

# The Upgrade of the Belle II experiment at SuperKEKB

**22nd Conference  
on Flavor Physics and  
CP violation  
FPCP**

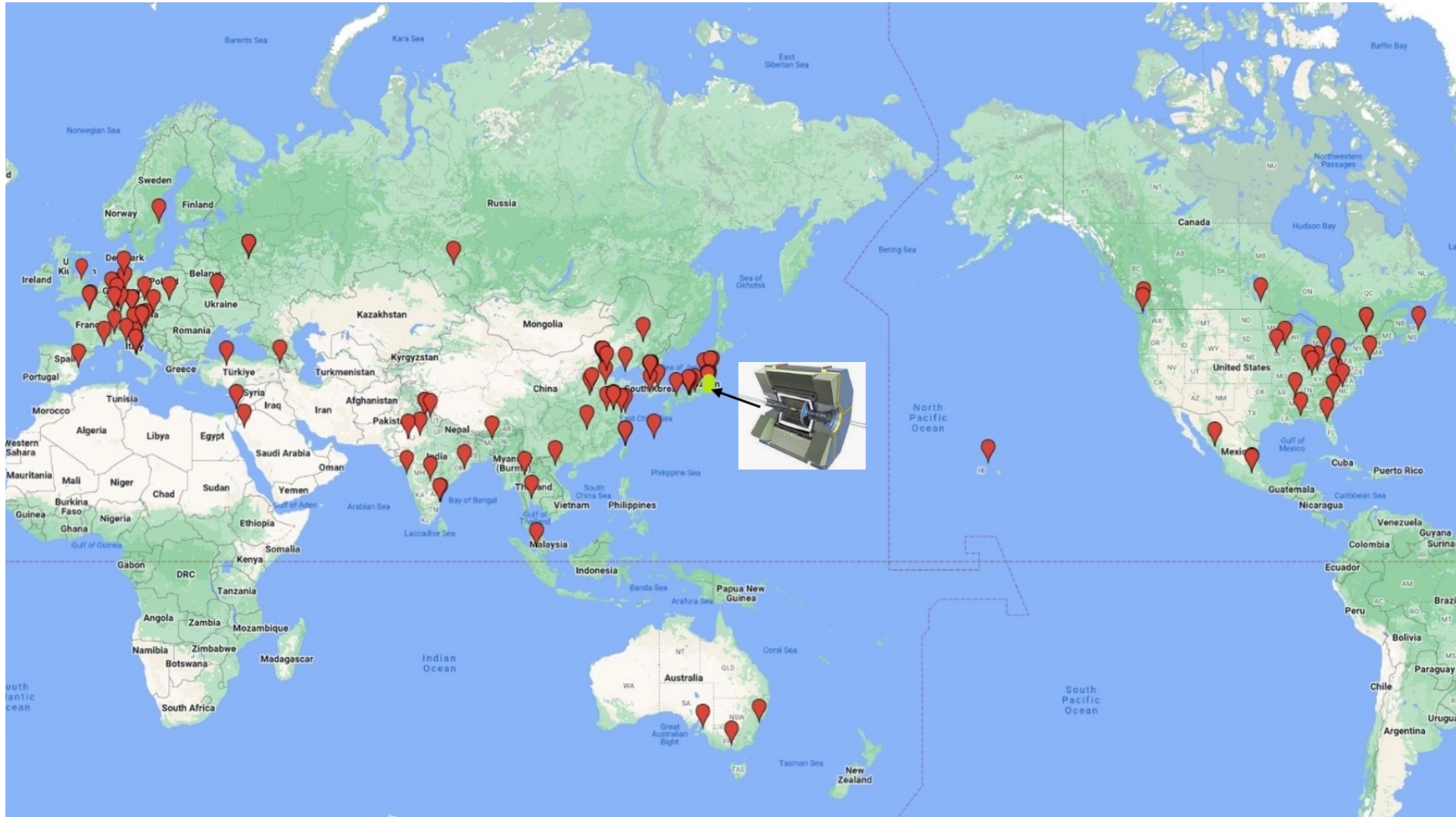
**Bangkok - May 27-31 2024**



**Claudia Cecchi  
on behalf of the Belle II Collaboration  
Università degli Studi di Perugia & INFN-PG**



# The Belle II Collaboration

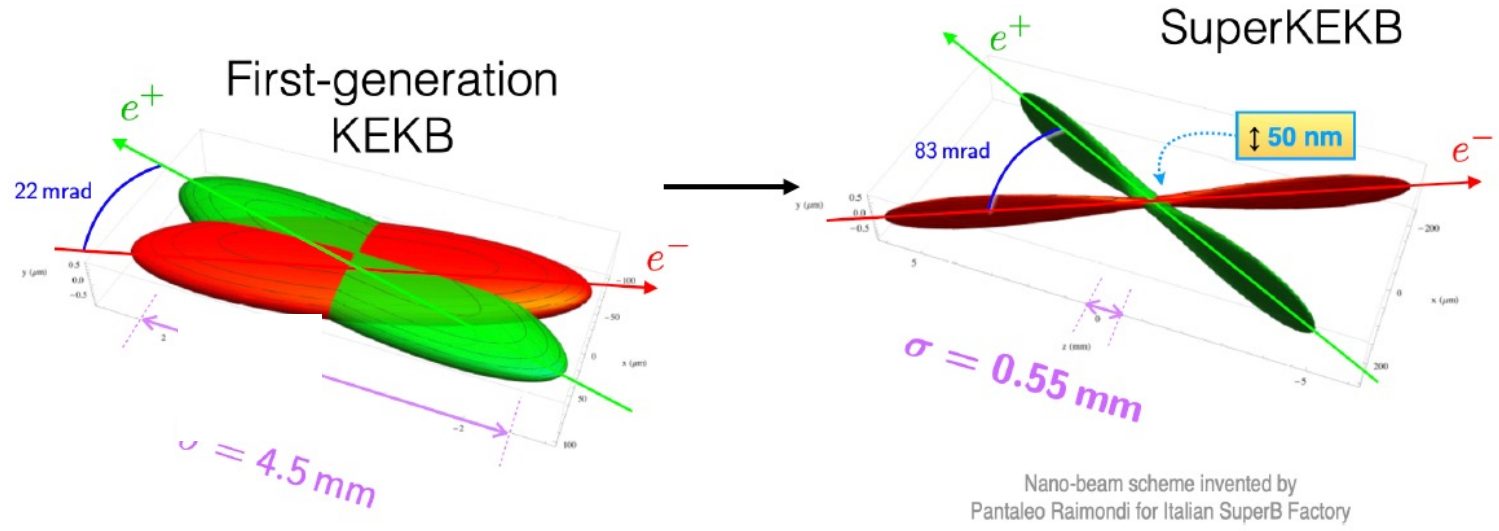


~1200 physicist and engineers from 122 institutions in 28 countries/regions

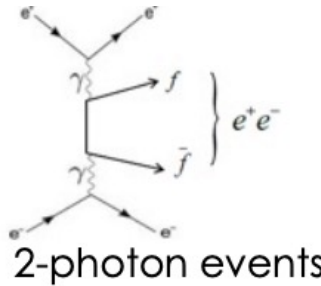
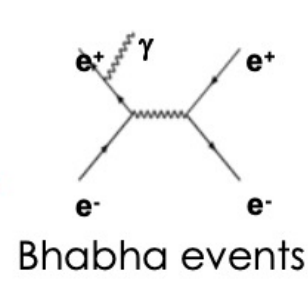
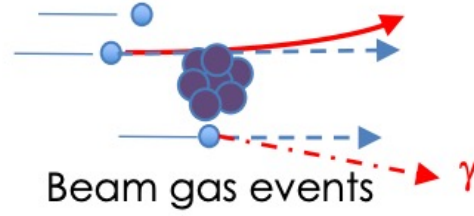
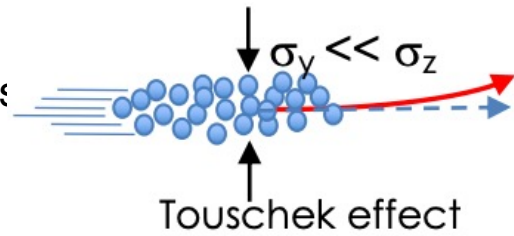
# The SuperKEKB

The **Intensity Frontier**: search for rare new phenomena using medium energy high-luminosity machines

1. High luminosity accelerator SuperKEKB
2. High-resolution and large-coverage detector Belle II
3. Ultimate goal:  $50 \text{ ab}^{-1}$  by operating at  $6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$



Induces parasitic particles → **beam backgrounds**



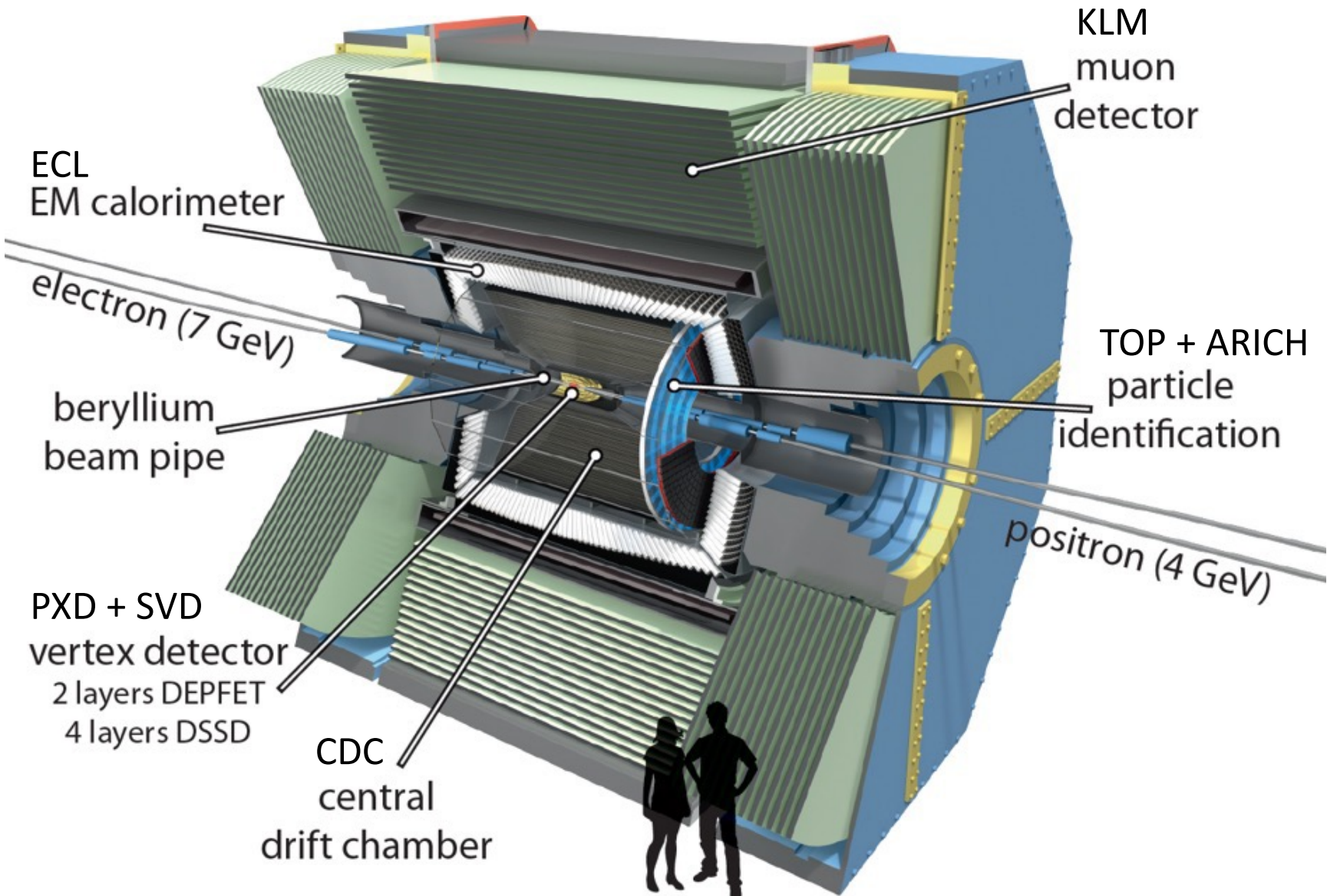
## Constraints on detector

- Low boost ( $\beta \simeq .28$ ) → Better vertexing
  - High trigger rate
  - High background rate
- } → Faster detectors

- Significant upgrade of the KEKB accelerator to achieve 30x instantaneous luminosity and **multi-ab<sup>-1</sup> sample**
- In the nominal configuration:
  - x1.5 by **increasing beam currents**
  - x20 by **nano-beam scheme**

$$L = \frac{N_+ N_- n_b f_0}{4\pi \sigma_{x,\text{eff}}^* \sqrt{\epsilon_y \beta_y^*}}$$

# The Belle II Detector



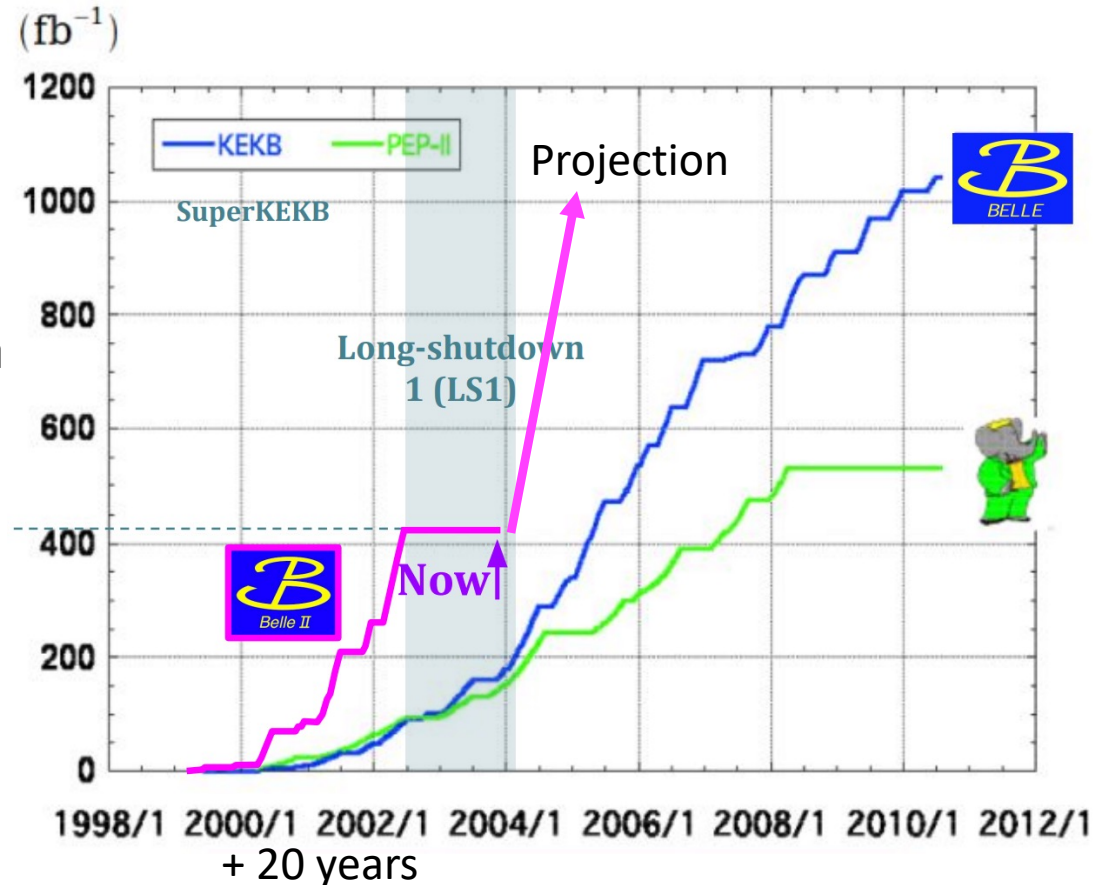
# Luminosity status

World record luminosity  $4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

LS1 completed

- installation of the complete 2-layer **pixel detector** and other detector works
- improvements on **accelerator** side to reach higher luminosities and mitigate machine background

Integrated luminosity  
~430 fb<sup>-1</sup>

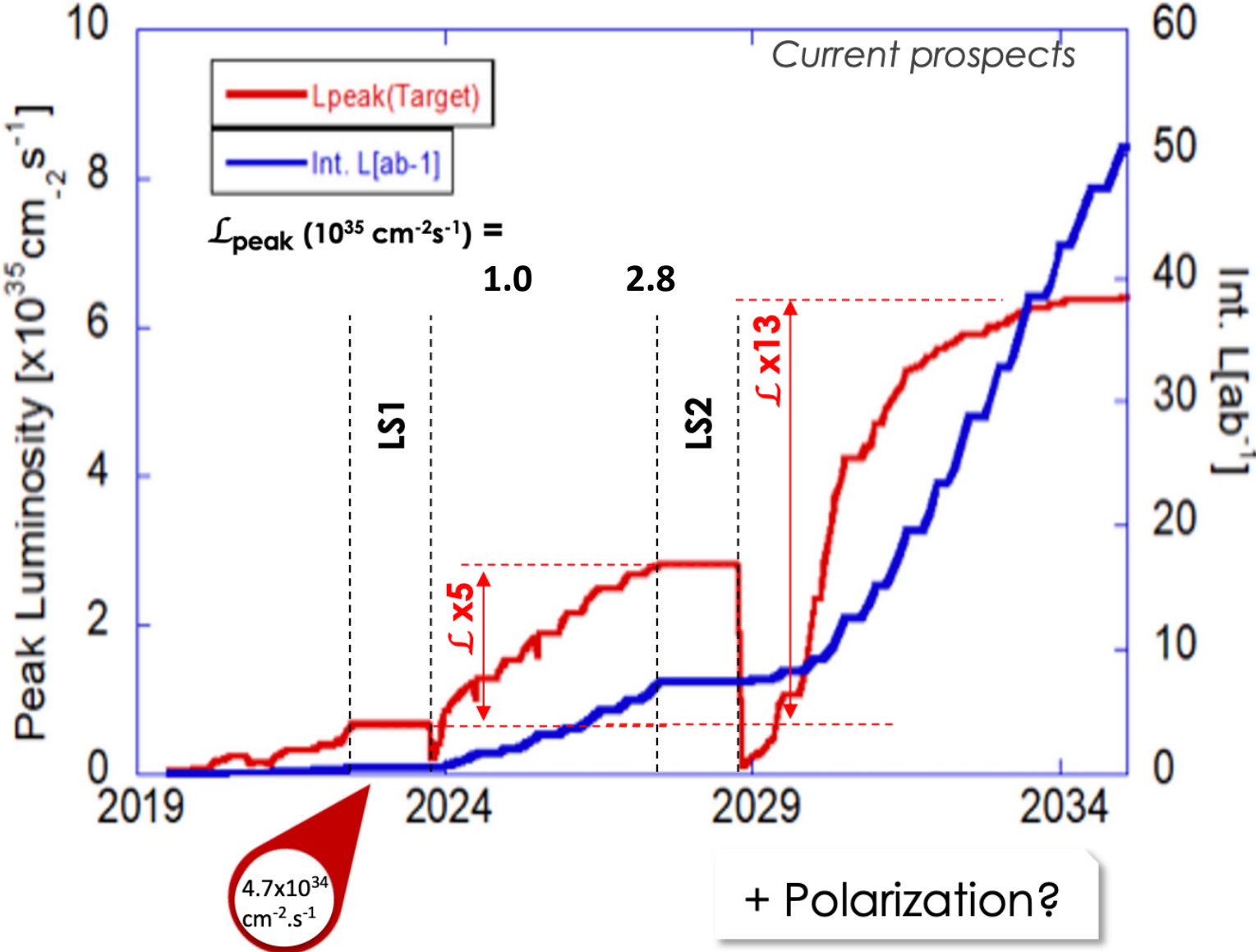


**> 1 ab<sup>-1</sup>**  
**On resonance:**  
 Y(5S): 121 fb<sup>-1</sup>  
 Y(4S): 711 fb<sup>-1</sup>  
 Y(3S): 3 fb<sup>-1</sup>  
 Y(2S): 25 fb<sup>-1</sup>  
 Y(1S): 6 fb<sup>-1</sup>  
**Off reson./scan:**  
 ~ 100 fb<sup>-1</sup>

**~ 550 fb<sup>-1</sup>**  
**On resonance:**  
 Y(4S): 433 fb<sup>-1</sup>  
 Y(3S): 30 fb<sup>-1</sup>  
 Y(2S): 14 fb<sup>-1</sup>  
**Off resonance:**  
 ~ 54 fb<sup>-1</sup>

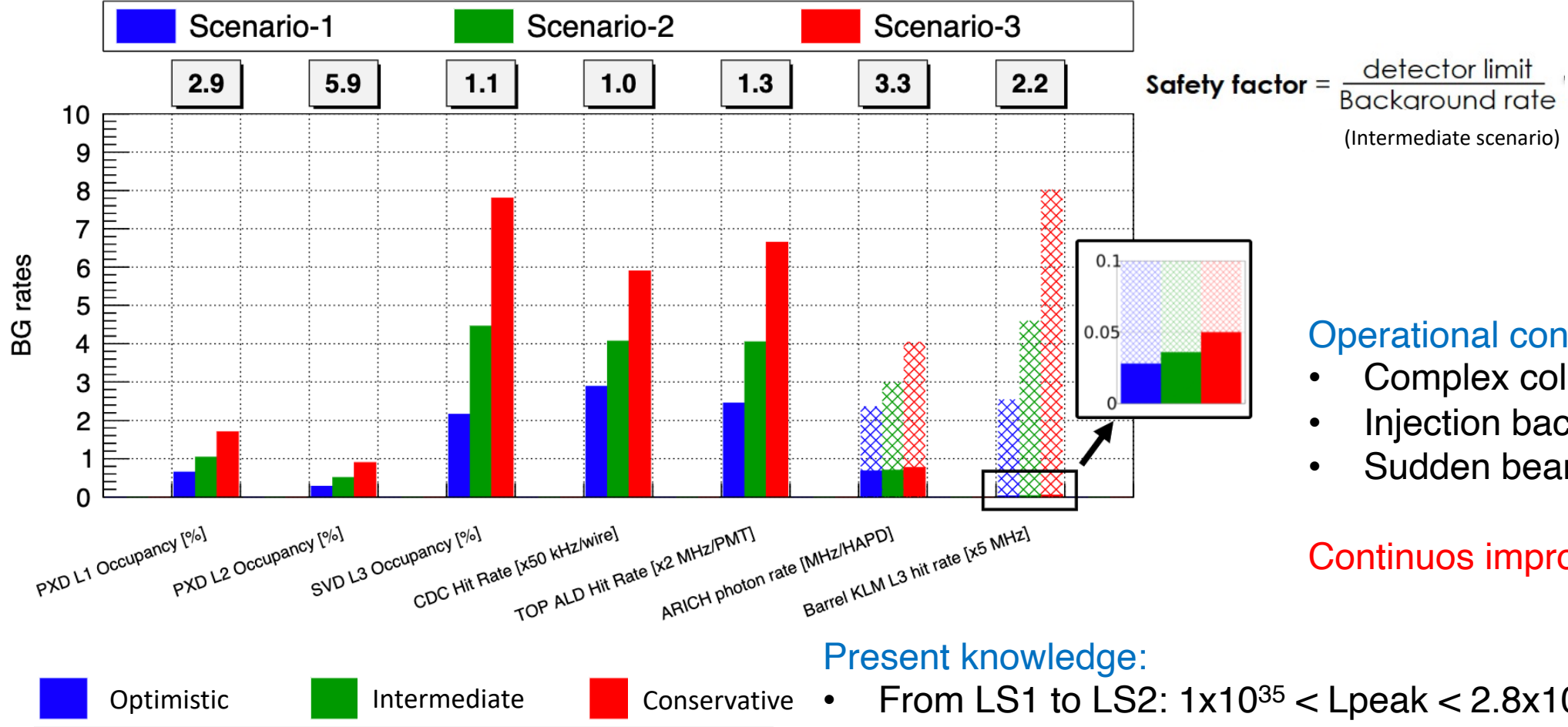
# Projected luminosity

- 120-fold increase in **integrated luminosity** ( $0.4 \rightarrow 50 \text{ ab}^{-1}$ )
- 13-fold increase in **instantaneous luminosity** ( $0.5 \rightarrow 6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ )
- **Get the luminosity higher**
  - SuperKEKB improvements in LS1
    - Mitigate various background sources
  - SuperKEKB upgrade in LS2
    - Large impact on Interaction Region (IR) to reach  $2.8 \times 6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$  before LS2
- **Cope with higher background**
- **Get more physics per  $\text{ab}^{-1}$**
- **Big challenge both for Accelerator and Detector**



Projected luminosity for SuperKEKB

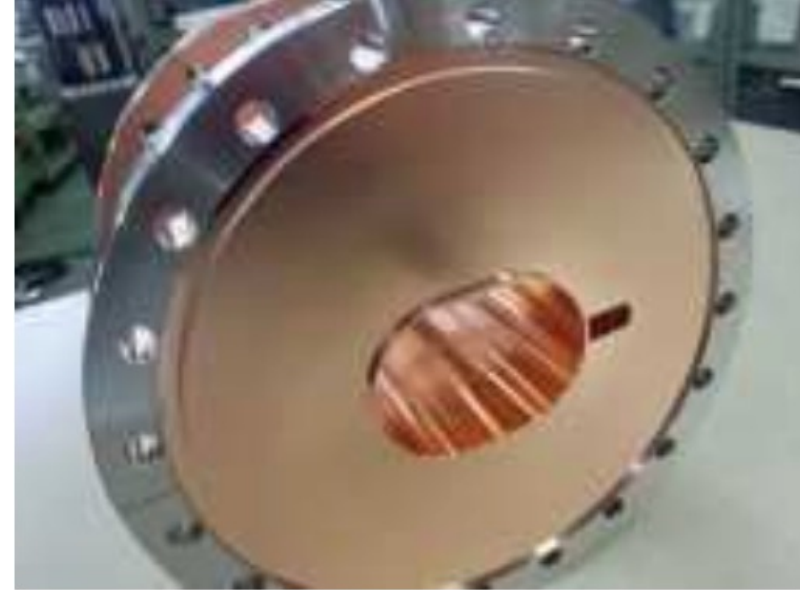
# Luminosity vs Beam Background



# Long Shutdown1 (LS1)

Started in July 2022 –  
motivated by the installation of the completed PXD

- Machine consolidation
  - Counteracts against sudden beam loss
    - Real time monitoring
    - Faster abort system
  - Collimator head should survive severe beam loss
    - Harder head material, better resistance
    - NLC for background mitigation
  - Improved neutron shielding
    - Around final focus magnets (QCS)
    - Around endcaps
  - RF cavity replacement
    - More stable operation
    - Higher currents
  - Injection → higher efficiency and mitigated background
    - Faster kicker magnet
    - New quadrupole focusing magnet
    - New large aperture beam pipe
  - Operations restarted in January 2024



Larger pipe injection

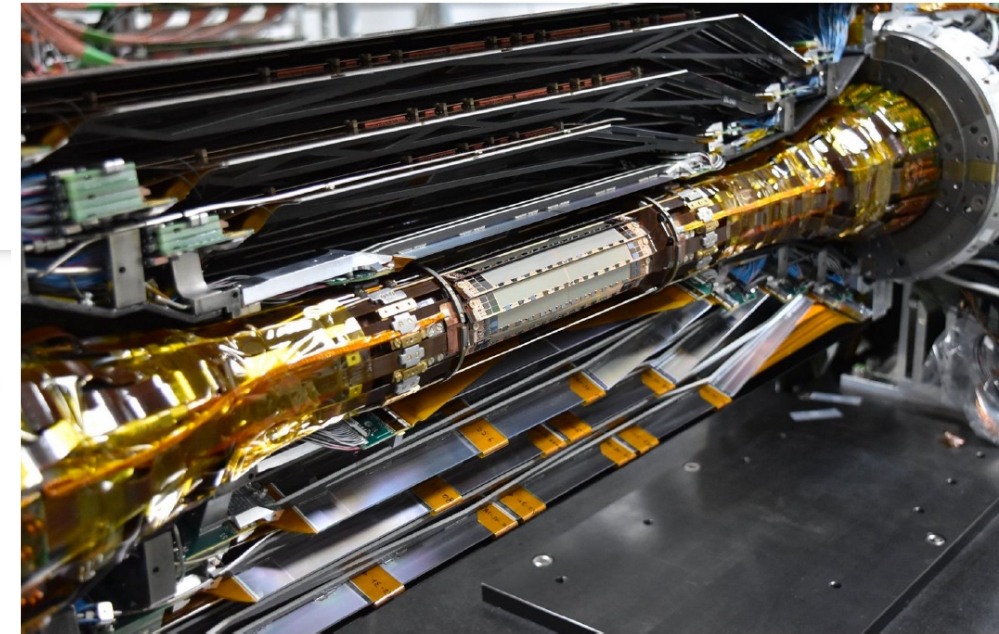
Carbon collimator head



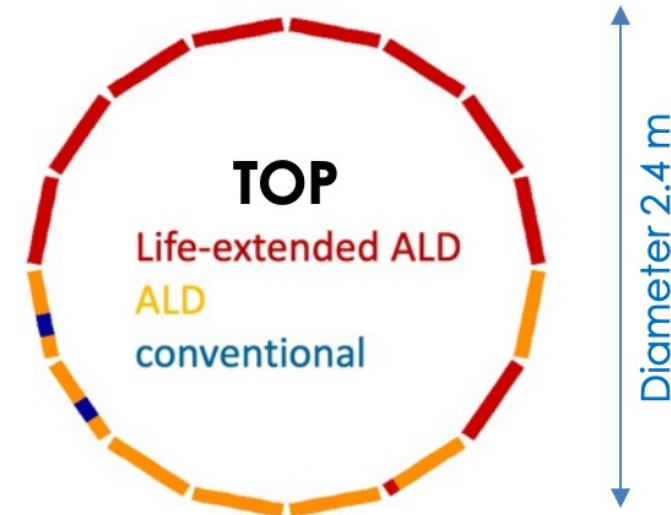


# Long Shutdown1 (LS1)

- Detector upgrade



- Installation of complete pixel detector
  - 2 new complete layers of DEPFET sensors (second layer was 17% complete)
- Replacement of ~50% of TOP to Life Extended Atomic Layer Deposition (ALD) MCP-PMTs
  - Increased lifespan & hit rate limit (3 → 5 MHz/cm<sup>2</sup>)
- Improved CDC gas distribution and monitoring system
  - Better gain stability
- DAQ system upgrade to PCIe40 for all subsystem
  - But PXD (specific data path)



# Belle II Upgrade schedule from LS2

Longer term Upgrades.  
Behind LS2

ECL: replace crystals with pure CsI;  
APD readout; add pre-shower detector.

IR: accommodate QCS  
replacement and repositioning

VXD: all pixels  
DMAPS

CDC: replace r/o ASIC+FPGA  
New tracker (pixels, gas)

KLM: replace RPCs with scintillators  
in barrel (some with fast timing for  $K_L$   
time-of-flight); replace readout

TOP: replace readout to  
reduce size & power; replace  
all PMTs with extended-  
lifetime ALDs (or SiPMs?)

ARICH: possible  
photosensor upgrade

TRIGGER: replace with latest  
tech to increase bandwidth,  
allow for new trigger primitives

More distant future: ~mid-2030's  
✓ Detector R&D for extreme- $\mathcal{L}$  environment

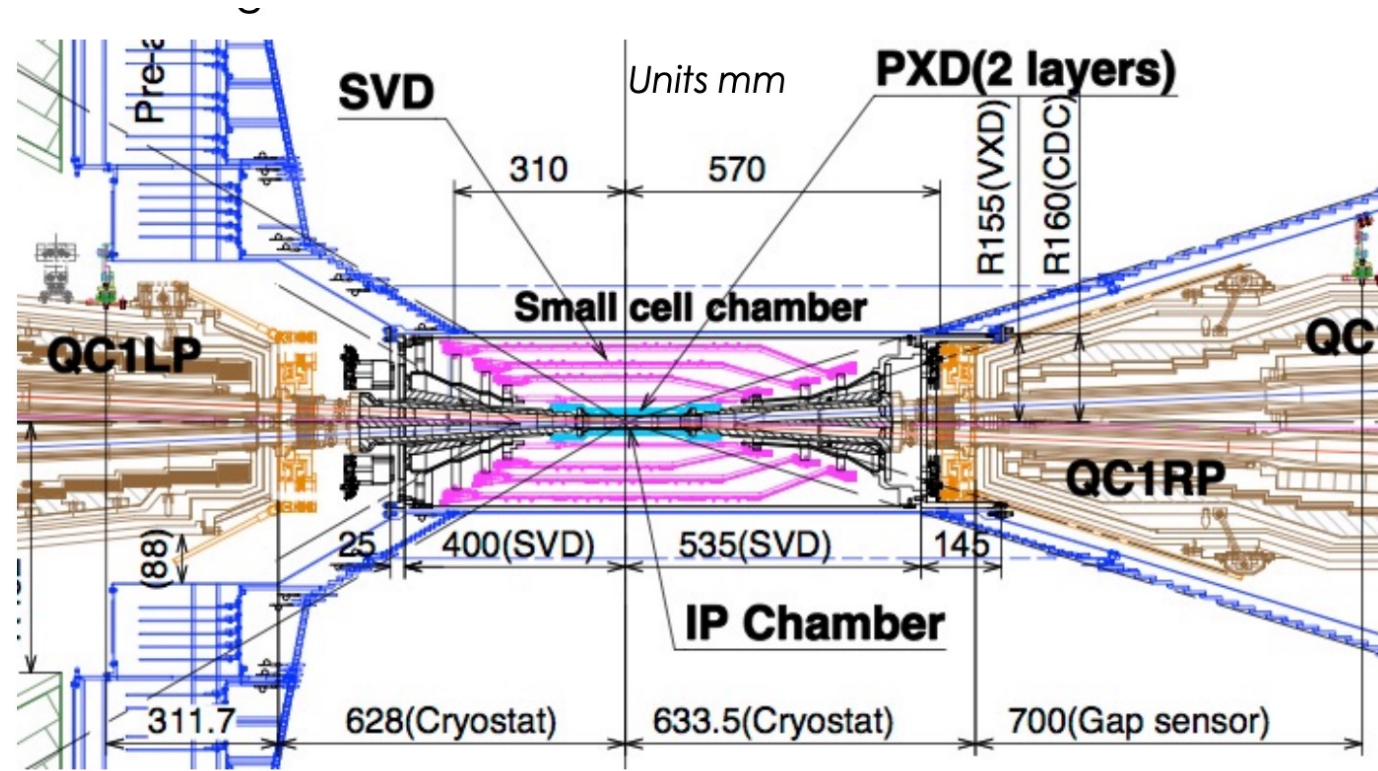
# Machine Upgrade hypothesis for LS2

GOAL: higher luminosity while limiting beam beam effects & preserving beam lifetime

A major redesign of the Interaction Region (IR) may be required to reach  $6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$

IR has various options:

- Position of final focusing magnets (QC) closer to IP
- New QC magnets
- Additional solenoid for lower emittance while compensating Belle II field
- Need feed-back from 2024 beam operation
- **Belle II envelope in interaction region still under study → schedule for LS2 is indicative**



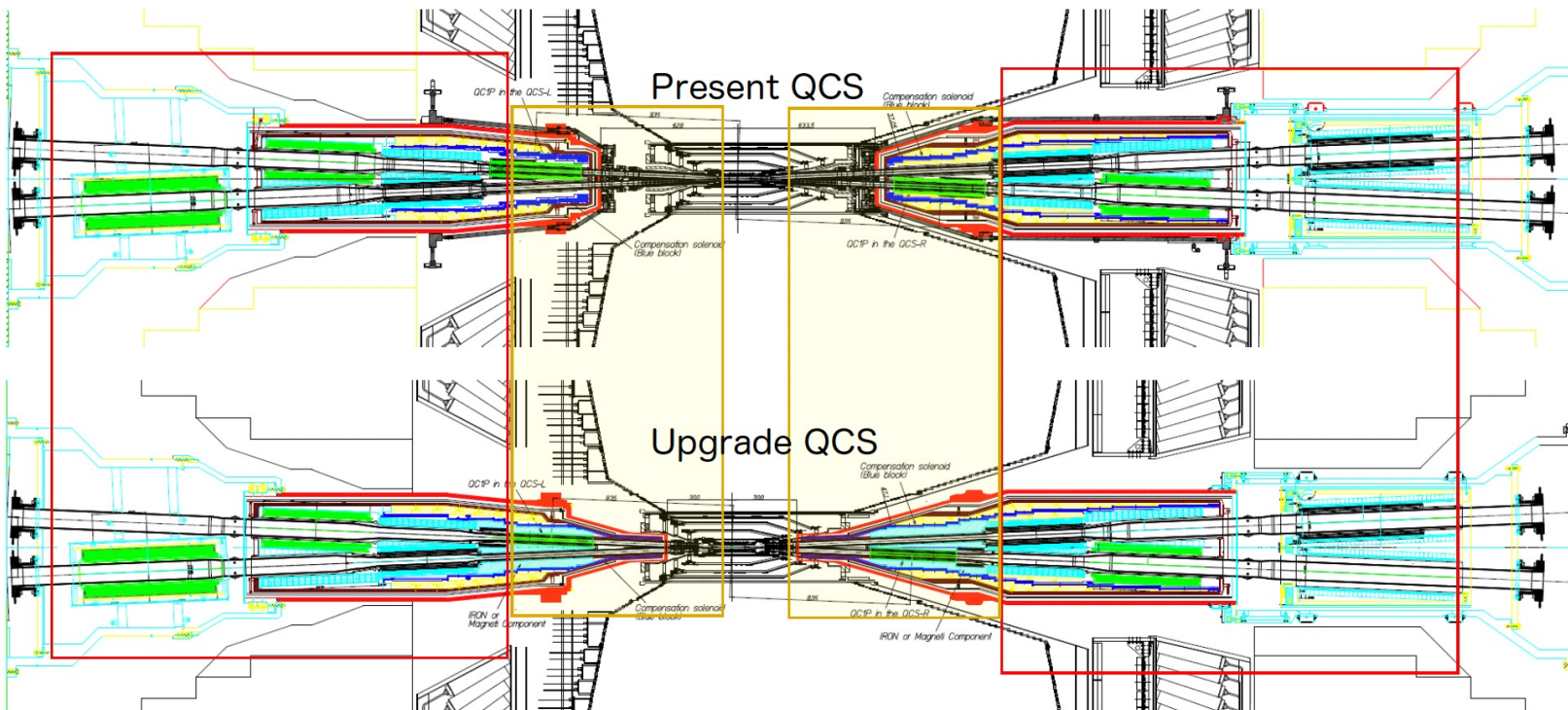
# Interaction Region Upgrade

## Motivations:

- Limit beam-beam effects, preserve beam lifetime

Different scenarios are under investigation

- 1) Moderate scale modification: new QC1 with larger physical aperture closer to the IP - keeping the boundary as is
  - R&D on Nb<sub>3</sub>Sn quadrupole magnet
- 2) Larger scale modification (in addition to 1) - requires detector modification
  - Optical evaluation of the anti solenoid field profile
  - R&D on Nb<sub>3</sub>Sn thin solenoid
  - New cryogenic system for anti solenoid coils



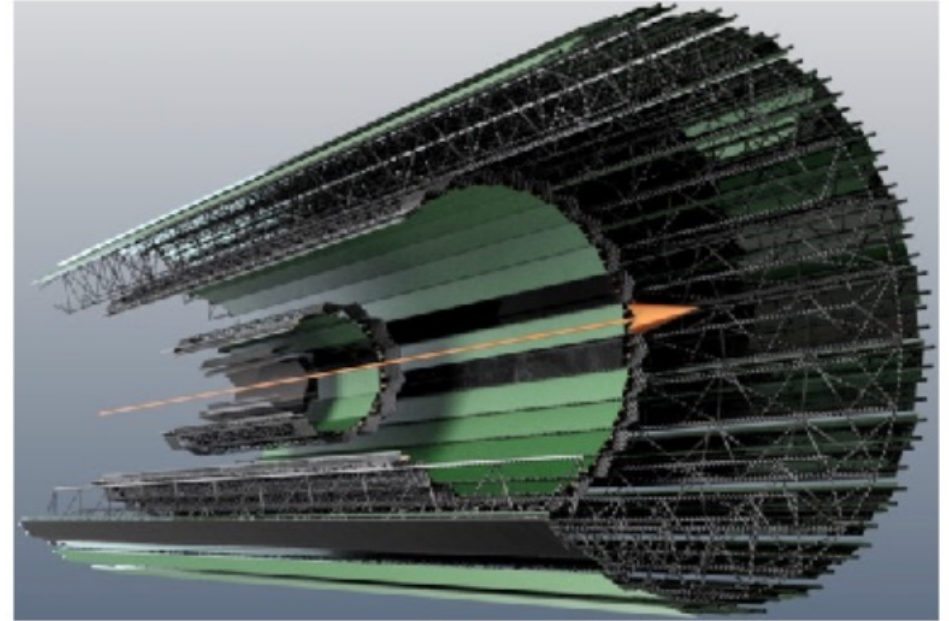
# VXD Upgrade requirements → new VTX

## Motivations:

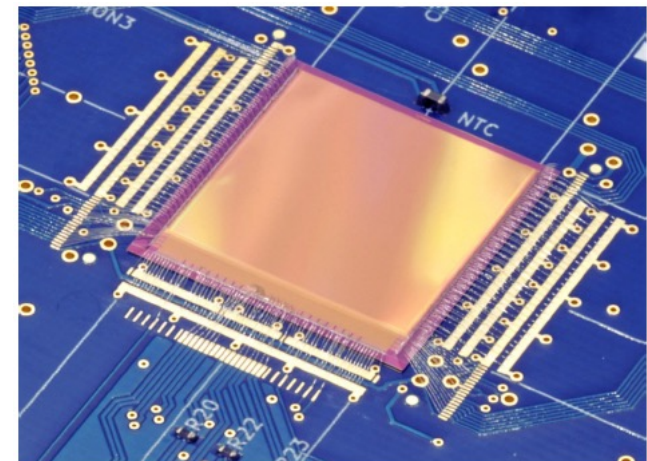
- Cope with larger background rates
- Improve momentum and impact parameter resolution at low  $p_T$
- Simplify vertex system (pixels + strips → pixels)
- Operation without data reduction
- Be safe in case of accident

## Concept:

- 5 layers with high space-time granularity & low material budget
  - Robustness against high radiation environment (innermost layer) - occupancy  $< \mathcal{O}(10^{-4})$
  - Higher vertexing precision
- Lighter services and simpler design
  - adaptable to potential change of interaction region



Max radius 14 cm & length 70 cm → 1 m<sup>2</sup>

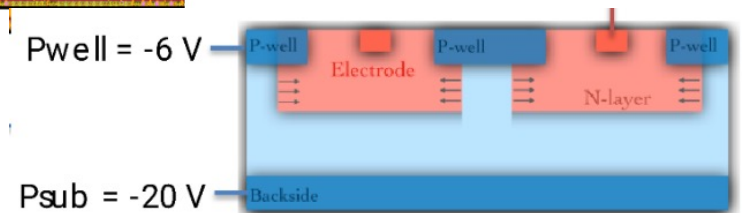
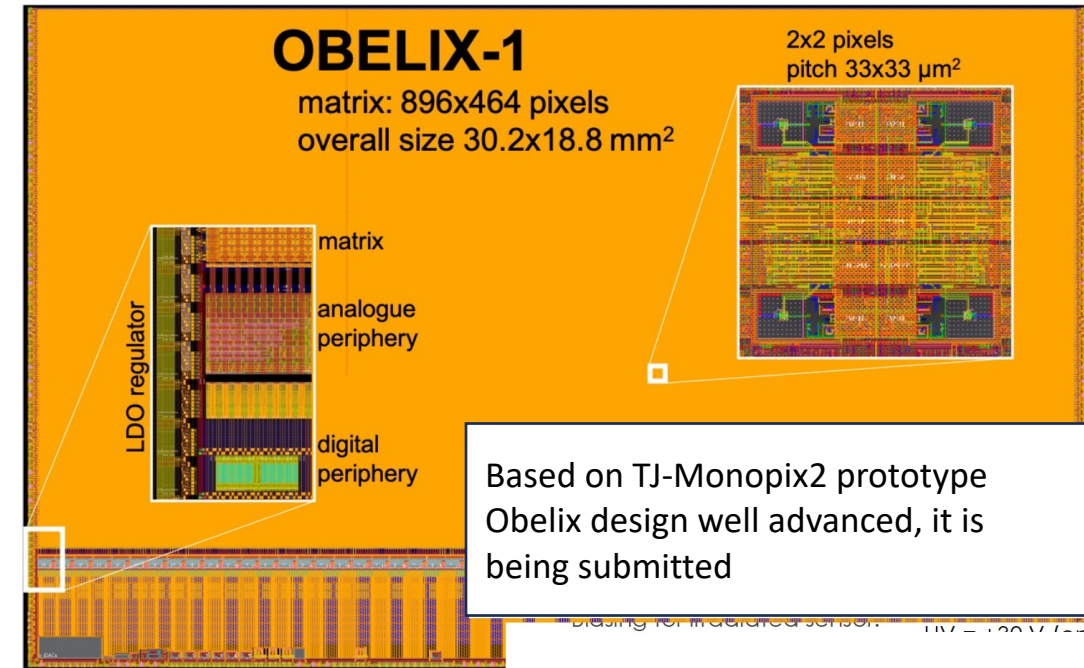


# VTX Upgrade Specifications

- Depleted monolithic active CMOS pixels
- Sensitive layer thickness  $< 30 \mu\text{m}$  ( $\sim 2500e$  from MIPs vs. 200-250e threshold)
- Sensor thickness  $< 50 \mu\text{m}$
- iVTX: innermost 2 layers, self-supported, cooling under study
- oVTX: outer 3 layers, CF structure, single-phase coolant
- Prototype (TJMonopix2, developed for ATLAS) has largely met these specifications, including irradiation tests
- New OBELIX DMAPS sensor, targeting Belle II specific application, now in final design phase

## OBELIX-1 specifications & layout

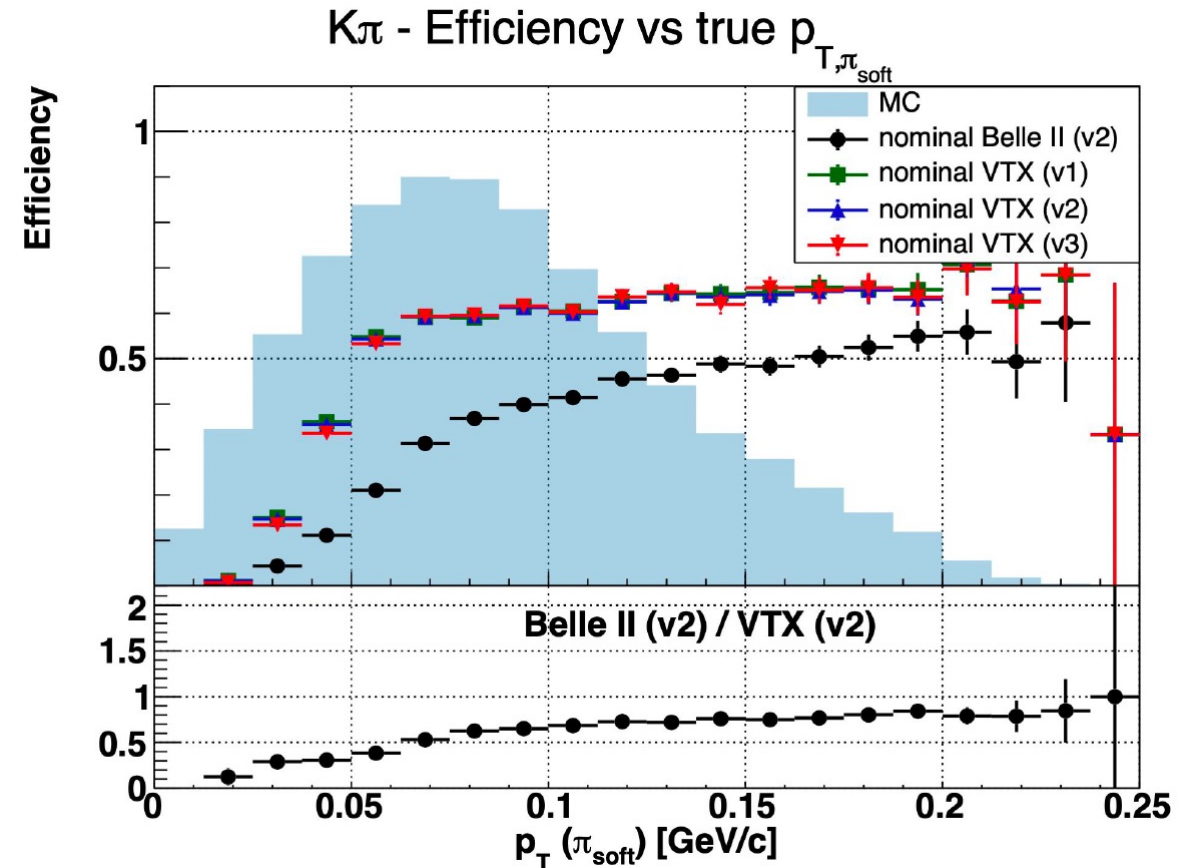
Pitch	33 $\mu\text{m}$
Signal ToT	7 bits
Integration time	50 To 100 ns
Time stamping	$\sim 5$ ns for hit rate $< 10 \text{ MHz/cm}^2$
Hit rate max for 100% eff.	120 $\text{MHz/cm}^2$
Trigger handling	30 KHz with 10 $\mu\text{s}$ delay
Trigger output	$\sim 10$ ns resolution with low granularity
Power (with hit rate)	120 to 200 $\text{mW/cm}^2$ (1 to 120 $\text{MHz/cm}^2$ )
Bandwidth	1 output 320 MHz



# VTX Upgrade Physics Impact

- $B^0 \rightarrow D^* l \nu$ : “bread-and-butter” physics for Belle II (R( $D^*$ ), angular analysis, IVcbl, B-tagging, ...)
- Slow pion from  $D^*$  decay: low- $p \rightarrow$  low-efficiency
- $\sim 70\%$  improvement in efficiency
- $\sim 35\%$  better B-decay vertex resolution

VTX (v1) optimistic bg scenario  
 VTX (v2) intermediate bg scenario  
 VTX (v3) conservative bg scenario



# CDC Upgrade – new FE electronics

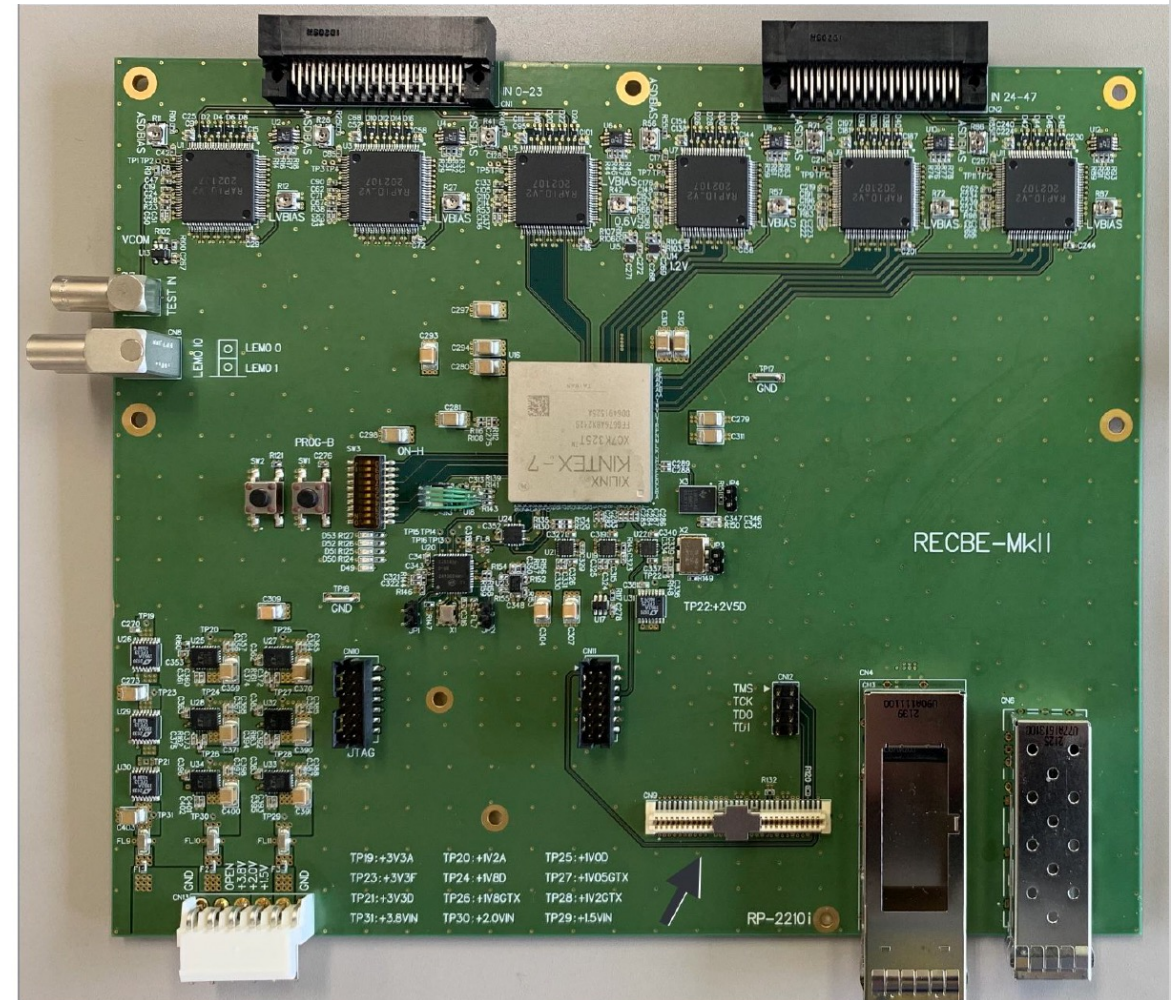
## Motivations:

- Towards better tracking performance
- Reduce cross-talk, power consumption, and increase output bandwidth
- Improve radiation tolerance

## Concept:

- New: ASICs, FPGA, optical module
  - ASIC chips to measure signal timing and digitize waveform
  - FPGA for online data processing and for the trigger and data acquisition systems
  - Rad-hard fiber transceivers, QSFP for data transfer to the trigger and DAQ

Prototype front-end board upgrade

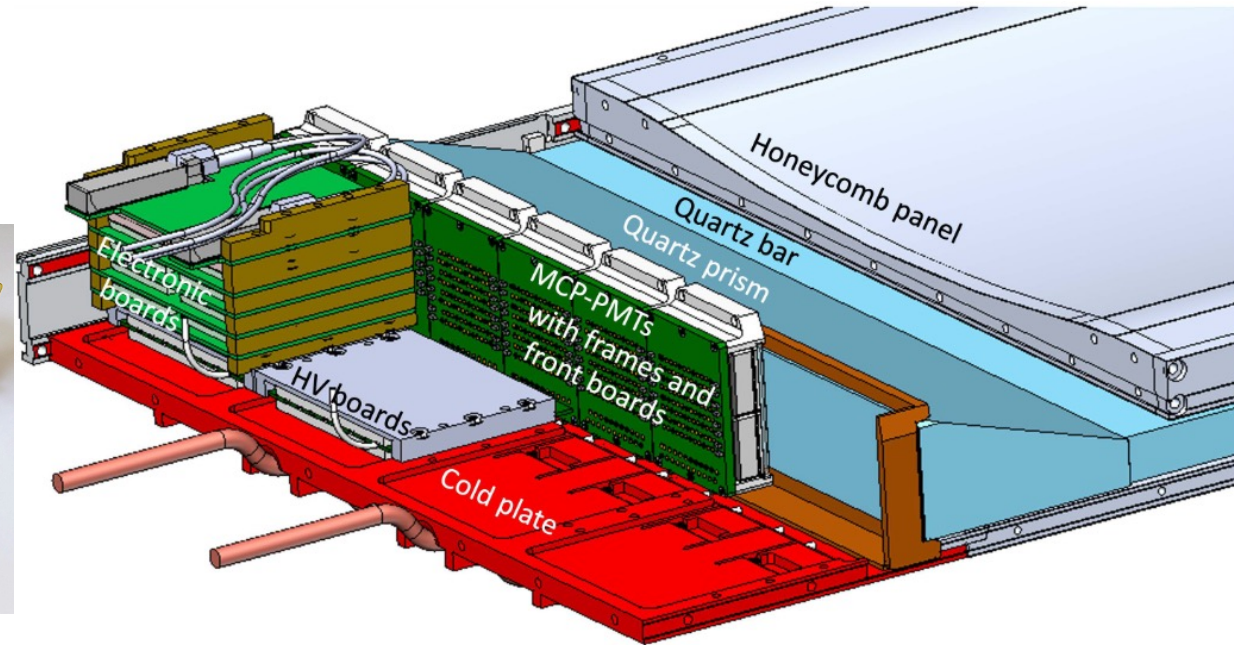




# PID Upgrade: Time of Propagation counter

## Motivations:

- MCP-PMTs degrading under higher-than-expected backgrounds
- Performance improvements
- Better particle-ID performance
- Feature extraction inside ASIC
- Reduced power consumption

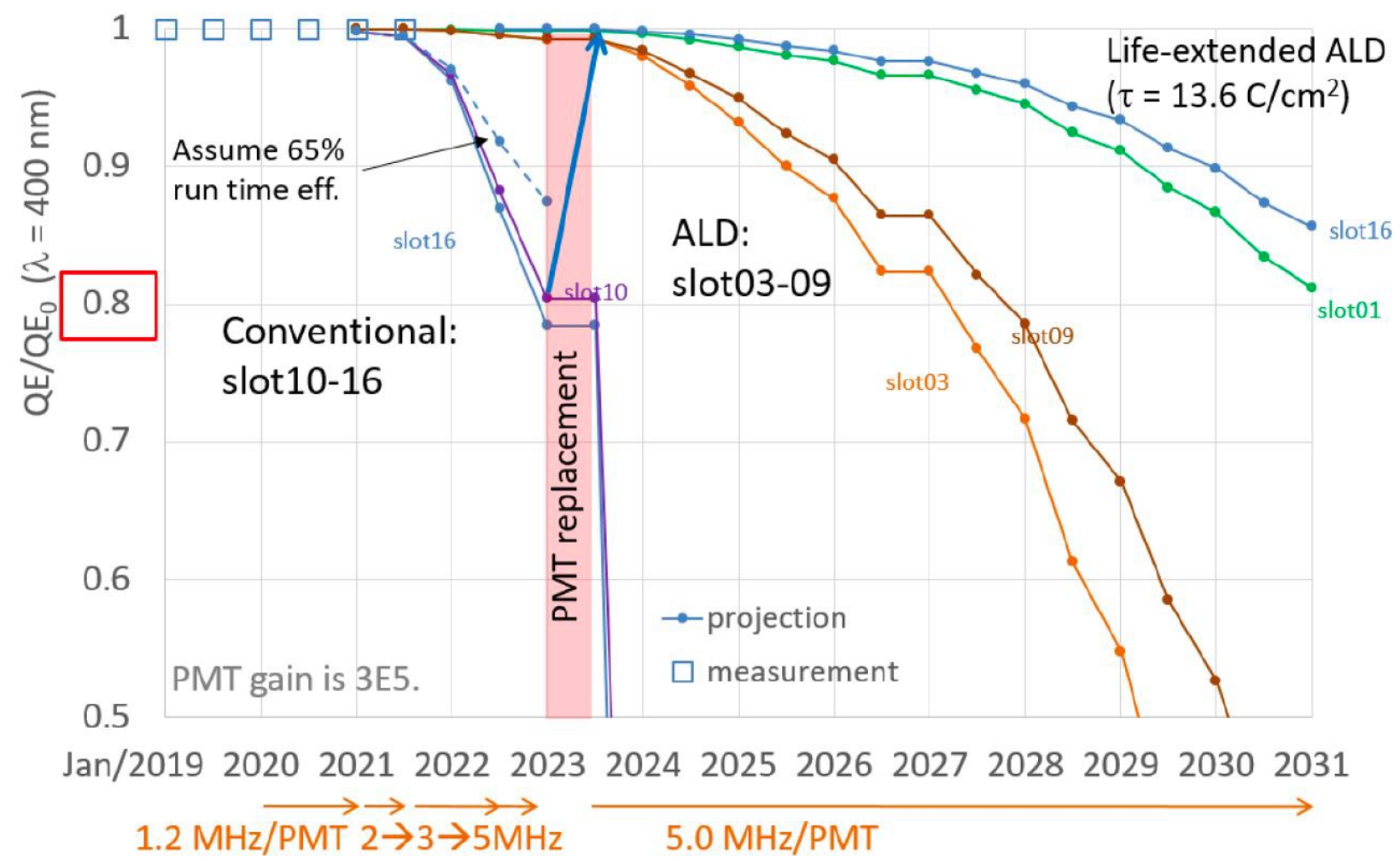


## Concept:

- Technology implementation for LS2
  - Complete 50% MCP-PMT's upgrade with Lifetime-extended ALD-PMTs with better radiation tolerance
  - Future option: redesign front-end boards (ASoC) with Gbps to FPGA Lower power budget and more compact design (to accommodate potential SiPM's)
- Beyond LS2: R&D for SiPM photosensors



# PID Upgrade: QE degradation



# KLM Upgrade: $K_L^0$ and muon detector

## New capability: $K_L^0$ energy measurement

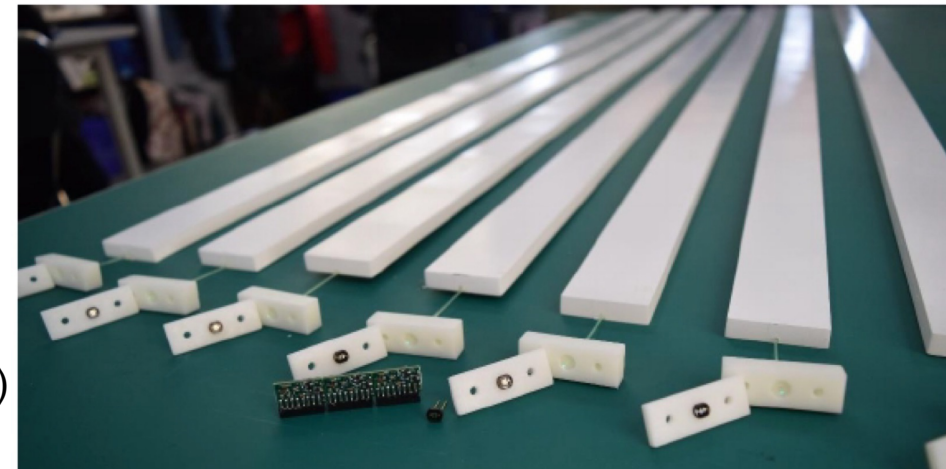
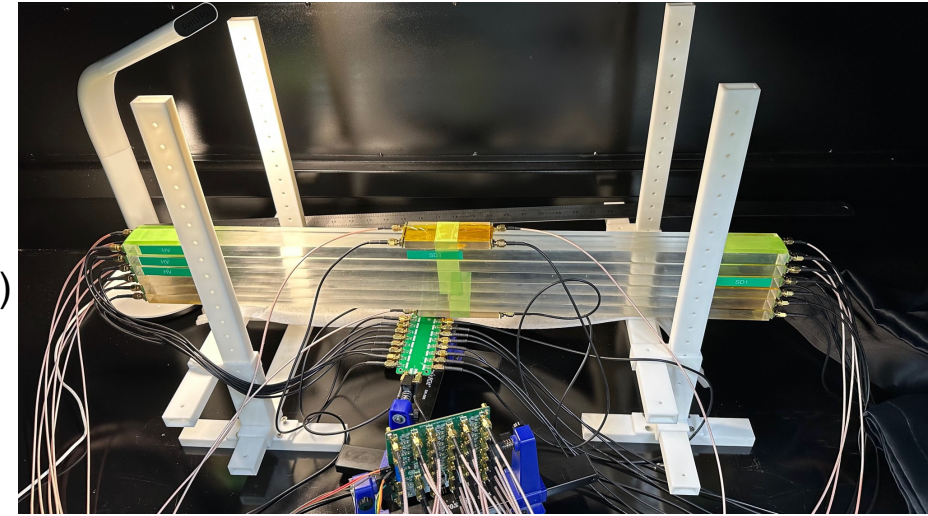
- Replace remaining RPC's in barrel with scintillators + SiPM's (very complex operation)
- Fast timing ( $\sim 100$  ps) gives  $K_L^0$  E via TOF (13% momentum resolution @ 1.5 GeV)
- Not settled: physics impact still under study

## Readout upgrades

- Re-design electronics layout with feature-extraction ASIC inside panel, only digital I/O (optical)
- Replace many km of twisted-pair ribbon cables with a few fibers

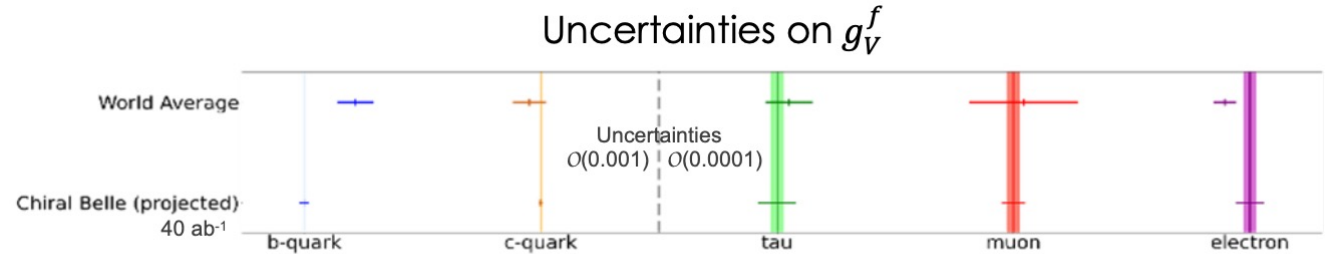
## RPC in avalanche mode

- From streamer to avalanche  $\rightarrow$  less charge  $\rightarrow$  larger rate capability
- Gas composition with electronegative element  $SF_6$  to be studied  $\rightarrow$
- Overall efficiency only slightly lower
- Amplification of the signal  $\rightarrow$  new FE boards
- Method applied for ATLAS RPC – new SiGe preamp ( $\epsilon = 95\%$  with  $\langle Q \rangle \simeq 2$  pC)

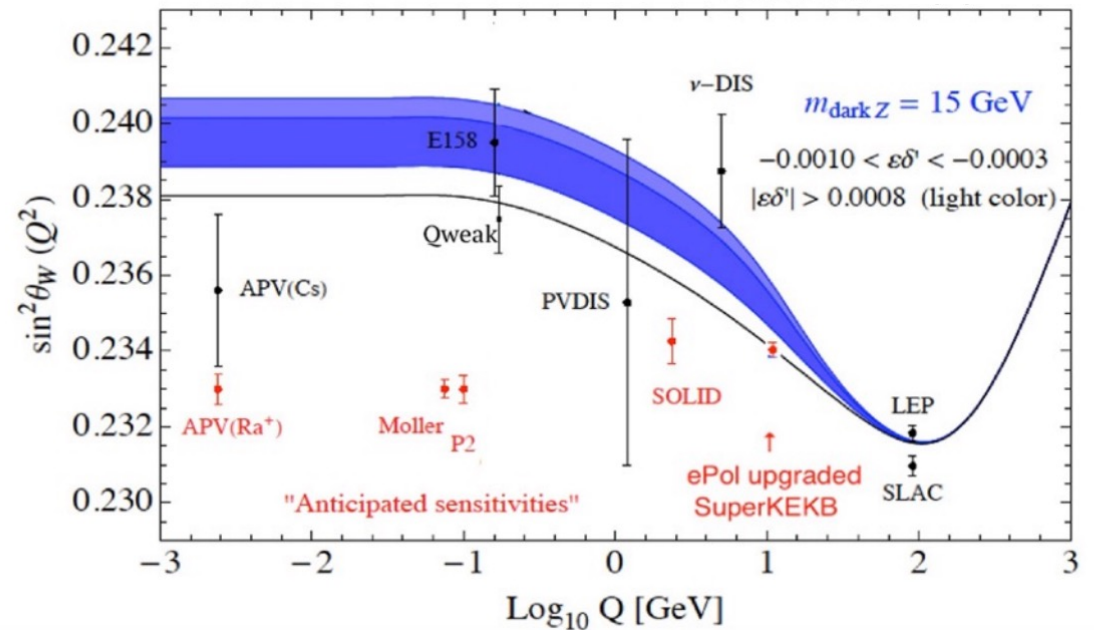


# Chiral Belle II – potential physics reach

- Electroweak vector neutral current
  - Tensions in  $A_{FB}^{0,b}$  (LEP) /  $A_{LR}$ (SLC)
  - Left-right asymmetries with 5 fermions:  $b, c, e, \mu, \tau$
- Dark sector
  - Sensitivity to light  $Z_{\text{dark}}$  through  $\sin^2\theta_W$
- Tau physics
  - Unique place for  $g-2$ 
    - Sensitivity  $\sim O(10^{-5})$  with  $50 \text{ ab}^{-1}$
  - Additional background suppression in LFV channels
    - Using helicity distributions
    - $\tau \rightarrow \ell\gamma$

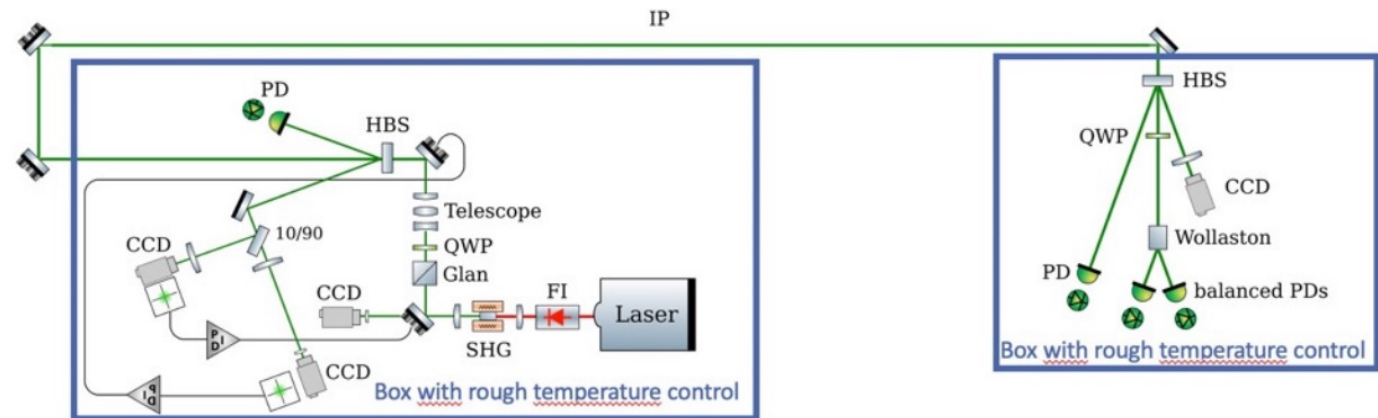
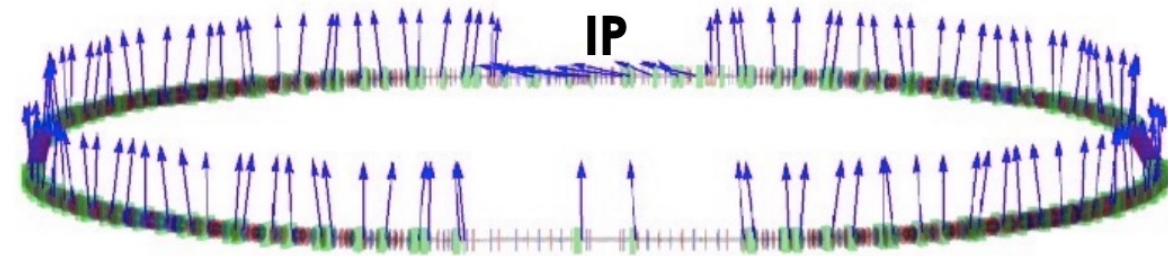


From PRD 92 055005 (2015)



# Chiral Belle II – impact on IR

- Low emittance polarised source
  - Laser on GaAs cathodes under development
  - Need transverse polarization for injection in HER
- Spin rotators
  - Get longitudinal polarization electrons before IP
  - Option 1: additional spin-rotator magnets => repositioning of some magnets
  - Option 2: replace two magnets with new combined-magnets dipole + rotator
- Compton polarimeter
  - Follows HERA experience
  - Monitor polarization at 0.5% absolute precision



# Summary

- Restarted data taking for Run2 February 2024 after upgrades in LS1
  - PXD2
  - IR work to improve beam stability, background control and higher luminosity
- Rich upgrade program in the LS2 – CDR (Conceptual Design Report) under publication
- New Run2
  - First collision at LER/HER  $\beta^* = 8$  mm on Feb. 20th at 22:13 (JST)
  - Physics run at  $\beta^* = 1$  mm – reached March 7th (goal is  $\beta^* = 0.6$  mm)
  - Collected about  $50 \text{ fb}^{-1}$ ; goal is to reach  $1.5 \text{ fb}^{-1}$  lumi /day
- Looking forward to new physics results before the LS2 which will prepare the machine and the Belle II detector for its absolute best performance → exciting times ahead!

# Backup slides

# Notional schedule

Calendar years	2022				2023				2024				2025				2026				2027				2028				2029			
Activity	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
<b>SKB / Belle II</b>																																
LS1								?																								
Data taking								?																								
LS2																																
<b>Upgrade Project</b>													<b>NOT APPROVED</b>																			
Expressions of interest																																
Conceptual Design Report																																
Technical Design Report																																
Approval of upgrade																																
Construction																																
Installation																																



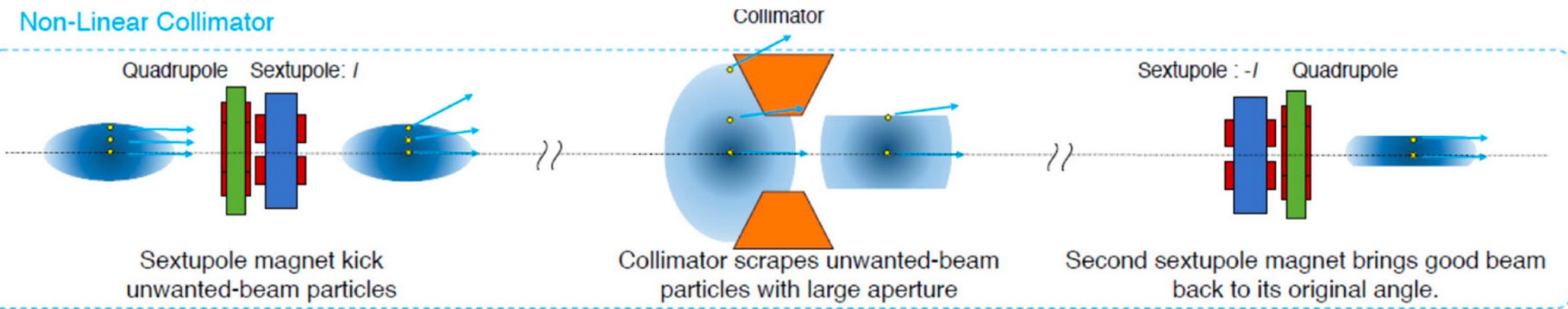
# Belle II Upgrade schedule

Table 1.2: Known short and medium-term Belle II subdetector upgrade plans, sorted by time scale. MDI is the Machine-Detector-Interface, while RMBA is Radiation Monitoring and Beam Abort system. Moving from inner to outer radius, the current Belle II sub-detectors are: Silicon Pixel Detector (PXD), Silicon Strip Detector (SVD), forming the Vertex Detector (VXD), Central Drift Chamber (CDC), Time of Propagation Counter (TOP), Aerogel Rich Counter (ARICH), Electromagnetic Calorimeter (ECL), K-Long Muon System (KLM), Trigger and Data acquisition (TRG/DAQ), including the High Level Trigger (HLT).

Subdetector	Function	upgrade activity	time scale
MDI	RMBA	Faster and more performant electronics	medium-term
VXD	Vertex Detector	all-pixels DMAPS CMOS sensors (VTX)	medium-term
CDC	Tracking	upgrade front end electronics	short/medium-term
TOP	PID, barrel	Replace not-life-extended ALD MCP-PMTs +SiPM option Front end electronics upgrade	medium-term medium-term
KLM	$K_L, \mu$ ID	replace 13 barrel layers of legacy RPCs with scintillators upgrade of electronics readout and proportional mode RPC readout timing upgrade for K-long momentum measurement	medium/long-term medium/long-term medium/long-term
Trigger		hardware and firmware improvements	continuous
DAQ		add 1300-1900 cores to HLT	short/medium-term
ARICH	PID, forward	replace HAPD with Silicon PhotoMultipliers replace HAPD with Large Area Picosecond Photodetectors	long-term long-term
ECL	$\gamma, e$ ID	Add pre-shower detector in front of ECL Complement ECL PiN diodes with APDs or SiPM Replace CsI(Tl) with pure CsI crystals	long-term long-term long-term

# Non Linear Collimators (NLC)

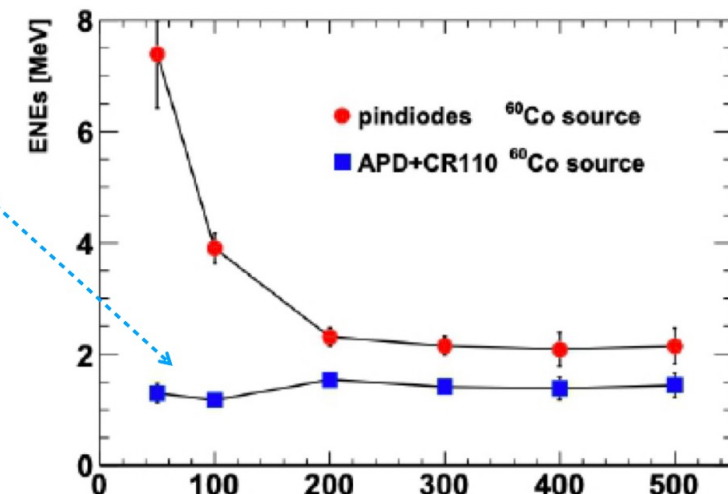
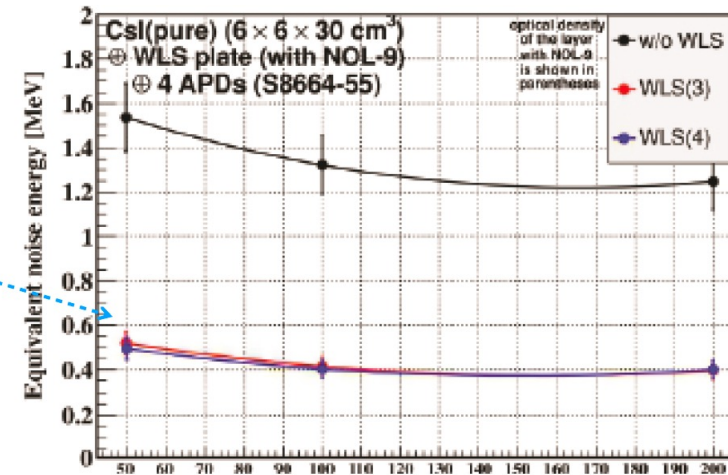
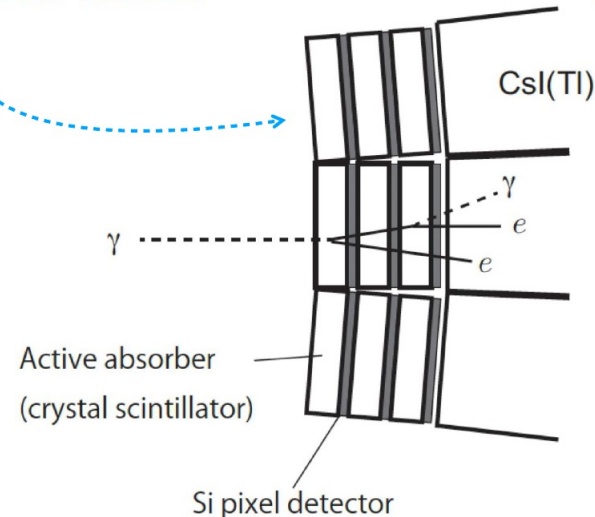
## Non-Linear Collimator



# ECL Upgrade

## ✓ beyond LS2 ...

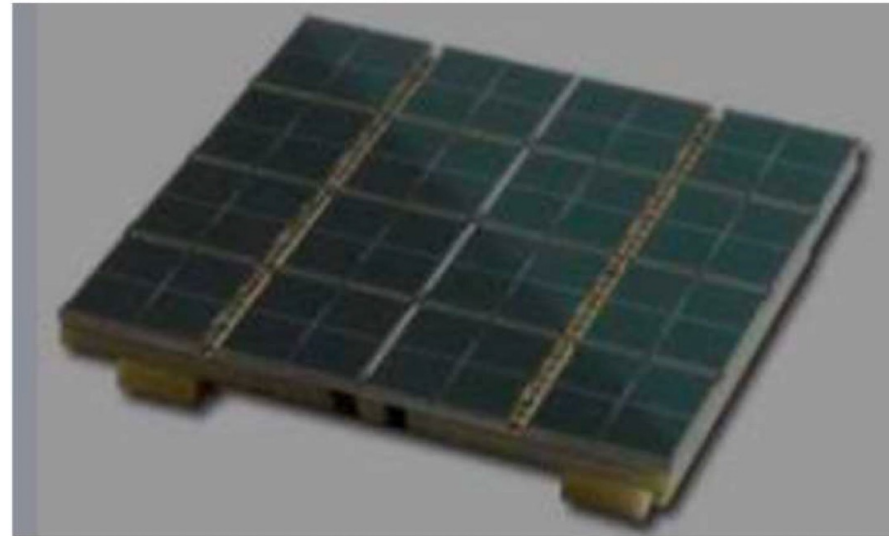
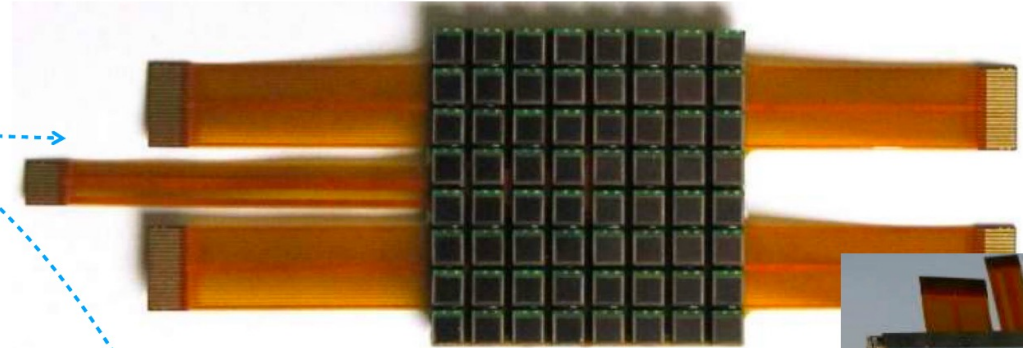
- replace CsI(Tl) with pure CsI (or LYSO or LaBr<sub>3</sub>) for shorter pulses & less pile-up
- add wavelength-shifting plate for better energy resolution
- replace PIN-diode sensors with APDs (or SiPMs) for better energy resolution
- front-end readout re-design
- add pre-shower detector



# ARICH Upgrade

## ✓ beyond LS2 ...

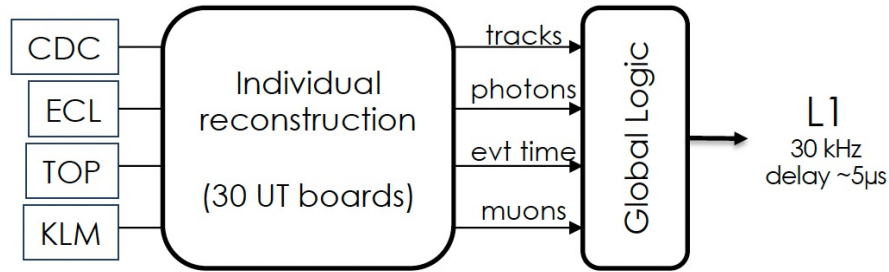
- R&D for SiPM photosensors or MCP-PMTs / LAPPD
- R&D for compatible readout (custom or FASTiC from LHCb)
- R&D for aerogel upgrade



## ■ STOPGAP proposal

- target **long term**
- Fill-in gaps between TOP quartz bar
- CMOS-MAPS with 50 ps timing

# Trigger Belle II Upgrade



- More powerful hardware UT4 and UT5 trigger boards
- Avoid merger boards, more bandwidth
  - Using all CDC TDC and ADC information → Vertex resolution improved x2 and 50% trigger rate reduction
- Keep high-efficiency on hadronic events and improve on low-multiplicity

UT generation	UT3	UT4	UT5
Main FPGA (Xilinx)	Virtex6 XC6VHX380-565	Virtex Ultrascale XCVU080-190	Varsal
Sub FPGA (Xilinx)	--	Artex7	Artex7, Zynq
# Logic gate	500k	2000k	8000k
Optical transmission rate	8 Gbps	25 Gbps	58 Gbps
RAM	--	DDR4	DDR4, UltraRAM
# UT boards	30	30	10
Cost per a board (k\$)	15	30	50
Time schedule	2014-	2019-2026	2024-2032

Component	Feature	Improvement	Time	#UT
CDC cluster finder	transmit TDC and ADC from all wires with the new CDC front end	beamBG rejection	2026	10
CDC 2Dtrack finder	use full wire hit patterns inside clustered hit	increase occupancy limit	2022	4
CDC 3Dtrack finder	add stereo wires to track finding	enlarge $\theta$ angle acceptance	2022	4
CDC 3Dtrack fitter (1)	increase the number of wires for neural net training	beamBG rejection	2025	4
CDC 3Dtrack fitter (2)	improve fitting algorithm with quantum annealing method	beamBG rejection	2025	4
Displaced vertex finder	find track outside IP originated from long lived particle	LLP search	2025	1
ECL waveform fitter	improve crystal waveform fitter to get energy and timing	resolution	2026	--
ECL cluster finder	improve clustering algorithm with higher BG condition	beamBG rejection	2026	1
KLM track finder	improve track finder with 2D information of hitting layers	beamBG rejection	2024	--
VXD trigger	add VXD to TRG system with new detector and front end	BG rejection	2032	--
GRL event identification	implement neural net based event identification algorithm	signal efficiency	2025	1
GDL injection veto	improve algorithm to veto beam injection BG	DAQ efficiency	2024	--