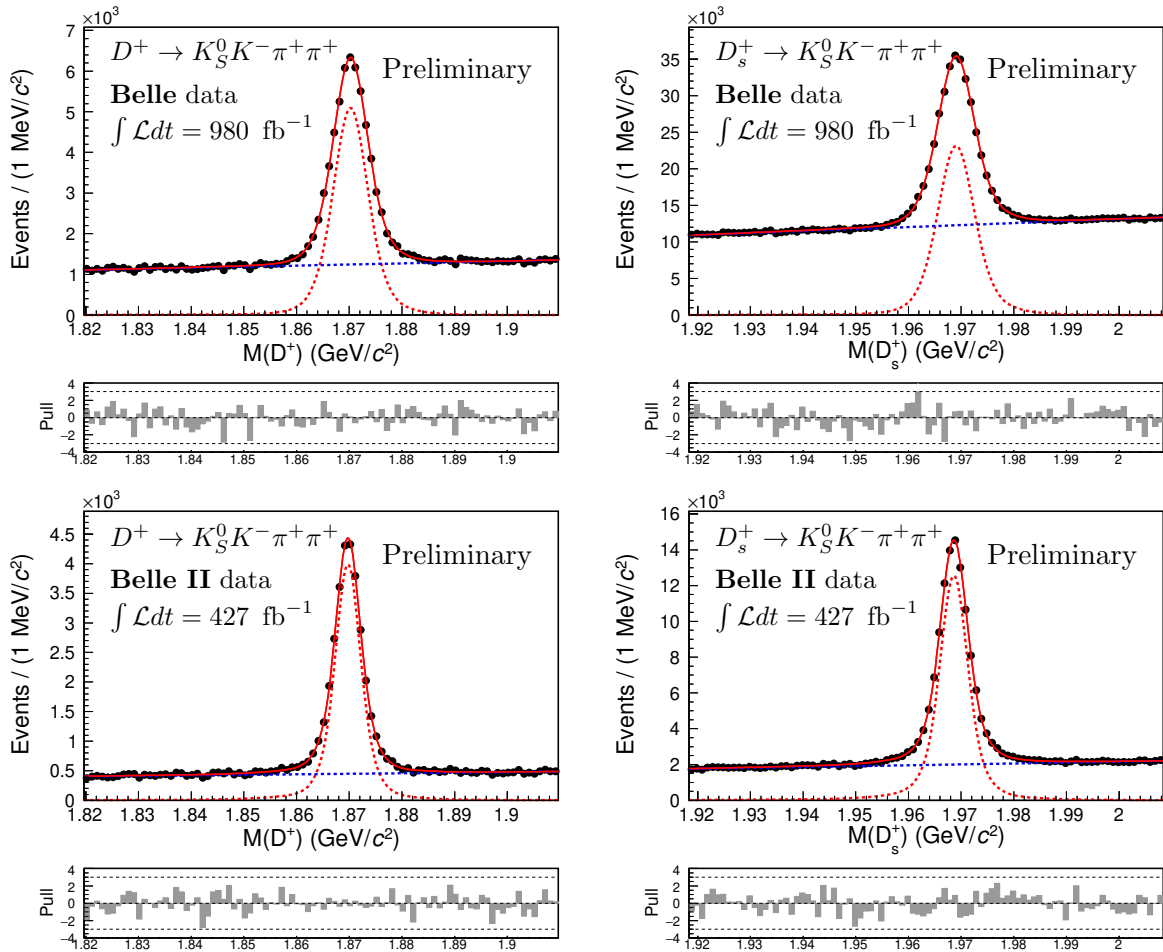


Figure 3: Invariant mass distributions for  $D_{(s)}^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  candidates (points with error bars), along with projections of the fit result. The red dashed curves show the fitted signal, and the blue dash-dotted curves show the fitted background. The top plots show Belle data, and the bottom plots show Belle II data. The smaller plots show the corresponding pull distributions, where the pull is defined as (fitted yield – actual yield)/(fitted uncertainty).

189 Subsequently, we perform a second fit for the asymmetries  $\mathcal{A}_{CP}^X$ , where the calibration  
 190 factors  $\delta_\mu$ ,  $k_\sigma$ , and slope  $\alpha$  are fixed to the values obtained from the first fit. For the  $\mathcal{A}_{CP}^X$   
 191 fit, we divide the data into four  $X$  subsamples as determined by the charge of  $D_{(s)}^\pm$  and

Table 1: Fitted yields of signal and background at Belle and Belle II.

Component	$D^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$		$D_s^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$	
	Belle	Belle II	Belle	Belle II
Signal ( $N_{\text{sig}}$ )	$46073 \pm 301$	$28224 \pm 209$	$223182 \pm 826$	$98214 \pm 419$
Background ( $N_{\text{bkg}}$ )	$110555 \pm 394$	$39937 \pm 236$	$1091491 \pm 1245$	$177743 \pm 505$
Ratio ( $N_{\text{sig}}/N_{\text{bkg}}$ )	0.42	0.71	0.20	0.55

192 the sign of  $X$ . The yields of the subsamples are expressed as:

$$193 \quad N(D_{(s)}^+, X > 0) = \frac{N_+}{2}(1 + A_X) \quad (6)$$

$$194 \quad N(D_{(s)}^+, X < 0) = \frac{N_+}{2}(1 - A_X) \quad (7)$$

$$195 \quad N(D_{(s)}^-, \bar{X} > 0) = \frac{N_-}{2}(1 + A_X - 2\mathcal{A}_{CP}^X) \quad (8)$$

$$196 \quad N(D_{(s)}^-, \bar{X} < 0) = \frac{N_-}{2}(1 - A_X + 2\mathcal{A}_{CP}^X). \quad (9)$$

197 Here,  $N_+$  and  $N_-$  represent signal yields for  $D_{(s)}^+$  and  $D_{(s)}^-$ , respectively;  $A_X$  denotes  
 198 the asymmetry for  $X$ ; and  $\mathcal{A}_{CP}^X$  denotes the  $CP$ -violating parameter. We perform a  
 199 simultaneous fit to the  $M(D)$  distributions of these four subsamples to extract parameters  
 200  $N_+$ ,  $N_-$ ,  $A_X$ , and  $\mathcal{A}_{CP}^X$ . We test the fitting procedure on MC samples generated with  
 201 different input values of  $\mathcal{A}_{CP}^X$ ; in all cases we obtain fitted values for  $\mathcal{A}_{CP}^X$  consistent with  
 202 the input values.

203 The fitted  $M(D)$  distributions for Belle and Belle II data are shown in appendix A. The  
 204 results for  $\mathcal{A}_{CP}^X$  are listed in table 2 along with systematic uncertainties; these uncertainties  
 205 are discussed in the next section.

## 206 6 Systematic uncertainties

207 Many systematic uncertainties for the measurements of  $X$  and  $\bar{X}$  cancel in the ratios  $A_X$   
 208 (eq. 3) and  $\bar{A}_{\bar{X}}$  (eq. 4), or in the difference between them (eq. 5). The uncertainties that  
 209 do not cancel are listed in table 3 and discussed below.

210 A possible difference in efficiency between  $+X$  and  $-X$  values is evaluated using MC  
 211 simulation by comparing reconstructed  $X$  distributions with those that were generated.  
 212 For  $X = C_{TP}$  and  $C_{TP}C_{QP}$ , no significant  $X$ -dependence is observed, i.e., the ratio of dis-  
 213 tributions for reconstructed and generated events is nearly flat. For the other observables,  
 214 the ratio is not flat, but the observed dependence is the same for  $D_{(s)}^+$  and  $D_{(s)}^-$  decays  
 215 and thus the effect upon  $\mathcal{A}_{CP}^X$  is small. To account for this quantitatively, we take as a  
 216 systematic uncertainty the difference between generated and reconstructed values of  $\mathcal{A}_{CP}^X$ .  
 217 We include in this uncertainty that arising from the limited statistics of the MC samples  
 218 used. Finally, we include in this uncertainty any effect upon  $\mathcal{A}_{CP}^X$  arising from a possible

Table 2: Results for  $\mathcal{A}_{CP}^X$  in  $D_{(s)}^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  decays, where  $X = C_{TP}$  (1),  $C_{QP}$  (2),  $C_{TP}C_{QP}$  (3),  $\cos \theta_{K_S^0} \cos \theta_{K^-}$  (4),  $C_{TP} \cos \theta_{K_S^0} \cos \theta_{K^-}$  (5), and  $C_{QP} \cos \theta_{K_S^0} \cos \theta_{K^-}$  (6). The significance of the combined  $\mathcal{A}_{CP}^X$  result from  $\mathcal{A}_{CP}^X = 0$  is listed in the last column.

Decay	$X$	$\mathcal{A}_{CP}^X$ ( $10^{-3}$ ) at Belle	$\mathcal{A}_{CP}^X$ ( $10^{-3}$ ) at Belle II	Combined $\mathcal{A}_{CP}^X$ ( $10^{-3}$ )	Significance
$D^+$	(1)	$-4.0 \pm 5.9 \pm 3.0$	$-0.2 \pm 7.0 \pm 1.8$	$-2.3 \pm 4.5 \pm 1.5$	$0.5\sigma$
	(2)	$-1.0 \pm 5.9 \pm 2.5$	$-0.4 \pm 7.0 \pm 2.4$	$-0.7 \pm 4.5 \pm 1.7$	$0.2\sigma$
	(3)	$+6.4 \pm 5.9 \pm 2.2$	$+0.6 \pm 7.0 \pm 1.3$	$+3.9 \pm 4.5 \pm 1.1$	$0.8\sigma$
	(4)	$-4.7 \pm 5.9 \pm 3.0$	$-0.6 \pm 6.9 \pm 3.0$	$-2.9 \pm 4.5 \pm 2.1$	$0.6\sigma$
	(5)	$+1.9 \pm 5.9 \pm 2.0$	$-0.2 \pm 7.0 \pm 1.9$	$+1.0 \pm 4.5 \pm 1.4$	$0.2\sigma$
	(6)	$+14.9 \pm 5.9 \pm 1.4$	$+7.0 \pm 7.0 \pm 1.6$	$+11.6 \pm 4.5 \pm 1.1$	$2.5\sigma$
$D_s^+$	(1)	$-0.3 \pm 3.1 \pm 1.3$	$+1.0 \pm 3.9 \pm 1.1$	$+0.2 \pm 2.4 \pm 0.8$	$0.1\sigma$
	(2)	$+0.6 \pm 3.1 \pm 1.2$	$+2.0 \pm 3.9 \pm 1.4$	$+1.1 \pm 2.4 \pm 0.9$	$0.4\sigma$
	(3)	$+1.5 \pm 3.2 \pm 1.4$	$-2.7 \pm 3.9 \pm 1.7$	$-0.2 \pm 2.5 \pm 1.1$	$0.1\sigma$
	(4)	$-3.7 \pm 3.1 \pm 1.1$	$-6.3 \pm 3.9 \pm 1.2$	$-4.7 \pm 2.4 \pm 0.8$	$1.8\sigma$
	(5)	$-4.4 \pm 3.2 \pm 1.4$	$+0.8 \pm 3.9 \pm 1.4$	$-2.2 \pm 2.5 \pm 1.0$	$0.8\sigma$
	(6)	$-1.6 \pm 3.1 \pm 1.3$	$-0.0 \pm 3.9 \pm 1.7$	$-1.0 \pm 2.4 \pm 1.0$	$0.4\sigma$

Table 3: Systematic uncertainties (absolute) for  $\mathcal{A}_{CP}^X$  in units of  $10^{-3}$  in  $D_{(s)}^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$  decays, where  $X = C_{TP}$  (1),  $C_{QP}$  (2),  $C_{TP}C_{QP}$  (3),  $\cos \theta_{K_S^0} \cos \theta_{K^-}$  (4),  $C_{TP} \cos \theta_{K_S^0} \cos \theta_{K^-}$  (5), and  $C_{QP} \cos \theta_{K_S^0} \cos \theta_{K^-}$  (6).

Source	$D^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ at Belle						$D^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ at Belle II					
	(1)	(2)	(3)	(4)	(5)	(6)	(1)	(2)	(3)	(4)	(5)	(6)
$X$ -dependent efficiency	3.0	2.4	1.9	2.8	1.8	1.4	1.2	2.4	1.1	2.6	1.5	1.3
$X$ -resolution asymmetry	0.2	0.7	0.4	0.7	0.6	0.3	0.7	0.1	0.1	0.9	0.2	0.7
Signal/background PDF	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Simultaneous fit bias	0.2	0.2	0.1	0.2	0.1	0.2	0.2	0.2	0.2	0.1	0.2	0.2
$D_s^+$ feeddown background	0.4	0.3	1.0	0.7	0.1	0.2	1.1	0.4	0.6	1.1	1.2	0.6
Total $\sigma_{\text{syst}}$	3.0	2.5	2.2	3.0	2.0	1.4	1.8	2.4	1.3	3.0	1.9	1.6
Source	$D_s^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ at Belle						$D_s^+ \rightarrow K_S^0 K^- \pi^+ \pi^+$ at Belle II					
	(1)	(2)	(3)	(4)	(5)	(6)	(1)	(2)	(3)	(4)	(5)	(6)
$X$ -dependent efficiency	1.2	1.1	1.4	1.1	1.2	1.3	1.1	1.4	1.7	1.2	1.4	1.6
$X$ -resolution asymmetry	0.6	0.5	0.1	0.2	0.8	0.3	0.2	0.1	0.2	0.0	0.2	0.4
Signal/background PDF	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Simultaneous fit bias	0.1	0.0	0.0	0.1	0.1	0.0	0.1	0.2	0.2	0.3	0.2	0.2
Total $\sigma_{\text{syst}}$	1.3	1.2	1.4	1.1	1.4	1.3	1.1	1.4	1.7	1.2	1.4	1.7

219 difference between MC simulation and data for  $X$ -dependent efficiencies. We evaluate  
 220 this as follows. The asymmetry in detection efficiencies between  $K^+$  and  $K^-$  (denoted  
 221  $A_\varepsilon^K$ ) is measured in data as a function of momentum and polar angle using  $D^0 \rightarrow K^-\pi^+$   
 222 and  $D_s^+ \rightarrow \phi\pi^+$  decays [42], and the asymmetry in detection efficiencies between  $\pi^+$  and  
 223  $\pi^-$  (denoted  $A_\varepsilon^\pi$ ) is measured using  $D^+ \rightarrow K^-\pi^+\pi^+$  and  $D^0 \rightarrow K^-\pi^+\pi^0$  decays [43]. We  
 224 scale MC signal events by weighting factors based on these asymmetries and repeat the  
 225 fits for  $\mathcal{A}_{CP}^X$ . For  $D_{(s)}^+ \rightarrow K_S^0 K^-\pi^+\pi^+$ , the weighting factor is  $(1 - A_\varepsilon^K)(1 + A_\varepsilon^\pi)(1 + A_\varepsilon^\pi)$ ; for  
 226  $D_{(s)}^- \rightarrow K_S^0 K^+\pi^-\pi^-$ , the weighting factor is  $(1 + A_\varepsilon^K)(1 - A_\varepsilon^\pi)(1 - A_\varepsilon^\pi)$ . After fitting these  
 227 reweighted events, the resulting change in  $\mathcal{A}_{CP}^X$  is included in the systematic uncertainty  
 228 for  $X$ -dependent efficiency. This contribution is very small ( $< 5 \times 10^{-4}$ ).

229 We consider the effect of a difference in  $X$  resolution between positive and negative  
 230 values. If such a difference existed, then a different number of events could shift from  
 231  $-X$  to  $+X$  values than from  $+X$  to  $-X$ , thus corrupting the  $A_X$  asymmetry. From  
 232 MC simulation, we find that the net fraction of signal decays changing sign in  $X$  is very  
 233 small, less than 0.1%. To evaluate the effect quantitatively, we study the  $X$  resolution for  
 234 different ranges of  $X$ . We find the resolution in  $X$  to depend on  $X$  but be well-described  
 235 by a parabola symmetric about  $X = 0$ . The statistical error on this asymmetry is used  
 236 to generate MC samples with asymmetric resolution; these samples are then fitted and  
 237 the resulting change in  $\mathcal{A}_{CP}^X$  is taken as the systematic uncertainty due to a possible  
 238 asymmetry in  $X$  resolution.

239 The uncertainty due to fixed PDF shape parameters is evaluated by varying these  
 240 parameters by their uncertainties and repeating the fit. We sample all parameters si-  
 241 multaneously from Gaussian distributions having widths equal to their uncertainties, and  
 242 repeat this sampling and subsequent fitting 1000 times. During this sampling, correla-  
 243 tions among the sampled parameters are accounted for. The 1000 fitted values for  $\mathcal{A}_{CP}^X$   
 244 are recorded, and the root-mean-square (r.m.s.) of this distribution is taken as the sys-  
 245 tematic uncertainty due to signal and background PDF shapes. These r.m.s. values are  
 246 tiny, less than  $2 \times 10^{-5}$ .

247 To assess possible small bias in our fitting procedure, we fit a large sample of “toy”  
 248 MC events that are generated by sampling from the PDFs used to fit the data. The  
 249 number of generated events for  $\pm X$  and  $\pm \bar{X}$  vary: they are calculated for different input  
 250 values of  $\mathcal{A}_{CP}^X$ , which range from  $-0.015$  to  $0.015$ . For each input value, an ensemble  
 251 of MC events is generated. These ensembles are fitted, and the mean value of the  $\mathcal{A}_{CP}^X$   
 252 results is calculated. Plotting these mean values against the input values shows a linear  
 253 dependence. Fitting these points to a line gives a slope consistent with unity and an  
 254 intercept consistent with zero. We assign the difference between our measured value  
 255 and the input value corresponding to the measured value (as given by the fitted line) as  
 256 a systematic uncertainty due to possible fit bias. The uncertainty on the difference is  
 257 included in this calculation.

258 For the  $D^+ \rightarrow K_S^0 K^-\pi^+\pi^+$  decay mode, we consider the effect of possible background  
 259 from  $D_s^+ \rightarrow K_S^0 K^-\pi^+\pi^+\pi^0$ , with the  $\pi^0$  missed. This five-body decay is unmeasured, and  
 260 thus to evaluate the effect of this background, we include a component for it in our fit. We  
 261 take the shape of its PDF from MC simulation and float its yield. The difference between  
 262 the resulting value of  $\mathcal{A}_{CP}^X$  and our nominal result is taken as a systematic uncertainty.

263 Combining all systematic uncertainties in quadrature gives the total systematic uncer-

264 tainties listed in table 3. These total uncertainties are also included in table 2. For all  $\mathcal{A}_{CP}^X$   
 265 measurements, the total systematic uncertainties are notably less than the corresponding  
 266 statistical uncertainties.

## 267 7 Combined result and summary

268 Table 2 lists our results for the six asymmetries  $\mathcal{A}_{CP}^X$ , for both Belle and Belle II. We  
 269 combine the results from the two data sets using the formulae

$$270 \quad \mathcal{A}_{CP}^X = \frac{\mathcal{A}_{CP}^{B1}/\sigma_{B1}^2 + \mathcal{A}_{CP}^{B2}/\sigma_{B2}^2}{1/\sigma_{B1}^2 + 1/\sigma_{B2}^2} \quad (10)$$

$$271 \quad \sigma_{\mathcal{A}_{CP}^X} = \frac{1}{\sqrt{1/\sigma_{B1}^2 + 1/\sigma_{B2}^2}}, \quad (11)$$

273 where  $\sigma_{B1}$  and  $\sigma_{B2}$  represent the total uncertainties (sum in quadrature of statistical and  
 274 systematic uncertainties) for Belle and Belle II measurements, respectively. The combined  
 275 results are also listed in table 2; the resulting uncertainties are 0.5% for  $D^+$  decays and  
 276 less than 0.3% for  $D_s^+$  decays. We calculate the significance of the combined results from  
 277 the null hypothesis ( $\mathcal{A}_{CP}^X = 0$ ) by dividing the central values by their total uncertainties.  
 278 These significances are listed in the right-most column of table 2 and are mostly less  
 279 than  $1\sigma$ . The largest significance (for  $D^+ X = C_{QP} \cos \theta_{K_S^0} \cos \theta_{K^-}$ ) is  $2.5\sigma$ , which for 12  
 280 measurements is plausible as a statistical fluctuation.

281 In summary, we have performed a first search for  $CP$  violation in four-body  $D_{(s)}^+ \rightarrow$   
 282  $K_S^0 K^- \pi^+ \pi^+$  decays. We use both Belle and Belle II datasets corresponding to a total  
 283 integrated luminosity of  $1407 \text{ fb}^{-1}$ . We have measured  $CP$  asymmetries for six different  
 284 observables consisting of the triple product  $C_{TP}$ , the quadruple product  $C_{QP}$ , the product  
 285  $C_{TP}C_{QP}$ , the product of the cosines of helicity angles  $\theta_{K_S^0}$  and  $\theta_{K^-}$ , and the products of  $C_{TP}$   
 286 and  $C_{QP}$  with the product  $\cos \theta_{K_S^0} \cos \theta_{K^-}$ . All results are listed in table 2. No evidence  
 287 for  $CP$  violation is found. These results represent the world's most precise measurements  
 288 of the triple-product asymmetry for  $D_s^+$  decays and for SCS  $D^+$  decays, and the first use  
 289 of the other  $\mathcal{A}_{CP}^X$  asymmetries to search for  $CP$  violation in the charm sector.

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## 467 **A Simultaneously fitted distributions for $D_{(s)}^+$ data**

468 The fitted  $M(D)$  distributions for Belle data along with projections of the fit result are  
469 shown in figures 4 ( $D^+$ ) and 5 ( $D_s^+$ ). The corresponding distributions for Belle II data  
470 are shown in figures 6 ( $D^+$ ) and 7 ( $D_s^+$ ).

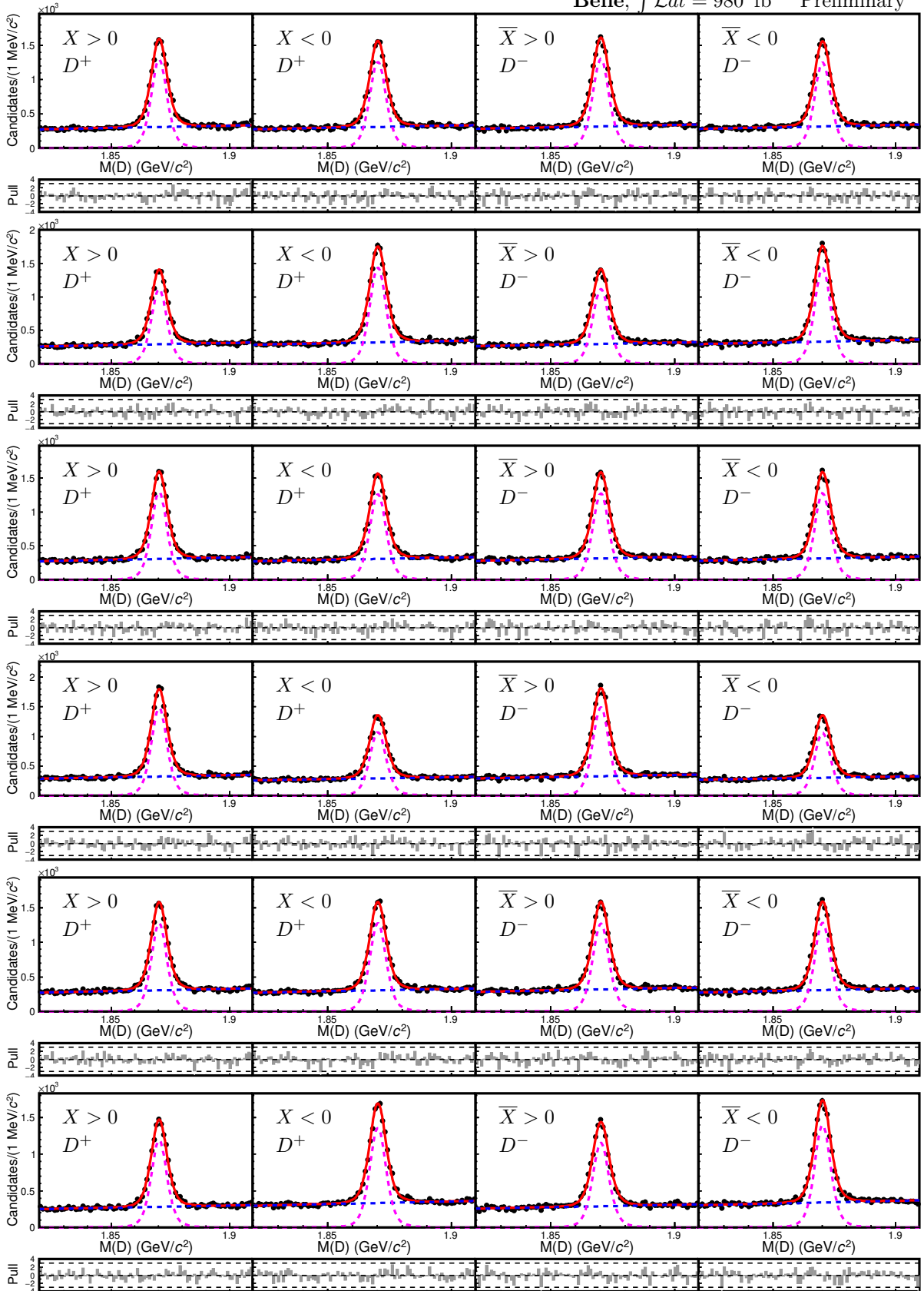


Figure 4: Fitted distributions for Belle  $D^\pm \rightarrow K_S^0 K^\mp \pi^\pm \pi^\pm$  data, for (top to bottom)  $X = C_{\text{TP}}, C_{\text{QP}}, C_{\text{TP}}C_{\text{QP}}, \cos \theta_{K_S^0} \cos \theta_{K^-}, C_{\text{TP}} \cos \theta_{K_S^0} \cos \theta_{K^-},$  and  $C_{\text{QP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ . The red dashed curves show the fitted signal, and the blue dash-dotted curves show the fitted background. The smaller plots show the pull distributions.

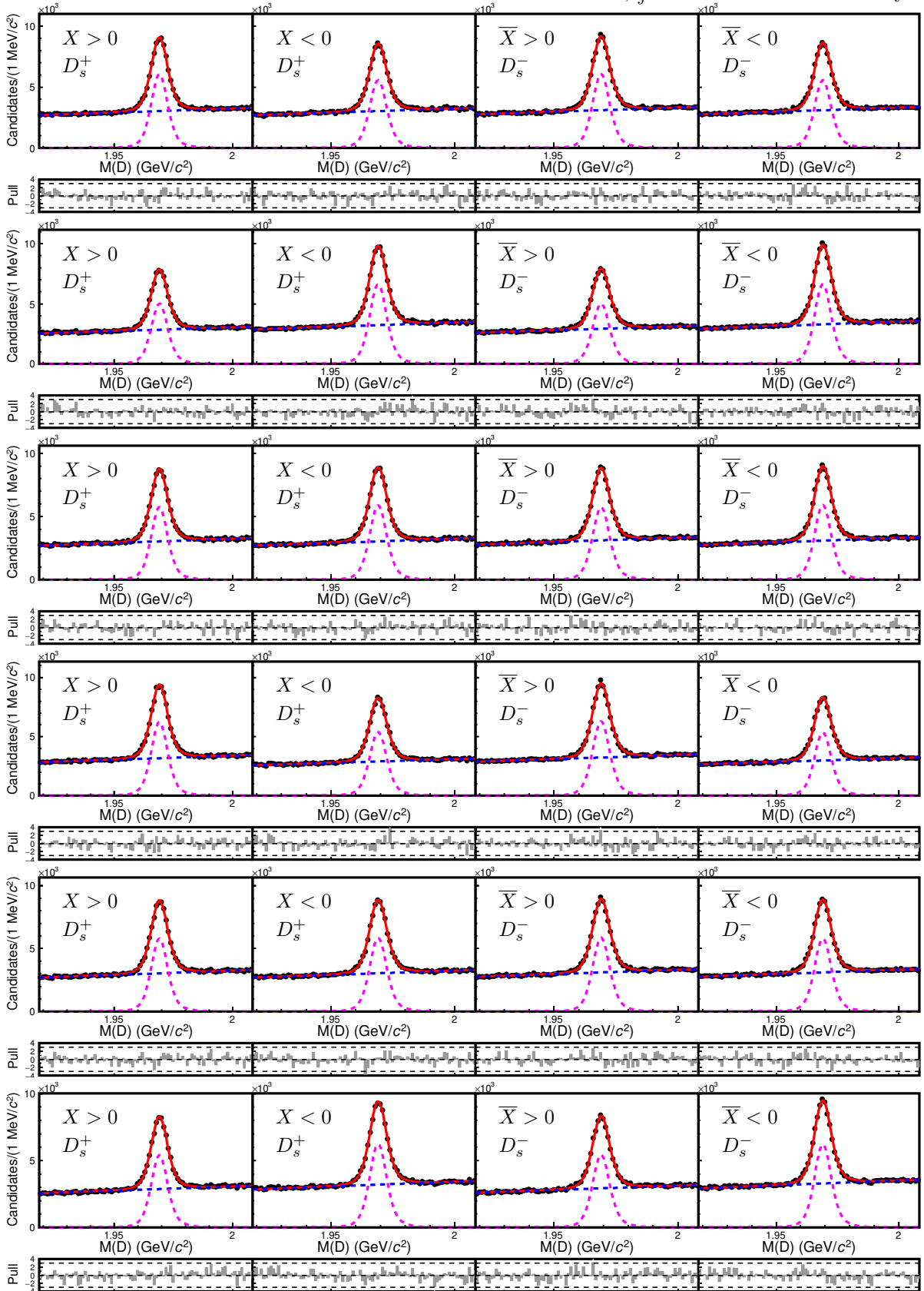


Figure 5: Fitted distributions for Belle  $D_s^\pm \rightarrow K_S^0 K^\mp \pi^\pm \pi^\pm$  data, for (top to bottom)  $X = C_{\text{TP}}, C_{\text{QP}}, C_{\text{TP}}C_{\text{QP}}, \cos\theta_{K_S^0} \cos\theta_{K^-}, C_{\text{TP}} \cos\theta_{K_S^0} \cos\theta_{K^-}$ , and  $C_{\text{QP}} \cos\theta_{K_S^0} \cos\theta_{K^-}$ . The red dashed curves show the fitted signal, and the blue dash-dotted curves show the fitted background. The smaller plots show the pull distributions.

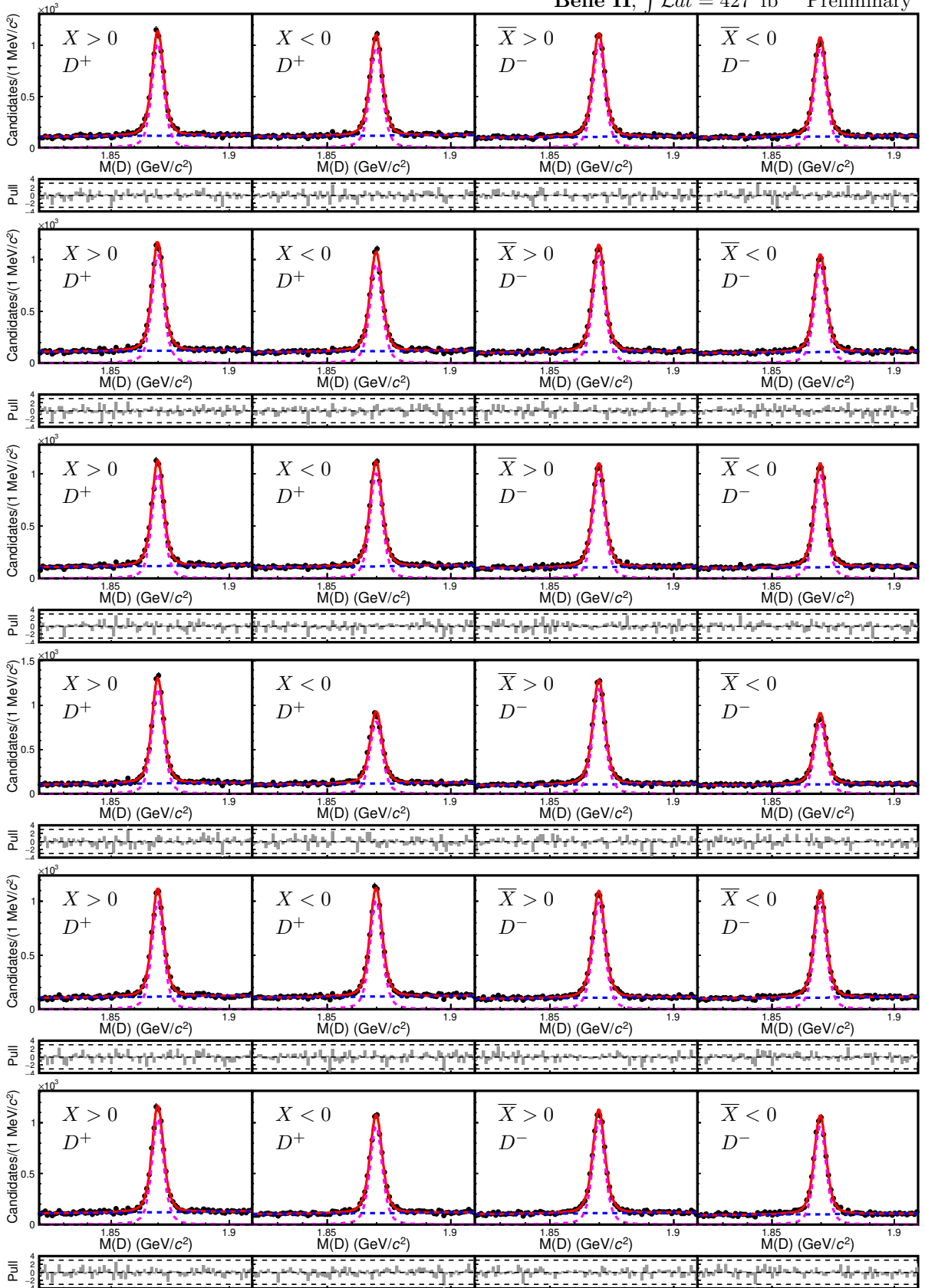


Figure 6: Fitted distributions for Belle II  $D^\pm \rightarrow K_S^0 K^\mp \pi^\pm \pi^\pm$  data, for (top to bottom)  $X = C_{\text{TP}}, C_{\text{QP}}, C_{\text{TP}}C_{\text{QP}}, \cos \theta_{K_S^0} \cos \theta_{K^-}, C_{\text{TP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ , and  $C_{\text{QP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ . The red dashed curves show the fitted signal, and the blue dash-dotted curves show the fitted background. The smaller plots show the pull distributions.

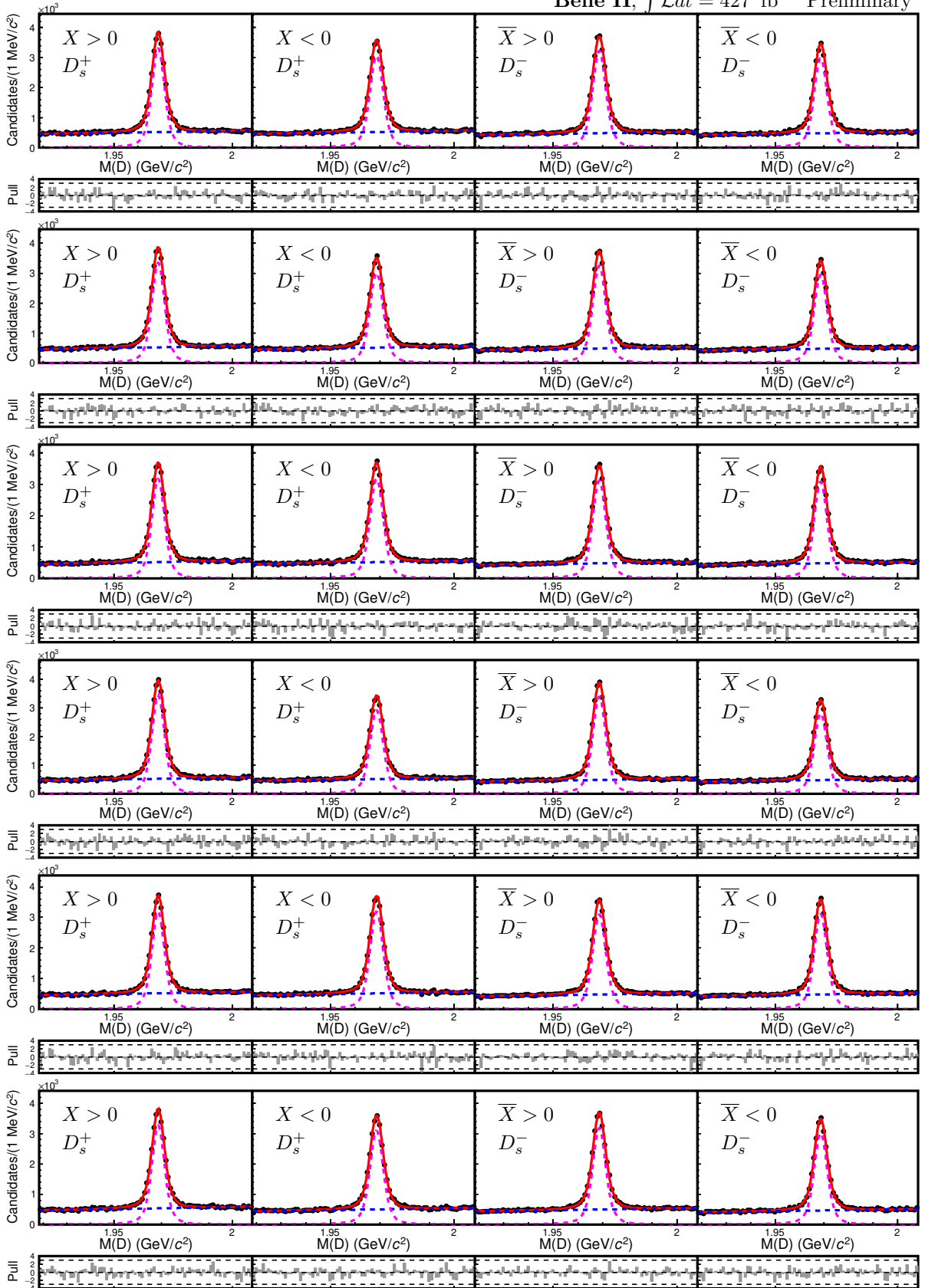


Figure 7: Fitted distributions for Belle II  $D_s^\pm \rightarrow K_S^0 K^\mp \pi^\pm \pi^\pm$  data, for (top to bottom)  $X = C_{\text{TP}}, C_{\text{QP}}, C_{\text{TP}}C_{\text{QP}}, \cos \theta_{K_S^0} \cos \theta_{K^-}, C_{\text{TP}} \cos \theta_{K_S^0} \cos \theta_{K^-},$  and  $C_{\text{QP}} \cos \theta_{K_S^0} \cos \theta_{K^-}$ . The red dashed curves show the fitted signal, and the blue dash-dotted curves show the fitted background. The smaller plots show the pull distributions.